GLOBAL HEALTH SECTOR RESPONSE TO HIV, 2000–2015

FOCUS ON INNOVATIONS IN AFRICA
PROGRESS REPORT

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Global health sector response to HIV, 2000-2015: focus on innovations in Africa: progress report


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AIDS  acquired immune deficiency syndrome
ART  antiretroviral therapy
ARV  antiretroviral
d4T  stavudine
EFV  efavirenz
HIV  human immunodeficiency virus
NVP  nevirapine
PEP  post-exposure prophylaxis
PEPFAR  (United States) President’s Emergency Plan for AIDS Relief
PMTCT  prevention of the mother-to-child transmission (of HIV)
PrEP  pre-exposure prophylaxis
STI  sexually transmitted infection
TB  tuberculosis
UNAIDS  Joint United Nations Programme on HIV/AIDS
UNICEF  United Nations Children’s Fund
US$  United States dollar
WHO  World Health Organization
In 2000, we had not yet created a global public health response covering treatment, prevention and care to tackle the HIV epidemic in all regions. HIV prevention programmes were underway and some were bringing success, but treatment was out of reach for most people. A fully-fledged health sector response to HIV was still being developed.

In the WHO African Region, especially, the epidemic was overwhelming health systems and devastating communities. We saw how hospitals struggled to cope and wards filled with people dying of AIDS, how families bravely tended the ill, how funerals became a staple of community life.

More than 70% of the people acquiring HIV lived in Africa, yet hardly any were receiving HIV treatment. In many countries in eastern and southern Africa, life expectancy was declining sharply.

Fifteen years later, there has been a sea change around the world, and especially in Africa, as this report documents. Immense challenges remain, but phenomenal progress has been made.

The HIV epidemic is claiming fewer lives, and fewer people are acquiring HIV. Almost 16 million people are receiving HIV treatment, including 11.4 million in Africa. A majority of pregnant women living with HIV receive antiretroviral medicines, which protects them and their children against HIV.

Countries achieved this by building and then scaling up a global public health response to HIV, one that puts the health and wellbeing of communities first. They understood that a successful HIV response has to bring together partners and genuinely involve affected communities.

This report reviews the bold achievements and challenges of the health sector response to HIV over the past 15 years and the extraordinary gains that have been achieved around the world, especially in the African Region. It highlights how the public health approach has been applied and how innovations have been used to maximize its impact. It shows how mobilizing political commitment, involving communities, building partnerships and sustaining solidarity have enabled countries to overcome odds that seemed insurmountable.

Ending the AIDS epidemic by 2030 is now a realistic prospect. But the report does not shy away from the major challenges that remain. Half the people living with HIV globally do not know they have acquired the virus and do not receive treatment that can save their lives and prevent other people from becoming infected.

The report highlights systematically the main gaps and shortcomings and the action needed to end the AIDS epidemic as a serious public health threat. In particular, a sharp focus on reducing by 75% the number of people acquiring HIV in the next five years is needed to put us on a sustainable path to end the AIDS epidemic. This will require the full force of the public health sector response to HIV, one that is integrated with other health and development sectors and that links communities and clinics in innovative ways.

The next 15 years will be equally daunting – yet countries today can build on the successes, lessons and innovations achieved in each region, to face the immense challenges ahead. The impact and further potential of our public health response to HIV is clear, particularly when the response is supported at all levels by committed leadership and partners, when it can rely on capable health systems and when it builds on the determination and experience of communities.

We encourage countries in all regions to sustain their achievements, face the challenges, and to scale up their HIV responses to reach the target of ending HIV/AIDS as part of the Sustainable Development Goals.

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EXECUTIVE SUMMARY

The global HIV response has been remarkably transformed in the past 15 years.

In 2000, a global public health response to the epidemic did not yet exist. Some prevention programmes had achieved success, and a handful of mostly high-income countries provided access to HIV treatment. But these were the exceptions. In the entire WHO African Region, for example, about 11 000 of the almost 21 million people living with HIV were receiving antiretroviral therapy (ART). The situation was similar in the South-East Asia Region and Western Pacific Region, where about 4 million people were living with HIV. In much of the world, few people who acquired HIV survived.

Fifteen years later, a global public health response combining prevention, treatment and care has been built around the world.

HIV programmes are now increasingly comprehensive. They promote and support prevention, bring treatment to many millions of people, deliver life-saving services to remote communities and make it easier for people to use and benefit from those services. They have proven that services can be delivered effectively, equitably and at massive scale in very difficult circumstances.

Successful HIV responses are not yet universal, but they are common enough to have made a huge impact in the past 15 years.

- **The number of adults and children newly infected with HIV** globally declined by 35% in 2000–2014.
- **The number of people dying from HIV-related causes** declined by 24% in 2000–2014 and by over 40% since 2004, the peak year.
- **HIV treatment** reached almost 16 million people in mid-2015 – more than 11 million of them in the African Region, where only about 11 000 people had been receiving treatment in 2000.
- **Millennium Development Goal 6**, which called for halting and beginning to reverse the spread of HIV by 2015, was achieved, and the HIV response contributed to significantly reducing child mortality (Millennium Development Goal 4) and maternal mortality (Millennium Development Goal 5).

The global health response to HIV represents one of the great public health feats of recent times. It is the result of enormous commitment and solidarity, strong partnerships, generous funding and other support, and far-sighted innovations – much of it evident in the African Region, which is a major feature of this report.

Fig. 1. Progress in the global HIV response, 2000–2015

Source: Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) and UNAIDS/WHO estimates.
Fewer people newly infected and fewer people dying

The estimated 2.0 million [1.9 – 2.2 million] people who acquired HIV globally in 2014 was the lowest number since 1990 and 35% fewer than the 3.1 million [3.0 – 3.3 million] in 2000. The decline was even steeper in the African Region – 41% between 2000 and 2014 – and exceeded 50% in several countries with a great burden of HIV infection.

The number of children (younger than 15 years) newly infected with HIV globally was reduced by 58% between 2000 and 2014. Rapid expansion of services for preventing the mother-to-child transmission of HIV and increasing use of more effective drugs prevented about 1.4 million children from becoming infected with HIV globally in the past 15 years, about 1.2 million of them in the African Region.

The number of people dying from HIV-related causes has fallen sharply as access to ART increased. The estimated 1.2 million [980 000–1 600 000] people who lost their lives to HIV in 2014 were 24% fewer than 2000 and 42% fewer than the peak in 2004. The number of children younger than 15 years dying from HIV-related causes declined even more rapidly than among adults.

The impact of HIV treatment programmes has been massive. An estimated 7.8 million HIV-related deaths were averted between 2000 and 2014. Several countries with a great burden of HIV infection have experienced substantial improvements in life expectancy.

Fig. 2. Changes in life expectancy at birth in selected countries in the WHO African Region with a high burden of HIV infection, 1985–2015


Very few other public health interventions in the recent past have had as rapid and dramatic an impact on individual and population health outcomes as the scaling up of ART, globally and especially in Africa.

At the end of 2014, about 4 in 10 people living with HIV globally were receiving ART. In the African Region, people living with HIV are now more likely to receive HIV treatment than their peers in other WHO regions, except for the Region of the Americas.
How Africa changed the course of its HIV epidemic

Faced with the largest HIV epidemics in the world, many countries in the African Region overcame formidable constraints to build and sustain national public health programmes powerful enough to turn the tide against their epidemics. The number of people acquiring HIV in the African Region fell sharply during 2000–2014, and the extraordinary rollout of HIV treatment averted an estimated 5.4 million deaths. Countries achieved this by assimilating innovations, by overcoming hurdles in implementation and by scaling up interventions, typically against a backdrop of limited resources and constrained health systems.

In many African countries, HIV services were brought closer to communities by combining the respective strengths of health clinics and communities. Countries took HIV treatment to scale, building the world’s biggest HIV treatment programmes, by using the public health approach recommended by WHO. They substantially cut children’s risks of acquiring HIV by successfully linking HIV and antenatal care services. They implemented large-scale prevention programmes and adopted new methods, such as voluntary medical male circumcision, as well as new HIV testing approaches to diagnose more people living with HIV. They brought together services for preventing and treating HIV and tuberculosis in ways that saved an estimated 1.3 million lives in Africa between 2005 and 2014.

Progress in the WHO regions: success shadowed by major challenges

The health sector responses to HIV have grown and improved in all regions, though to varying degrees.

**African Region**

Some of the most impressive transformation has occurred in the African Region, where HIV responses have increased dramatically in both scale and quality. The number of adults and children newly infected with HIV in the African Region was cut by 41% in 2000–2014, from 2.3 million [2.2 – 2.4 million] to 1.4 million [1.2 – 1.5 million]. This was the only region to register a consistent drop in new infections after 2010. Sustained national prevention programmes contributed to these declines. Scaled-up and improved programmes for preventing the mother-to-child transmission of HIV led to a substantial decline in the annual number of children acquiring HIV since 2000. However, a recent rise in the number of adults and children newly infected in a few countries shows that insufficient HIV responses lead to rebounding epidemics.

An extraordinary treatment scale-up is continuing, with an estimated 11.4 million people receiving ART in mid-2015. ART coverage reached 41% [38–46%] in 2014, up from less than 1% in 2000. The annual number of people dying from HIV-related causes was almost halved in the past decade. The estimated 790 000 [690 000–990 000] people who died from HIV-related causes in the African Region in 2014 were 48% fewer than the 1.5 million [1.3 – 1.9 million] people who lost their lives to HIV in 2004, when HIV deaths peaked.

Major challenges remain in this region, which continues to bear by far the greatest HIV burden in the world. Both the coverage and quality of HIV services is insufficient in some large countries with high HIV prevalence.

Although declining, the numbers of people acquiring HIV infection are still high. Young women and adolescent girls continue to be disproportionately at risk of acquiring HIV. Adolescents in general are not being reached sufficiently with prevention and treatment services, and men are less likely than women to take HIV tests or receive HIV treatment. The coverage of ART for children, although improving, is also low and requires concerted improvements.

**Region of the Americas**

After impressive declines between the mid-1990s and mid-2000s, the annual number of people newly infected with HIV in the Region of the Americas has stayed more or less steady in the past decade. ART coverage in these countries is among the highest in the world, with regional coverage estimated at 46% [35–60%] in 2014. The annual number of people dying from HIV-related causes was reduced by 33% since 2000, to 66 000 [42 000–120 000] in 2014. Most countries in this Region have aligned their national HIV strategic plans with an ambitious set of targets for 2020 and 2030 or are busy doing so. Five countries have already adopted a policy of initiating ART for all people living with HIV, and several more are considering adopting the same approach. In 2015, Cuba became the first low- and middle-income country to validate the elimination of mother-to-child transmission of HIV.

**South-East Asia Region**

The annual number of people newly infected with HIV in the South-East Asia Region decreased substantially up to 2009 before stabilizing. The number of people dying from HIV-related causes rose significantly until the mid-2000s, when ART became more widely accessible. The approximately 1.2 million people who were receiving ART at the end of 2014 translated to treatment coverage of about 36% [33–38%], slightly less than the global average of 40% [37–45%]. HIV-related deaths declined by about
Fig. 3. Countries with their size proportional to number of people on treatment in 2000 and 2014

2000

People receiving ART
- High
- Medium
- Low
- No data
- Not applicable

2014

People receiving ART
- High
- Medium
- Low
- No data
- Not applicable

The annual number of people newly infected with HIV in the European Region declined substantially up to 2008 and then remained steady at about 95,000. The provision of opioid substitution therapy has substantially contributed to reducing the number of people who inject drugs who acquire HIV in some countries, including China, Malaysia and Viet Nam. The number of people dying from HIV-related causes began declining in the mid-2000s, as provision of ART expanded. ART coverage was an estimated 37% (31–48%) at the end of 2014. HIV-related deaths declined by 27% from their peak of 68,000 (51,000–100,000) in 2005 to 50,000 (37,000–80,000) in 2014. Success in addressing the stigma and discrimination experienced by key populations will help efforts to broaden access for HIV services and link and retain greater numbers of people living with HIV in care.

Closing the remaining gaps and accelerating impact

Remarkable as the achievements of the past 15 years have been, they are shadowed by major unfinished business and formidable challenges. Many countries have made great progress, but some have been unable to sustain early gains and others have failed to curb their HIV epidemics. Almost half the people living with HIV are undiagnosed and are therefore not receiving ART. Treatment is being scaled up unevenly, with some regions and countries lagging considerably.

Closing the remaining gaps in the HIV response will require action and innovation even more impressive than that implemented this far. Nevertheless, the innovations and successes of the past 15 years position the world to shift the global HIV response into higher gear.

Ending the AIDS epidemic by 2030 is the challenge set by the Sustainable Development Goals, which highlight the role of health in averting poverty and facilitating development. Mathematical models show that ending the AIDS epidemic as a public health threat is indeed feasible.
mahila ekta hai
HIV/AIDS
vigyan nai gauri
shaurya
the benefits of HIV interventions currently are not spread equitably across countries and populations.

Countries need to rapidly increase coverage of high-impact, evidence-based interventions along the entire cascade of services for preventing, diagnosing and treating HIV. They need to do this with an emphasis on reaching the populations and geographical locations with the greatest burden and greatest need, while assuring the quality of the services.

### Preventing people from becoming infected

Reducing the number of people newly infected with HIV by 75% by 2020 requires wider and more effective use of combination prevention and bolstering it with new tools and approaches. The number of adolescents and young people acquiring HIV has to be reduced drastically. In Africa, this requires a special focus on using more effective ways to protect adolescent girls and young women from becoming infected with HIV.

Condom use has increased but not consistently enough to realize its full benefits. Uptake of voluntary medical male circumcision has increased rapidly, with more than 10 million procedures performed by late 2015 and some countries already reaching the 80% coverage target. Several other countries have opportunities for more rapidly scaling up this intervention. HIV prevention programmes addressing sexual behaviour need to be sustained, including in the African Region, where they have contributed to the decline in the numbers of people acquiring HIV.

The use of ARV medicines as part of combination HIV prevention is a great opportunity to reduce new HIV infections more rapidly. However, realizing the full preventive potential of ARV medicines requires that countries implement a treat-all approach and ensure high levels of treatment adherence. Targeted provision of pre-ART care should become less important and linkage to treatment needs to be simplified for all people newly infected with HIV.

### Reaching key populations with HIV services

Proven and affordable methods exist for preventing people in key populations1 from becoming infected, but they are not used widely enough to have a major impact. Legal and social barriers to wider access remain widespread. As a consequence, more than one third of the people newly infected with HIV in 2014 were associated with key populations.

There have been notable successes in preventing HIV infections among female sex workers, frequently as a result of community-based prevention services and initiatives. These need to be supported more strongly and emulated more widely.

Successes in reducing the number of men who have sex with men acquiring HIV are shadowed by rising incidence in some countries despite longstanding prevention and treatment programmes.

Many countries with significant numbers of people who inject drugs are failing to stabilize or reverse HIV transmission associated with drug injecting. Increasing numbers of countries have introduced needle and syringe programmes or opioid substitution treatment, but coverage is generally poor, even in countries with many people who inject drugs and with high HIV prevalence among them.

### Eliminating new infections among children

The rate of mother-to-child transmission of HIV in low- and middle-income countries has been cut by more than half since 2000 — from about 37% to 15% in 2014. Some countries in the African Region are approaching the very low mother-to-child transmission rates achieved in high-income countries, but several others lag far behind at the moment. Further progress can be achieved by simplifying and expanding the use of ARV medicines for preventing mother-to-child transmission and protecting pregnant women’s own health (option B+: providing lifelong ART to all pregnant and breastfeeding women living with HIV regardless of CD4 count or WHO clinical stage).

### Diagnosing more people living with HIV

Drastically reducing the number of people losing their lives to HIV requires successfully shifting to a treat-all approach, as recommended in the latest WHO guidelines. This requires finding more effective and efficient ways of diagnosing much greater numbers of people living with HIV and successfully linking them to treatment and care services.

Almost half the people living with HIV are unaware that they have acquired the virus, and current approaches are not reaching adequate numbers of people in key populations, a situation that has changed little in the past 15 years.

New HIV testing approaches, including self- and community-based testing and new quality-assured testing technologies, hold great promise. The approaches need to match the epidemic of a given country, population and place. Antenatal services account for much HIV testing, which is a major reason why, in all regions, men are less likely than women to undergo HIV testing.

Many people who test HIV-positive drop out of care before starting ART, which leaves an important gap in the cascade of services. Many improvements are available, including strengthening referral procedures and removing unnecessary delays before initiating ART. As more countries move towards initiating ART regardless of CD4 cell count, pre-ART care should become less important and linkage to ART is expected to strengthen.

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1 Key populations are considered to be at very high risk of HIV infection and typically include men and transgender women who have sex with men, sex workers and their clients, and people who inject drugs. Prisoners, migrant workers, certain transport workers and military personnel often are also at high risk for HIV infection.
**Reaching more people with HIV treatment**

The 15.8 million people receiving ART in mid-2015 ranks among the great public health achievements of recent times. The next challenge is to accelerate treatment access so that ART is available to all people living with HIV. Global coverage of ART increased from about 2% of people living with HIV in 2000 to 40% in 2014 — about halfway to the target set for 2020.

High mortality rates among adolescents living with HIV highlight the need to improve their access and adherence to ART. Sex workers, people who inject drugs, prisoners, transgender people and men who have sex with men face multiple barriers that deny them the benefits of HIV treatment and care services.

Meanwhile, in the African Region, men eligible for ART in accordance with WHO guidelines are less likely to receive it than women and more likely not to be retained in care. HIV-related mortality rates are also higher among men receiving ART than among their female counterparts in most countries in the African Region.

Maximizing the benefits of HIV treatment requires a systematic approach to close the gaps at each stage of the cascade of HIV services, as shown in this report.

The benefits of ART — both at the individual and population levels — are optimized when people living with HIV start treatment early. Despite a shift toward initiating ART earlier in all regions over the past decade, many people (especially men and key populations) still enrol in HIV care late, with advanced HIV disease, resulting in poorer treatment outcomes.

Adopting the treat-all approach recommended by WHO along with simplified referral procedures should enable more people to start ART earlier. In the several countries that have already opted for this approach, coverage of both HIV testing and treatment has improved markedly, as has retention in care.

**Achieving good treatment outcomes**

The ultimate goal of ART is to suppress HIV to stop the progression of HIV-related disease and drastically reduce the risk of onward transmission. Studies show that very good viral suppression outcomes can be achieved, including in resource-limited settings. However, many people receiving ART drop out of care before achieving or sustaining viral suppression. In recent years, only about 45% of adults who started ART remained virally suppressed after three years.

Services should be organized to minimize leakage and maximize retention and adherence. Every effort must be made to retain more people on ART in care, prevent treatment interruptions, use robust ART regimens, conduct effective HIV drug resistance surveillance and monitor and avoid treatment failure. The emergence of HIV drug resistance must be monitored and addressed.

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**Fig. 4. Progress required to reach key 2020 and 2030 HIV targets**

![Image](image-url)

**Source:** Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) and UNAIDS/WHO estimates.
The next 15 years: towards ending the AIDS epidemic

Strong commitment, supportive partnerships and major innovations in technologies and service delivery have brought the world to a point where ending the AIDS epidemic as a serious public threat by 2030 is a realistic prospect.

Reaching that goal will require actions that can reduce the number of people newly infected with HIV and dying from HIV-related causes even more rapidly than in the past 15 years. Against the backdrop of a broad, multisectoral response, the health sector will play a vitally important role.

The proposed Global Health Sector Strategy on HIV 2016–2021 maps the way forward along five strategic directions:

- using accurate strategic information to understand HIV epidemics and focus responses;
- defining the essential packages of high-impact HIV interventions along the continuum of HIV services;
- effectively delivering the cascade of HIV services to different populations and locations to achieve equity, maximize impact and ensure quality;
- implementing sustainable funding models for HIV responses and reducing costs; and
- innovating new HIV technologies and ways of organizing and delivering services.

Based on reviews of the latest evidence, WHO has also adapted its treatment, testing and strategic information guidelines to provide a package of support that can guide accelerated implementation at each stage of the cascade of services. The priority actions and approaches outlined in the proposed strategy and in WHO’s technical guidance will be crucial for meeting the major challenges that lie ahead.

Doing more, more rapidly and more effectively

The world has arrived at a critical juncture. The remarkable impact of the public health response to the HIV epidemic has defied most expectations. Nevertheless, more has to be done, more rapidly and more effectively to end the AIDS epidemic.

The great advantage today is the wealth of experiences and lessons learned during the past 15 years, the array of powerful tools and proven methods that exist, the partnerships that have been built, and the evident success of so many key innovations, especially in the African Region.

If countries use these experiences, tools and resources to the full, they will be able to forge a sustainable path to end AIDS within this generation and to help achieve the aims and realize the spirit of the Sustainable Development Goals.
INTRODUCTION: THE GLOBAL IMPACT OF THE HIV RESPONSE

The global public health response to HIV of the past 15 years has had a remarkable impact. Spanning prevention, treatment and care services, it has transformed the lives and well-being of many millions of people around the world and has brought within reach the prospect of ending the AIDS epidemic as a serious threat to public health.

When the “3 by 5” initiative was launched in 2003 with the aim of getting antiretroviral therapy (ART) to 3 million people by 2005 in low- and middle-income countries, many argued that ART could not be provided on such a large scale in places with limited resources. Yet that target was reached in 2008. The number of people receiving HIV treatment in low- and middle-income countries then doubled by the end of 2009 and then doubled again by the end of 2014.

Globally, 15.8 million people were receiving ART by mid-2015, many of them in communities far from central hospitals. Prevention programmes were expanded and enhanced with new methods and innovations and reached into marginalized communities and remote areas.

The impact was immense: between 2000 and 2014, the number of people dying from HIV-related causes was reduced by one quarter, the number of people newly infected was cut by more than one third and the number of children newly infected declined by almost 60%.

This report reviews and assesses the remarkable progress made in the global health sector response to HIV since 2000. It shows the impact of 15 years of a sustained global public health effort that marshals the resources and resolve of public health, community, private, civil society, donor and government partners in a strategic manner.

Each region has contributed to shaping the global response – from early scale-up of treatment in Brazil to large-scale prevention programmes in Cambodia, Thailand and Uganda and effective interventions for key populations in the Region of the Americas and in the European, South-East Asia and Western-Pacific Regions, many of the interventions led or inspired by civil society groups. They are among the many dozens of national responses that helped to bring the global public health response to HIV to the point where ending the AIDS epidemic is in sight.

The report especially highlights how countries in the African Region – which continues to bear by far the greatest HIV burden – have transformed their HIV responses. Supported by partners around the world, HIV programmes in Africa beat the odds to achieve remarkable results. They did this by devising ways to deliver services deep into communities where they could make a difference in people’s lives.

Although focusing on major breakthroughs in the African Region and elsewhere, the report also analyses the gaps and deficiencies that remain and identifies the improvements that are needed – for the progress of the past 15 years does not mean the job is done.

Almost half the people living with HIV in the world remain undiagnosed, and about 60% of the people living with HIV are not receiving treatment. Only in the African Region has the number of people newly infected with HIV continued to decline in the past five years. In some regions, the HIV infection rates among men who have sex with men are increasing, and in most regions, coverage of harm reduction services is too low to affect the epidemic. Indeed, there are major gaps and challenges, some of them new, all along the cascade of HIV prevention, testing, treatment and care services. They have to be overcome.

The report is divided into three main sections.

Chapter 1 assesses global and regional progress against key indicators, focusing on the results that are being achieved. It highlights the impact on HIV-related deaths, new HIV infections, life expectancy and HIV transmission from mothers to children, and it shows how the impact has been achieved. It also contrasts this progress against key HIV targets for 2020 and 2030 to identify the main challenges ahead.

Chapter 2 reviews each stage of the cascade of HIV services, their current status, the main gaps and the key action needed to further reduce the numbers of people dying and newly infected with HIV. This cascade framework echoes the approach adopted in WHO’s latest strategic information, testing and treatment guidelines.

Chapter 3 looks ahead to the next 15 years, focusing on the challenge of reducing the number of people newly infected decisively enough to put the response on a sustainable path to end the HIV epidemic. Drawing on the proposed WHO Global Health Sector Strategy on HIV 2016–2021, it presents the core enabling improvements countries need in order to advance the sustainable development agenda and end the AIDS epidemic in our lifetime.

The world has arrived at a crucial point in the global HIV response, with huge opportunities for learning from 15 years of innovation in implementation and for applying this knowledge and experience to the next 15 years. By critically assessing the progress made, pinpointing the main challenges that remain and identifying the actions that can overcome them, it is hoped that this report will assist countries as they strive toward the goal of ending the AIDS epidemic by 2030.
CHAPTER 1
FIFTEEN YEARS OF PROGRESS IN THE GLOBAL HIV RESPONSE

This chapter reviews the results achieved over the past 15 years, focusing on the global and regional impact of the public health response to HIV. It also discusses the key innovations, especially those in the WHO African Region, that led to these accomplishments.

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Achieving the HIV targets requires that countries rapidly increase coverage of high-impact, evidence-based interventions along the entire cascade of services for preventing, diagnosing and treating HIV and focus especially on reducing the number of people newly infected with HIV by 75% (compared with 2010). They will need to do this while emphasizing reaching the populations and geographical locations with the greatest need and assuring quality.

1.1 Shifting the course of the HIV epidemic

In 2000, an estimated 3.1 million [3.0 – 3.3 million] people globally acquired HIV and 1.6 million [1.3 – 2.1 million] people died from HIV-related causes. The global public health response to the epidemic was divided and uneven.

Prevention programmes were reducing the number of people newly infected in some key countries, but the global number of people living with HIV was rising. In western Europe, North America, Australia, Brazil and New Zealand, HIV treatment was saving many thousands of lives, yet it was barely reaching countries in Africa and Asia. In the entire WHO African Region, for example, hardly any of the almost 21 million people living with HIV in 2000 were receiving antiretroviral therapy (ART), and the situation was similar in the WHO South-East Asia Region and Western Pacific Region, where about 4 million people were living with HIV. Sceptics argued that the high cost of medicines and insufficient health sector capacity hindered the delivery of HIV treatment at scale across the world.

Fifteen years later, the public health response to HIV has been transformed into a largely successful, global public health response covering prevention, testing, treatment and care. Successful HIV programmes are not yet universal, but they are operating in an increasing number of countries across the globe, often reaching into remote and marginalized communities – from Brazil to Cambodia to Malawi, from sex workers in the Dominican Republic, India and Senegal, to people who inject drugs in China, Lebanon and Ukraine and to men who have sex with men in Argentina, Australia and the Bahamas.

Today, the HIV response is bringing treatment to many millions of people, delivering life-saving services to remote communities and enabling people to use and benefit from those services. The annual number of people acquiring HIV infection globally has been reduced to the lowest level in more than 20 years, and the number of people dying from HIV-related causes has been reduced by more than 40% in the past decade. Almost 16 million people are receiving HIV treatment, more than 11 million of them in the WHO African Region, where only about 11 000 people were receiving ART 15 years ago.

The global health response to HIV represents one of the great public health feats of recent times. It is the result of enormous resolve and far-sighted innovations. Much of it was pioneered or taken to scale in the African Region (Box 1.2).

This feat made it possible at a global level to reach Millennium Development Goal 6, which called for halting and beginning to reverse the spread of HIV by 2015. The HIV response also contributed to reducing child mortality.
(Millennium Development Goal 4), reducing maternal mortality (Millennium Development Goal 5) and to creating innovative development partnerships between government, civil society and the private sector (Millennium Development Goal 8).

However, the experiences have varied greatly between countries. Many have made considerable progress, but some have been unable to sustain early successes and others have failed to curb their HIV epidemics. Growing epidemics are underway in several countries, partly hidden in globally aggregated HIV data. Similar variation occurs within countries. These realities highlight the importance of understanding and acting on HIV epidemics at the local level, which will be especially crucial for the next phase of the HIV response.

1.1.1 Reducing the number of people newly infected with HIV

Millennium Development Goal 6 has been achieved. Globally, the annual number of people acquiring HIV infection has been reduced by 35% since 2000, and 30 million people have avoided acquiring HIV infection.

The estimated 2 million [1.9 – 2.2 million] people newly infected with HIV globally in 2014 was the lowest number since 1990 (Fig. 1.1) and 35% fewer than the 3.1 million in 2000. This decline means that the world achieved Millennium Development Goal 6 – “To halt and begin to reverse the spread of HIV by 2015”. Importantly, the number of people 15–24 years old acquiring HIV infection globally has declined from an estimated 980 000 [930 000–1 020 000] to 620 000 [560 000–680 000] since 2000. Overall, an estimated 30 million people have avoided acquiring HIV infection in the past 15 years.

A great deal of this achievement stems from progress in the WHO African Region, where 41% fewer people acquired HIV infection from 2000 to 2014 – from an estimated 2.3 million [2.2 – 2.4 million] to 1.4 million [1.2 – 1.5 million] (Fig. 1.2). In some countries with great burdens of HIV infection, the number of people newly infected since 2000 has dropped even more steeply: at least 75% fewer in Burundi, more than 50% fewer in Botswana, Côte d’Ivoire, Ghana, Malawi, the United Republic of Tanzania and Zimbabwe and more than 40% fewer in Namibia, South Africa (which still has the world’s largest HIV epidemic) and Swaziland. However, there are exceptions: since 2000, the annual number of people acquiring HIV infection increased by 28% in Angola, by 52% in Mali and by 42% in Uganda. When HIV responses are insufficient, the epidemic rebounds.

The WHO Region of the Americas consolidated impressive progress made between the mid-1990s and mid-2000s, but the annual number of people acquiring HIV infection remained more or less constant in the past decade (Fig. 1.2). Similarly, the number of people newly infected in the South-East Asia Region and Western Pacific Region declined substantially up to 2009, but these decreases were not sustained. In the Eastern Mediterranean Region and in the European Region, more people have acquired HIV infection during the past 15 years. Notably, the African Region is the only WHO region recording a consistent drop in the number of people newly infected since 2010. There is considerable variation within the WHO regions, however. In the Region of the Americas, for example, the steep drop in the number of people newly infected during 2000–2014 in the Dominican Republic contrasts with a sharp rise in Cuba. During the same period, the number of people newly infected in the South-East Asia Region increased by five times in Indonesia but decreased by two thirds in Thailand. Regional averages are useful, but they should not hide the fact that some country epidemics have been contained while others are re-emerging or growing anew.
Fig. 1.2 Estimated annual number of people newly infected with HIV by WHO region by year (with upper and lower uncertainty bounds), 1990–2014

African Region

Region of the Americas

South-East Asia Region

European Region

Eastern Mediterranean Region

Western Pacific Region

Source: UNAIDS/WHO estimates.
1.1.2 Reducing HIV transmission from mothers to children

The number of children (0–14 years old) acquiring HIV infection globally has been reduced by 58% since 2000 and by 45% since 2009, with a large majority of these gains occurring in the WHO African Region.

Programmes to prevent the mother-to-child transmission of HIV prevented about 1.4 million children from acquiring HIV infection globally in the last 15 years, about 1.2 million of them in the African Region.

The number of children (0–14 years old) newly infected globally declined by 58% from 2000 to 2014, from an estimated 520,000 [470,000–580,000] to about 220,000 [190,000–260,000] (Fig. 1.3). This progress has been achieved in two waves.

After countries began implementing programmes for preventing the mother-to-child transmission of HIV (PMTCT) in the early 2000s, the number of children newly infected globally fell by 24% between 2000 and 2009. The launch of the Global Plan towards the elimination of new HIV infections among children by 2015 and keeping their mothers alive (1) in 2011 intensified PMTCT efforts markedly, especially in the 21 priority countries in the African Region, which accounted for about 83% of the children acquiring HIV globally at that time.

South Africa made the strongest progress (with 76% fewer children acquiring infection in 2014 compared with 2009, the baseline for the Global Plan), followed by the United Republic of Tanzania (72%), Uganda and Mozambique (69% each), Ethiopia (65%), Namibia (64%) and Swaziland (63%). Some countries with a high burden of HIV infection (including Botswana, Burundi, Namibia and Swaziland) made remarkable progress, as they moved towards eliminating mother-to-child transmission of HIV as a public health problem.

Outside the African Region, very low rates of mother-to-child transmission of HIV have been achieved, and the agenda is moving towards achieving the elimination of mother-to-child transmission of both HIV and syphilis. In mid-2015, Cuba became the first low- and middle-income country to formally validate the elimination of mother-to-child HIV transmission, and several other countries are expected to match that achievement (2).

Overall, PMTCT programmes prevented an estimated 1.4 million children from acquiring HIV infection globally during 2000–2014, about 1.2 million of them in the African Region.

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1.1.3 Reducing the number of people dying from HIV-related causes

HIV treatment prevented an estimated 7.8 million people from dying globally between 2000 and 2014.

Globally in 2014, 24% fewer people died from HIV-related causes than in 2000 and 42% fewer than in 2004, when HIV-related deaths peaked.

Mortality has risen among adolescents living with HIV, however, and disparities in treatment access are limiting its impact among key populations.

The HIV epidemic claimed an estimated 1.6 million [1.3 – 2.1 million] lives in 2000, with about 78% of these people dying in the African Region. By the time the global effort to rollout HIV treatment began gathering momentum in 2004, the estimated annual number of people dying from HIV-related causes exceeded 2 million. From that point onward, improved access to ART led to a sharp and ongoing drop in the number of adults, adolescents and children dying from HIV-related causes. The estimated 1.2 million [980 000–1 600 000] lives lost to HIV in 2014 were 24% fewer than 2000 and 42% fewer compared with the peak in 2004 (Fig. 1.4).

HIV-related deaths among children (younger than 15 years) have declined at an even quicker rate than among adults. The estimated 150 000 [140 000–170 000] children who died from HIV-related causes in 2014 were 42% fewer than in 2000 and 47% fewer than in 2004, when HIV-related deaths among children peaked (Fig. 1.5). This ongoing success has largely resulted from PMTCT programmes in the African Region.

Nevertheless, increases in the number of adolescents (10–19 years old) dying from HIV-related causes indicate that ART services are inadequate for this age group (3). HIV is the leading cause of death in the African Region and the second most common cause of death globally among adolescents (4).

Fig. 1.4 Estimated number of people dying from HIV-related causes by WHO region by year, 1990–2014

Source: UNAIDS/WHO estimates.
The decline in HIV-related mortality has been especially sharp in the African Region, where the number of people dying from HIV-related causes was nearly halved in the past decade (Fig. 1.6). The estimated 790 000 [690 000–990 000] people in the African Region who died from HIV-related causes in 2014 were 48% fewer than the 1.5 million [1.3 – 1.9 million] people who lost their lives to HIV in 2004.

The Region of the Americas made early inroads against HIV, with the number of people dying from HIV-related causes falling 46% since peaking in 1995 and 33% since 2000, to 66 000 [42 000–120 000] in 2014. In the South-East Asia Region and Western Pacific Region, HIV-related deaths increased significantly until the mid-2000s, when ART became more widely accessible. Since then, the number of people dying from HIV-related causes declined by about 32% in the South-East Asia Region to 190 000 [120 000–380 000] and by 27% in the Western Pacific Region to 50 000 [37 000–80 000] in 2014.

An opposite trend played out in the European Region where the estimated number of people dying from HIV-related causes rose by more than 150% between 2000 and 2014, although declining slightly since 2012. There the HIV epidemic claimed the lives of an estimated 72 000 [45 000–110 000] people in 2014 versus 28 000 [21 000–40 000] in 2000. In the Eastern Mediterranean Region, the number of people dying from HIV-related causes increased from 3900 [2300–7000] to 15 000 [9800–28 000] during the same period.

As with new HIV infections, these regional averages can obscure important contrary trends in some countries. In the African Region during 2000–2014, for example, HIV-related deaths increased significantly in some countries with a high burden of HIV infection, including Angola, Cameroon, Mozambique and Nigeria. HIV-related deaths also increased steeply in Indonesia (South-East Asia Region), Pakistan (Eastern Mediterranean Region), Guatemala (Region of the Americas), the Lao People’s Democratic Republic and the Philippines (Western Pacific Region) and Uzbekistan (European Region), for example.
Fig. 1.6 Estimated number of people dying from HIV-related causes by WHO region by year (with upper and lower uncertainty bounds), 1990–2014

Source: UNAIDS/WHO estimates.
Nevertheless, the overall impact of HIV treatment programmes has been massive: an estimated 7.8 million HIV-related deaths were averted between 2000 and 2014. As a result, life expectancy has increased substantially in several countries with a very great burden of HIV infection (Fig. 1.7). In South Africa, which now has more people receiving ART than any other country, life expectancy at birth for women rose from 54 years in 2005 to 63 years in 2014 and from 50 years to 59 years for men. (5). In the United Republic of Tanzania, life expectancy at birth for women rose from 52 years in 2002 to 61 years in 2011 and from 51 years to 58 years for men (6).

Arguably no other public health intervention this century has had as quick and dramatic an impact on individual and population health outcomes as the scale up of ART.

**Fig. 1.7 Changes in life expectancy at birth in selected countries in the WHO African Region with a high burden of HIV infection, 1985–2015**

Improvements in the HIV response have also benefited other vital public health services. Integration of HIV and tuberculosis (TB) services reduced the annual number of people dying from HIV-associated TB globally from 500 000 [460 000–530 000] in 2000 to 390 000 [350 000–430 000] (7)—a 22% decline in 2014.

By 2014, 17 of the 41 countries with the highest burden of HIV and TB coinfection are estimated to have met the target of reducing the number of people dying from HIV-associated TB by at least 50%. This is the result mainly of important improvements in the reach, quality and linking of HIV and TB services (7). Despite these achievements, TB remains a leading cause of HIV-associated hospitalization and of death among people living with HIV worldwide (8). TB accounted for 31% of the estimated 1.2 million HIV-related deaths globally in 2014 (Boxes 1.1 and 1.2).
Box 1.1 How HIV treatment saved almost 8 million lives

Enormous resolve and inventiveness enabled the world to increase the number of people receiving ART from fewer than 700,000 in 2000 to 15.8 million in mid-2015. This would have been impossible without a successful push to drive down the prices of ARV medicines and to simplify delivery.

At more than US$ 10,000 per person per year in 2000, the cost of HIV treatment was out of reach for most people living with HIV. Skepticism about the feasibility of using ART in countries with weak health systems also stood in the way of equitable access (9).

This contradiction – increasing numbers of people dying despite the existence of effective treatment – fueled a groundswell of social activism and community mobilization that quickly grew into an international treatment advocacy movement. This movement successfully pushed for a succession of breakthroughs that transformed the HIV landscape in the early 2000s.

In 2000, about 690,000 of the estimated 28.6 million [26.4 – 31.2 million] people living with HIV worldwide were receiving ART, most of them in high-income countries; the exception was Brazil, which was the first low- and middle-income country to introduce free ART through the public sector. About 93% of the people receiving ART lived in North America, western Europe and Brazil. ART in the WHO African Region was limited to a very small minority of people who could afford private health care (Table 1.1).

Concerted pressure and increased manufacturing competition drove down the prices of ARV medicines, while other support, including from the newly created Global Fund to Fight AIDS, Malaria and Tuberculosis and the United States President’s Emergency Plan for AIDS Relief (PEPFAR), made large treatment programmes increasingly feasible. The situation changed rapidly, with the global total of people receiving ART doubling every three to four years since 2000. The estimated 2.2 million people receiving ART globally at the end of 2005 represented a 200% increase in five years (10). By 2010, the total number of people receiving treatment exceeded 7.5 million, and by 2014 it had almost doubled again, to reach 14.9 million, and 15.8 million in mid-2015.

At the end of 2014, about 40% of the people living with HIV were receiving ART; in the African Region, which is home to 70% of people living with HIV globally, ART coverage was 41% [38–46%]. More than 3 million people in South Africa were receiving ART at the end of 2014, the largest treatment program in the world. In the African Region overall, people living with HIV are now more likely to receive HIV treatment than their peers in any other WHO region except the Region of the Americas.

### Table 1.1 Number of people receiving antiretroviral therapy, estimated number of people living with HIV and estimated coverage of antiretroviral therapy globally and by WHO region in 2000, 2005, 2010 and 2014

<table>
<thead>
<tr>
<th>Region</th>
<th>2000</th>
<th>2005</th>
<th>2010</th>
<th>2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of people on ART</td>
<td>20,000,000</td>
<td>22,500,000</td>
<td>24,500,000</td>
<td>34,400,000</td>
</tr>
<tr>
<td>Estimated number of people of living with HIV</td>
<td>10,700,000</td>
<td>20,800,000</td>
<td>22,700,000</td>
<td>25,800,000</td>
</tr>
<tr>
<td>ART coverage (%)</td>
<td>3</td>
<td>3.3</td>
<td>3.5</td>
<td>3.7</td>
</tr>
<tr>
<td>Number of people on ART</td>
<td>17,100,000</td>
<td>19,500,000</td>
<td>21,200,000</td>
<td>24,100,000</td>
</tr>
<tr>
<td>Estimated number of people of living with HIV</td>
<td>10,600,000</td>
<td>21,500,000</td>
<td>22,300,000</td>
<td>25,300,000</td>
</tr>
<tr>
<td>ART coverage (%)</td>
<td>21–27</td>
<td>26–32</td>
<td>29–35</td>
<td>32–37</td>
</tr>
<tr>
<td>Number of people on ART</td>
<td>1,100,000</td>
<td>1,300,000</td>
<td>1,400,000</td>
<td>1,600,000</td>
</tr>
<tr>
<td>Estimated number of people of living with HIV</td>
<td>650,000</td>
<td>730,000</td>
<td>810,000</td>
<td>950,000</td>
</tr>
<tr>
<td>ART coverage (%)</td>
<td>55–65</td>
<td>62–69</td>
<td>68–71</td>
<td>76–83</td>
</tr>
</tbody>
</table>

Sources: Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) and UNAIDS/WHO estimates.

*The data for the European Region are for low- and middle-income countries. Estimates for high-income countries in the European Region are currently under review.*
1.2 How the impact has been achieved

This section presents some of the core factors that have led to the creation of a coherent and increasingly effective public health response to HIV around the world.

A powerful global public health response has been built. It has mobilized political commitment and forged new partnerships around clear targets, harnessed a civil society movement for advocacy and implementation and developed a strong package of services, guidance and country support to get the work done. At the heart of these achievements lie common features that enabled the health sector response to HIV to be transformed.

1.2.1 Political commitment and partnerships were focused on targets

Progress has been made by focusing political commitment on achieving global targets and by creating accountability mechanisms for reporting against these targets.

An early breakthrough was the inclusion of the goal of halting and beginning to reverse the HIV epidemic by 2015 among the Millennium Development Goals in September 2000. A succession of other global targets and commitments followed and became powerful tools for mobilizing and focusing political commitment and action (Fig. 1.8 and Table 1.2). The HIV movement used these targets to leverage and build new partnerships at the global, regional and national levels. Accountability mechanisms, regular reporting of results and integrating targets into funding and national programmes helped to sustain the momentum.

Fig. 1.8 Timeline showing key events versus the number of people receiving antiretroviral therapy, 2000–2015

- 0 million
- 5 million
- 10 million
- 15 million


- Millennium Development Goals call for reversing HIV epidemic by 2015
- Outban International AIDS Conference
- United Nations General Assembly Special Session on HIV/AIDS
- Doha Declaration on the TRIPS Agreement and Public Health facilitates the buying and manufacturing of generic antiretroviral medicine
- HIV is leading cause of death globally among adults (15–59 years)
- Global Fund to Fight AIDS, Tuberculosis and Malaria created
- “3 by 5” campaign launched to bring HIV treatment to 3 million people by 2005
- Clinton Foundation secures major price reductions for antiretroviral medicine
- South African announces national roll-out of HIV treatment
- Global HIV-related deaths peak
- PEPFAR begins first round of funding
- Option B+ introduced
- WHO guidelines recommend that all people with a CD4 count <500 cells/mm³ start ART right after diagnosis
- First Indian drug manufacturer gains approval to produce generic antiretroviral medicines for PEPFAR
- START study shows early ART improves health outcomes
- 15.8 million people receiving ART
- Cuba confirms eliminating mother-to-child transmission
- WHO guidelines recommend that all people start ART right after diagnosis
- United States Food and Drug Administration approves the first HIV self-testing kit
- Global Plan towards the elimination of new infections among children by 2015 and keeping their mothers alive launched
- 3 million people receiving ART in low- and middle-income countries
- Recommendations issued for medical male circumcision, based on study findings
- Vaccine trial halted due to lack of efficacy
- Guidelines issued for provider-initiated HIV testing
- Studies confirm that ART greatly reduces the risk of transmitting HIV
- Millennium Development Goals call for reversing HIV epidemic by 2015
- UNITAD launched to create international drug purchasing facility
- Atripla approved as first once-daily HIV medicine
- United Nations Member States endorse call for universal access to HIV services
- "3 by 5" campaign launched to bring HIV treatment to 3 million people by 2005
- Clinton Foundation secures major price reductions for antiretroviral medicine
- South African announces national roll-out of HIV treatment
- Global HIV-related deaths peak
- PEPFAR begins first round of funding
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- 15.8 million people receiving ART
- Cuba confirms eliminating mother-to-child transmission
- WHO guidelines recommend that all people start ART right after diagnosis

Many national AIDS programmes were operating in 2000. Most, however, lacked sufficient financial backing, and HIV activities were not mobilized behind a common goal and set of targets. That changed quickly as a global HIV movement seized the initiative and pressed for a series of ambitious global commitments, and the research and academic communities built the evidence base.
Box 1.2 Six innovations in Africa which changed the course of their HIV epidemics

Innovations around the world transformed the HIV efforts of countries into a global response capable of achieving Millennium Development Goal 6 and getting HIV treatment to almost 16 million people. The treatment programmes pioneered in Brazil, the prevention successes in Cambodia, India and Thailand and the enterprising efforts to reach key populations with HIV services in China and Ukraine are some of the examples that have inspired and informed programmes around the world.

Nevertheless, the innovations in national programmes have had the greatest impact in the African Region. Faced with the largest HIV epidemics in the world, many countries in the Region overcame formidable constraints to build public health responses to HIV powerful enough to turn the tide against their epidemics. The number of people newly infected with HIV declined by an estimated 41% during 2000–2014, and the extraordinary rollout of HIV treatment averted an estimated 5.4 million deaths. Countries achieved this by assimilating lessons from across the Region and elsewhere and by identifying new ways of overcoming hurdles and scaling up interventions.

1. Taking HIV treatment to scale

Against the odds and despite constrained health systems, the African Region built the world’s biggest HIV treatment programmes, using a public health approach that is saving lives and reducing the number of people acquiring HIV infection. The Region now provides treatment to more than 11 million people; in 2000, about 11 000 people were being treated. Countries achieved this by successfully negotiating affordable prices for ARV medicines, by simplifying and decentralizing service delivery systems and making funding go further and by building strong supply chains for ARV medicines and other HIV commodities. New ways of supporting people living with HIV are being introduced to retain more people on lifelong ART. The achievements have not been uniform, however. Treatment coverage is still lower than the global average in some countries with a high burden of HIV infection, and many health systems continue to struggle against serious constraints. There are also concerns about the sustainability of treatment programmes that have relied heavily on earmarked external funding. Nevertheless, the Region on the whole has shown that large, complex treatment programmes can be rolled out, even in the most trying circumstances.

2. Bringing HIV services into communities

Countries have used innovative methods to develop a long-term, chronic care model capable of delivering services at the most appropriate levels of health systems. This model combines the respective strengths of clinics and communities and involves pragmatic divisions of responsibility. Vital services have been decentralized, and cadres of community health workers have been trained to take HIV and other health services deeper into communities, as seen in Ethiopia, Malawi and Zambia, for example. Some countries have gone as far as shifting the delivery of ART and management of people receiving ART to home-based caregivers (11,12). Powered by nurses and other health workers and by networks of people living with HIV, this approach has built a strong basis for tackling HIV and delivering wider health benefits. Many of the innovations were pioneered by such groups as The AIDS Service Organisation in Uganda (13), which integrated prevention and treatment activities at the community level to help to build a coherent public health response (14).

3. Eliminating mother-to-child transmission

The African Region has substantially cut children’s risks of acquiring HIV infection. It did this by increasingly linking HIV and antenatal care services so that, in most countries in the region, the vast majority of pregnant women are now tested for HIV and, if HIV-positive, receive ARV medicine to protect their infants from acquiring HIV infection. Malawi pioneered the provision of lifelong HIV treatment to all pregnant women living with HIV, which became the standard recommendation for all countries. Such countries as Botswana, Malawi and Rwanda have pioneered new approaches for diagnosing, testing and jointly treating mothers and children and integrating HIV services with antenatal care.

4. New approaches to prevention and testing

Countries in the African Region have added new prevention methods, notably voluntary medical male circumcision, to strengthen combination prevention. Since 2007, more than 10 million men have been circumcised in 14 designated priority countries. Countries have also led the way with provider-initiated HIV
testing approaches, mass testing campaigns, the use of lay testing counsellors and point-of-care testing and linking HIV testing to other health services – all of which dramatically increased the numbers of people taking HIV tests and HIV diagnoses. These examples have helped to shape new global guidance on HIV testing.

5. **Integrated health responses to HIV and TB**

The Region has brought together services for preventing and treating HIV and TB in ways that have boosted both sets of interventions, with such countries as Benin, Rwanda and South Africa leading the way. Across the Region, the integration of HIV and TB services has increased the proportion of diagnosed people with TB who know their HIV status to almost 80% (7), with more than three quarters of the people with both HIV and TB initiating ART in 2014. These integrated approaches saved an estimated 1.3 million lives in sub-Saharan Africa between 2005 and 2014 (7).

6. **Funding for sustainability**

The Region has supplemented external funding for its HIV programmes with domestic funds – to the point where large and growing portions of some of the largest programmes in the Region are now funded domestically. Countries are also using enterprising new ways of generating additional funds. Zimbabwe increased domestic funding by adding an AIDS levy to the income system, and Rwanda has pioneered integrating HIV services into its national social insurance scheme. The latter system, which currently covers almost 90% of the country’s population, includes treatment services free of user charges for HIV, TB and malnutrition and has increased the uptake of health services generally.
Table 1.2 Progress made against key global HIV targets

<table>
<thead>
<tr>
<th>HIV target</th>
<th>Epidemic status when target was set</th>
<th>Global progress</th>
<th>Progress in the WHO African Region</th>
</tr>
</thead>
<tbody>
<tr>
<td>Millennium Development Goal 6: Halt or reverse the HIV epidemic by 2015</td>
<td>Estimated 3.1 million people acquired HIV infection globally in 2000</td>
<td>Estimated 2.0 million people acquired HIV infection in 2014</td>
<td>Estimated 1.4 million people acquired HIV infection in 2014</td>
</tr>
<tr>
<td></td>
<td>2.3 million people acquired HIV infection in the African Region in 2000</td>
<td>Declining HIV incidence in 82 countries compared with 2000</td>
<td>Declining HIV incidence in 38 countries</td>
</tr>
<tr>
<td>3 million people living with HIV receiving ART by 2005</td>
<td>Estimated 970 000 people receiving ART globally in 2002</td>
<td>Estimated 2.2 million people receiving ART in 2005</td>
<td>Estimated 730 000 people receiving ART in 2005</td>
</tr>
<tr>
<td></td>
<td>Estimated 52 000 people receiving ART in the African Region in 2002</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 million people living with HIV receiving ART by 2005</td>
<td>Estimated 400 000 children acquired HIV infection in 2009</td>
<td>Estimated 220 000 children acquired HIV infection in 2014 (45% reduction compared with 2009)</td>
<td>Estimated 190 000 children acquired HIV infection in 2014</td>
</tr>
<tr>
<td>Reduce by 90% the number of children newly infected with HIV by 2015</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(Global Plan for eliminating mother-to-child transmission and keeping their mothers alive)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Estimated 6.1 million people receiving ART in the African Region in 2011</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1.2.2 A public health approach was put into practice

An innovative and integrated public health package of HIV services was developed and improved based on emerging evidence.

Regularly updated, evidence-informed recommendations guided the selection of HIV services by countries while technical and other types of assistance supported implementation.

Translating targets into operational packages of interventions has been crucial while guidance on treatment, prevention and care services has been regularly updated and simplified and country support has been provided for implementation. This has laid the basis for the global public health approach that has been rolled out in three phases.

In 2002, WHO issued the first guidelines for aligning HIV treatment with the public health approach by providing a standard package of treatment and care (15). This was backed by country support, while social justice networks and various partners sought to reduce the prices of ARV medicines and to expand access to the standard package.

The “3 by 5” initiative, which targeted reaching 3 million people with ART by 2005, added major impetus to the public health approach and helped to mobilize greater commitment and resources. A scale-up period followed during which the treatment and care package was progressively simplified and standardized and quality assurance was strengthened. For example, diagnostics and recommended treatment dosages were standardized and the number of pills required for treatment was reduced. Whereas HIV treatment in 2000 could entail taking up to 28 pills a day at various intervals, in 2015 the standard regimen for most people starting treatment is a single pill taken once a day.

The public health package enabled new approaches and guidance for prevention, voluntary medical male circumcision, HIV testing, strengthening retention in care and treatment adherence to be integrated at all levels. This has enabled the development and rolling out of a global public health package of services that is simplified and that can be adapted rapidly to reflect new scientific evidence and implementation experiences.

Regularly updated WHO guidance has been crucial for this process, with many of the recommendations adopted rapidly in countries. In 2013, WHO consolidated its guidance on HIV treatment and integrated it further into a public health package with testing and prevention, focusing on increased access and impact. Updated guidance, published by WHO in 2015 (16), recommends initiating early ART for all people with HIV (see Chapter 2). This approach recognizes the major benefits of early HIV treatment for both HIV prevention and viral suppression at the individual and population levels.
1.2.3 Civil society extended the HIV response into communities

Global pressure for universal treatment access drove the prices of ARV medicines down and enabled treatment to be scaled up.

Community groups have supported the expansion of HIV services into remote and marginalized communities in all global regions.

Powered by the activism of people directly affected by the epidemic, the HIV movement grew from its origins in urban communities in the Americas, western Europe and Australia and largely women-led community groups in Africa to become a global health movement. The principle of greater involvement of people living with HIV became a beacon for the response, strengthened political governance and deepened the implementation of HIV programmes.

The movement made several key breakthroughs. It elevated the epidemic high on the public health agenda and positioned it as a priority for social justice and human rights. It emphasized the links between the epidemic and development in low- and middle-income countries. It led by example, pioneering models of community-based prevention and care, including for marginalized and key populations, and pushed for policy changes and institutional support for these initiatives. It globalization demands for access to affordable treatment, bringing together activists and advocates from all regions and focusing their energies especially on expanding treatment access in the African Region. It democratized and supported the implementation of HIV services.

Millions of people have benefited, from remote rural villages in Africa to men who have sex with men in China and Thailand, sex workers in the Dominican Republic and India and people who inject drugs in Spain and Switzerland. Arguably, no other global health movement has been as popularly grounded and politically effective as the response to HIV.

1.2.4 Funding was mobilized and costs were reduced

Donor assistance was mobilized to help reach the agreed targets, including through unique new funding mechanisms, while domestic funding increased substantially.

Sustained pressure forced price reductions for ARV medicines that enabled treatment programmes to be implemented around the world.

International funding assistance for HIV programmes had been limited up to 2000, with estimates published in 2001 showing a massive shortfall in the funding available to confront the epidemic (19). As concerns grew that the epidemic was spinning out of control, funding for HIV programmes began to increase sharply.

The World Bank initially committed US$ 500 million for the Multi-Country HIV/AIDS Program for Africa and sustained high levels of funding support. Major funding was added with the creation in 2002 of the Global Fund to Fight AIDS, Tuberculosis and Malaria, a unique multilateral funding mechanism for public health, and the United States President’s Plan for Emergency AIDS Relief (PEPFAR), a bilateral aid programme, in 2003. The Global Fund quickly became one of the largest sources of funding for HIV, TB and malaria programmes in low- and middle-income countries, investing approximately US$ 4 billion per year to support national and community programmes. Since 2003, PEPFAR has funded almost US$ 52 billion of HIV programmes through bilateral channels as well as contributing via the Global Fund (20). Bilateral funding also increased, and funding and other support from entities such as the Bill & Melinda Gates Foundation and the Clinton Health Access Initiative made important contributions.

Total HIV funding in low- and middle-income countries increased sharply before levelling during 2008–2010 and then rising again. Between 2000 and 2014, more than US$ 187 billion was allocated to HIV programmes in low- and middle-income countries (21). A new governance approach for health emerged, with governments, civil society organizations, multilateral agencies, philanthropic bodies and public–private partnerships often deciding jointly how and where to deploy HIV funding.
Domestic funding became an increasingly significant source of money for HIV programmes in low- and middle-income countries: after 2005 it almost doubled to about US$ 10 billion in 2012. By 2014, domestic funding comprised about 57% of total resources available for HIV programmes in low- and middle-income countries. Between 2009 and 2014, 84 of 121 low- and middle-income countries increased their domestic spending on AIDS. Among these, 46 countries reported an increase of more than 50%, including 35 countries that reported an increase of greater than 100% (21). This shift is important for strengthening the ownership and sustainability of countries’ HIV responses.

Other innovative funding methods were introduced. A levy on airline tickets provides a large share of the funding for the international purchasing facility UNITAID, which has been instrumental in increasing the provision of ART for children, second-line ARV medicines, integrated prevention of mother-to-child transmission and point-of-care and decentralized HIV diagnostics. Some countries are using dedicated tax levies and fees imposed on mobile phone use (22) and HIV trust funds (23) to supplement HIV funding.

The specialized clinical model of service delivery evolved into a more integrated public health approach that is more flexible and reaches further into communities. This greatly extended access to services in places with a high burden of HIV infection, especially for HIV testing and treatment (27). Diagnostic and treatment regimens were simplified and standardized and were connected more firmly with testing and outreach services. HIV services were integrated at first with antenatal care and then with TB and other services. By linking clinical and community interventions, treatment was scaled up following a public health model of delivery, most obviously in countries with high burden of HIV infection in the African Region.

Human resource models were adapted to use nurses and community health workers in more flexible and mutually supportive roles. It became possible to provide services at the most appropriate levels of health systems, with an emphasis on bringing these services closer to communities.

Since the first report of a drug showing efficacy against HIV emerged in 1986, more than 35 drugs and formulations have been approved for use (29), and more than a dozen compounds are in the pipeline, including long-acting drug formulations (26). ARV medicines have been successfully deployed for treatment, PMTCT, post-exposure prophylaxis (PEP) and pre-exposure prophylaxis (PrEP). In the field of diagnostics, research has led to the development of self-testing kits and point-of-care diagnostics for key laboratory measures, including CD4 count and viral load. Other biomedical innovations have included the demonstrated efficacy of medical male circumcision, supported by the development of safe, simple circumcision devices, and the ongoing pursuit of an HIV vaccine.

As the potential grew for boosting HIV treatment coverage, service delivery models had to be adapted. This entailed shifting the provision of HIV treatment from a specialized clinical model to one that is more flexible and reaches further into communities. National programmes drew on some of the community-based models used in disease prevention programmes in the 1990s.

The price reductions achieved for ARV medicines rendered large-scale treatment programmes feasible (Box 1.2). Pressure from a global movement for treatment access, backed by key legal decisions, led to the relaxation of some intellectual property restrictions affecting first-line ARV medicines, which enabled the manufacturing and marketing of more affordable generic versions. Arrangements brokered on many fronts helped lower the costs of HIV treatment and strengthen systems for ART delivery. As ART programmes expanded, the growing economies of scale lowered the prices further (see Chapter 3). Countries achieved further savings by adjusting tender specifications, reducing transport and logistical expenses and lowering tariffs and duties.

The global HIV response also led the way in protecting people against the financial risks associated with seeking health care. Many HIV services are now provided free of charge, and countries increasingly use supportive arrangements to minimize the indirect costs for the people receiving services. Rwanda and Thailand are among the countries that have integrated HIV services into their basic health service and/or social insurance packages (24).

1.2.5 Innovations in science and implementation were widely used

Research-driven breakthroughs in basic and clinical science and implementation research provided the tools for reversing the HIV epidemic.

Specialized clinical service models were adapted into more flexible, community-based approaches, and ways of providing HIV services have taken them into remote and marginalized communities.

Human resource models were adapted to use nurses and community health workers in more flexible and mutually supportive roles. It became possible to provide services at the most appropriate levels of health systems, with an emphasis on bringing these services closer to communities.

In some countries, community groups and nongovernmental organizations (NGOs) have been the only groups capable of effectively reaching marginalized key populations with HIV prevention and treatment services. In eastern Europe and central Asia, for example, NGOs and community-based group are centrally involved in providing harm reduction and other outreach services for key populations, community-based rapid HIV testing and linkages to HIV care. Community-based approaches have also helped reduced stigma and discrimination.
These service delivery adaptations are providing a basis for tackling communicable and chronic conditions in resource-poor settings, an important aspect of the sustainable development agenda.

1.2.6 Data improved and increasingly drove decisions

Improved HIV data and analysis strengthened knowledge of country epidemics and enabled services to be tracked, adjusted and expanded accordingly.

A global accountability framework was built and adapted to serve results-based national programmes.

Several successful HIV prevention programmes in the 1990s (in Thailand and Uganda, for example) had used data at the local level to support community-based responses, but this was not yet a common approach. This changed after 2000, as the global HIV response used HIV data more coherently, a process that occurred in three phases.

• Global HIV targets were set to guide HIV responses and serve as a basis for regular reporting and accountability.

• The targets were built into the implementation models of funding agencies and national programmes, which enabled them to focus on common results. Data were used to measure and manage programme implementation.

• Programmes increasingly generated disaggregated and real-time data to guide activities and programme decisions at the local and community levels.

Progress against the targets was regularly and widely reported, with the analysis of achievements and areas for improvement feeding into an ongoing learning process. This built accountability into the HIV response. The targets were incorporated into national strategies, where they informed national budgets and programmes. Governments and other partners shared their HIV data biannually in a standardized manner, enabling comparable data to be reported. By 2015, 180 countries were reporting their HIV programme results in a regular and consistent manner. These kinds of accountability mechanisms are relatively unique in the development sector and comprise a cornerstone for the global HIV response.

HIV data collection grew more sophisticated and comprehensive, especially in the African Region, where household-based surveys with HIV elements became important for guiding programming decisions. An emerging development is the collection of disaggregated and granular data to focus HIV interventions for greater impact at the local and community levels. There has been significant investment in Demographic and Health Surveys (increasingly featuring periodic biological markers), electronic district health information systems, key population mapping techniques, along with regular programme and impact reviews convened by WHO.

The collection and use of reliable HIV data has also been vital for managing the procurement and supply chains that underpin the scaling up of HIV treatment. Improved forecasting of ARV medicines and other HIV commodity needs and information-sharing between the different levels of the supply chain have been crucial for minimizing stockouts of testing kits and ARV medicines, for example, and for increasing programme efficiency (28).

1.3 A platform for ending the AIDS epidemic

A powerful global public health response has been built, with large declines in the numbers of people newly infected with HIV and dying from HIV-related causes. The response has proved that life-saving services can be delivered effectively, equitably and at massive scale in very difficult circumstances. These accomplishments have made it possible to pivot the global HIV response towards ending AIDS as a serious public health threat.

The global response is at a critical juncture. Even gradually expanding the current coverage of key services will not be enough to end AIDS. Epidemics would resurge, the number of people newly infected with HIV would increase again and the numbers of people requiring HIV treatment and care would keep growing for generations hence. The costs of prevention, care and treatment would continue to grow for the long term.

The global HIV response has to shift into even higher gear.

Ending the AIDS epidemic by 2030 is the challenge set in the Sustainable Development Goals, which feature the role of health in averting poverty and facilitating development as a major goal. The health goal (Sustainable Development Goal 3) addresses a range of health challenges, notably in Target 3.3, which highlights the need to end the AIDS epidemic. Progress in that quest will contribute to reaching a range of other key health targets.
1.3.1 A set of decisive targets lies ahead …

The goal of ending AIDS has been crystallized into a set of milestones for 2020 and targets for 2030 (29). Grounded in the principle of universal health coverage, these targets are aimed at reducing the numbers of people newly infected with HIV and dying from HIV-related causes decisively enough to position and then keep the HIV response on course for ending the AIDS epidemic. These targets apply to everyone: children, adolescents and adults; rich and poor; women and men; and all key populations.

**HIV-related deaths**
- Reduce the global number of people dying from HIV-related causes to less than 500,000 by 2020.
- Reduce the number of people living with HIV dying from TB-related causes by 75% (compared with 2010).
- Reduce the number of people living with HIV dying from hepatitis B- and C-related causes by 65% in accordance with the mortality targets for all people with chronic hepatitis B and C infection (compared with 2010).

**Testing and treatment**
- 90% of the people living with HIV know their HIV status by 2020, and 95% by 2030.
- 90% of the people diagnosed with HIV are offered ART by 2020, and 95% by 2030.
- 90% of the people living with HIV receiving treatment achieve viral suppression by 2020, and 95% by 2030.
- 90% of the people living with HIV receiving treatment achieve viral suppression by 2020, and 95% by 2030.

**Prevention**
- 75% reduction (compared with 2010) in the annual number of people newly infected with HIV, including among key populations, to fewer than 500,000 by 2020, and a 90% reduction by 2030, to fewer than 200,000.
- Zero children newly infected with HIV.
- A proposed 90% reduction in hepatitis B and C incidence by 2030.

**Discrimination**
- Zero HIV-related discriminatory laws, regulations and policies and zero HIV-related discrimination in all setting, especially health settings.
- 90% of people living with HIV and key populations report no discrimination in the health sector.

These are demanding targets. Reaching them will require overcoming major challenges. “More of the same” will not be enough.

1.3.2 … But a big divide remains

Despite decreasing impressively, the total numbers of people newly infected with HIV and dying from HIV-related causes currently far outstrip the targets. The number of people newly infected needs to be cut by three quarters and the number of people dying needs to decrease by more than half by 2020 to build sufficient momentum to end AIDS by 2030.

The gaps are even greater in some countries and regions in which the achievements in the past 15 years have been modest and wavering. Various disparities also mean that the benefits of HIV interventions are not spread equitably across countries and populations.

**More must be done and more rapidly.** The current coverage of services is inadequate and is improving too slowly to achieve the targets. Globally, almost half (46%) of the estimated 36.9 million [34.3 – 41.4 million] people living with HIV at the end of 2014 did not know their HIV status, and almost 60% were not accessing ART. The full potential of HIV prevention is not being realized: the estimated 2.0 million [1.9 – 2.2 million] people newly infected with HIV in 2014 were four times as many as the target for 2020.

**Quality must be ensured.** The quality of services and commodities must be safeguarded to avoid compromising the effectiveness of HIV programmes, wasting precious resources and undermining public health outcomes. Quality should not be sacrificed for the sake of more rapid expansion.

**Coinfections and other comorbidities have to be tackled.** The morbidity and mortality associated with coinfected such as TB, hepatitis B and hepatitis C and other comorbidities are undermining the investment in and impact of the treatment scale-up. Despite improvements, TB remains the leading cause of HIV-related deaths.

**Overcoming the hindrances requires not only will and effort.** Systems require strengthening or
adjustment, greater resources are needed, interventions can be combined and targeted more precisely, waste can be reduced and quality must be assured. All this is feasible — as the past 15 years have shown.

### 1.3.3 Action for closing the gaps

Closing the gaps will require improvements and innovations along the entire cascade of HIV services for prevention, treatment and care — as discussed in Chapter 2. It will require focusing and linking evidence-based interventions for maximum impact while ensuring their quality and enhancing them with constant innovation.

Cutting the incidence of HIV infection by 75% demands wider and more effective use of combination prevention and bolstering it with new tools and approaches. For example, the full potential of consistent condom use and other behaviour change still needs to be tapped. Alongside these tools, the use of ARV medicines, including ART, as part of combination HIV prevention has game-changing potential. The full preventive power of voluntary medical male circumcision has yet to be harnessed. The development and use of an effective vaccine, even one with partial efficacy, would push the response even closer towards ending the AIDS epidemic.

Drastically reducing the number of people losing their lives to HIV requires successfully shifting to a treat-all approach, as recommended in the latest guidelines issued by WHO (16). This requires diagnosing many more people living with HIV much sooner after they acquire it and successfully linking them to treatment and care services immediately. New HIV testing approaches, including self- and community-based testing, and new quality-assured testing technologies hold great promise for reaching more people living with HIV and enrolling them in treatment.

The people receiving treatment need to be retained in care more successfully and to maintain viral suppression in the long term. This will require unprecedented effort and innovation from countries and partners, with specific attention to overcoming the inequities that remain and assuring the quality of medicines and services. Strategies to strengthen treatment adherence and retention in care will be essential along with strategies that link HIV services with those for TB, viral hepatitis and other major health conditions.

There are enormous opportunities for capitalizing on the progress made over the past 15 years. WHO’s proposed Global Health Sector Strategy on HIV 2016–2021 (30) will describe the priority actions for enhancing the impact, equity and efficiency of HIV services along the prevention, diagnosis, treatment and care continuum (see Chapter 3).

In addition, WHO will continue to issue new and updated technical guidelines to help steer this momentous shift towards ending the AIDS epidemic. The latest guidelines detail the opportunities and improvements that are needed in four crucial areas:

- **Testing**: expanding the coverage and quality of HIV testing and strengthening links to care (31);
- **Treatment**: achieving treatment for all (16);
- **Key populations**: reaching key populations with HIV services (32); and
- **Strategic Information**: tracking progress along the entire cascade of HIV services and ensuring accountability for reaching the Fast-Track targets (33).

The priority actions and approaches outlined in the proposed WHO Global Health Sector Strategy on HIV 2016–2021 (30), in WHO’s technical guidance and in the next two chapters of this publication will be crucial for meeting the major challenges that lie ahead.
CHAPTER 2

THE HIV PREVENTION AND TREATMENT CASCADE: progress, gaps and priorities

This chapter focuses on the progress and action related to systematically implementing interventions and closing the gaps along the cascade of HIV services. For each stage of the service cascade, the chapter presents country examples to highlight innovations that have brought success, along with the challenges ahead and the action that can address them. In accordance with the report’s focus on how countries in the WHO African Region have transformed their HIV responses to curb their epidemics, many of these examples are drawn from the African Region. The chapter addresses cross-cutting issues such as service delivery models, health system strengthening and health equity, though these are discussed in greater detail in Chapter 3.

In this chapter

2.1 Preventing people from becoming newly infected with HIV
2.1.1 Reducing new HIV infections by changing sexual behaviour and using condoms
2.1.2 Expanding voluntary medical male circumcision
2.1.3 Eliminating the mother-to-child transmission of HIV
2.1.4 Reaching key populations with HIV prevention services
2.1.5 Using ART medicines for prevention

2.2 HIV TESTING AND LINKAGE TO CARE
2.2.1 Diagnosing people living with HIV
2.2.2 Linking people to HIV treatment and prevention

2.3 TOWARDS TREATMENT FOR ALL
2.3.1 Closing the gaps in treatment coverage
2.3.2 Starting HIV treatment earlier
2.3.3 Achieving viral suppression
2.3.4 Closing the gaps in treating children and adolescents
2.3.5 Tackling comorbidities

Achieving the HIV targets requires that countries rapidly increase coverage of high-impact, evidence-based interventions along the entire cascade of services for preventing, diagnosing and treating HIV and focus especially on reducing the number of people newly infected with HIV by 75% (compared with 2010). They will need to do this while emphasizing reaching the populations and geographical locations with the greatest need and without compromising quality.

2.1 Preventing people from becoming newly infected with HIV

The HIV service cascade starts with averting risk and preventing people from acquiring HIV infection, although opportunities for such interventions exist all along the cascade. Prevention services have been the major factor in the decline in the number of people newly infected with HIV during the past 15 years, especially in the WHO African Region. However, additional major improvements are needed to reduce the number of people newly infected with HIV by 75% in the next five years.

The decline in the number of people newly infected with HIV has mainly resulted from combinations of established prevention tools, especially greater use of male condoms and (to a lesser extent) female condoms, behaviour change programmes, the scaling up of ART and HIV prevention packages for populations with high incidence of HIV, especially key populations.1 The natural evolution of the epidemic has been an important contributing factor.

In more recent years, powerful new tools have added great potential to achieve greater reductions in the number of people newly infected with HIV if used in combination with prevention tools that are already established and effective. Uptake of voluntary medical male circumcision has increased rapidly, while other interventions, notably pre-exposure prophylaxis (PrEP) and post-exposure prophylaxis (PEP), have great potential but are insufficiently used at present.

While it is vital to continue the search for a vaccine and for an effective microbicide that can prevent acquisition of HIV, such innovations would still need to be used in combination with existing prevention tools.

Wider coverage of service packages that strategically combine these prevention tools could bring the target of a 75% reduction in new HIV infections by 2020 within reach. For this to happen, interventions need to be selected for maximum effectiveness, and they should be focused where the HIV burden is greatest. Interventions with no or limited effectiveness need to be dropped. Prevention needs to be integrated at all stages of the continuum of HIV services: testing, diagnosis, treatment and adherence support.

1 Key populations are considered to be at very high risk of HIV infection and typically include men who have sex with men, transgender women, sex workers and their clients and people who inject drugs. Prisoners, migrant workers, certain transport workers and military personnel are often also at high risk for HIV infection.
2.1.1 Reducing new infections with sexual behaviour change and condom use

Changes in sexual behaviour are making a difference, but sustaining them and ensuring that HIV prevention services are provided along the entire cascade of services is a challenge.

Condom use has increased but is not consistent enough to realize its full benefits, partly because condoms are still not sufficiently available in many countries.

Sexual behaviour changes, including increased condom use and reductions in high-risk sex, have been important factors in the declines in the number of people newly infected with HIV in the past 15 years, especially in the African Region. Household survey data indicate an overall trend toward reduced risk of sexual HIV transmission in that region, with studies attributing reduced HIV incidence to changing sexual behaviour, including increased condom use, in several countries, including Kenya, Malawi, South Africa, Zambia and Zimbabwe (1–6).

The behaviour changes have included fewer multiple sexual partnerships and delayed sexual debut. As Fig. 2.1 shows, decreasing proportions of young men and/or women have become sexually active before turning 15 years old in several countries with a high burden of HIV infection, including Cameroon, Ethiopia, Kenya, Mozambique and Zimbabwe. However, those trends were not consistent across countries or between men and women.

Fig. 2.1 Percentages of young men and women (15–24 years) who reported having had sex before age 15 years, selected countries in the African Region, 2005–2014

Source: DHS STATcompiler [online database] (7).
Note: The data shown are for countries with comparable data sets for different years. The lighter shaded bar denotes the most recent survey.

There is similar unevenness in the proportions of young men and women (Fig. 2.2) who reported having more than one sexual partner in the previous year. These trends currently are a cause for concern.

During 2000–2014, the percentage of men 15–49 years old reporting multiple sexual partners in the past 12 months increased slightly in 31 countries reporting comparable survey data, with notable increases in several countries in western and central Africa as well as in Ethiopia, South Africa, the United Republic of Tanzania and Zimbabwe (8). There are also indications that earlier positive changes have reversed elsewhere in the world, including in several high-income countries — a reminder that changing behaviour is an ongoing challenge (9–11).
Encouragingly, reported condom use among people 15–49 years old has increased in several countries, especially among women. In the past decade, growing proportions of sexually active young people in the African Region have reported using condoms at last sex.

Generally, reported condom use tends to be higher among men than women (Fig. 2.3 and 2.4), and it is highest among certain key populations, such as female sex workers (75–80%) and men who have sex with men (about 60%). Condom use is considerably lower among people who inject drugs (less than 40%). Overall, consistent condom use tends to be more likely with non-regular partners than in ongoing relations.

Although on the increase, the full benefits of this established intervention are not being realized, including among populations that face the highest risk of HIV infection. Reported condom use at last sex with non-regular partners ranges from 80% in Cambodia and Namibia (for men) to less than 40% in other countries (men and women), including some that are highly affected by HIV (Fig. 2.3 and 2.4). More than 80% of people 15–24 years old in some Latin American and European countries reported using a condom at last sex, compared with less than 30% of their peers in some countries in western Africa (8). More countries need to strengthen access and increase the consistent use of condoms, especially for young people and key populations and between non-regular partners.

Fig. 2.2 Percentages of young men and women (15–24 years) who reported having multiple sexual partners in the previous 12 months, selected countries in the African Region, 2005–2014

Source: DHS STARcompiler [online database] (7).
Note: The data shown are for countries with comparable data sets for different years. The lighter shaded bar denotes the most recent survey.
Insufficient availability of male and female condoms is a major drawback, including among key populations and young people. In the African Region in 2013, as few as 10 condoms per man per year were available, and there was only one female condom available for every eight women (12). Nevertheless, some countries in the Region, including Botswana, Namibia, South Africa and Zimbabwe, have been distributing more than three times the regional average, showing that greater availability can be achieved (13).

**How we can close the existing gaps**

Negative preconceptions about condoms, especially among men, persist and should be addressed. Informed sex education is a vital — although widely neglected — aspect of HIV and sexually transmitted infection prevention generally and of condom promotion specifically (Box 2.1). In addition, programmes that address sexual behaviour are vital for HIV prevention and need to be sustained as new approaches to combination prevention are introduced. Countries and donors need to give priority, with adequate investment, to providing and promoting male and female condoms and lubricants. This includes greater diversification of condom marketing and integration of condom distribution in various existing services, including those for HIV, sexually transmitted infections, harm reduction, family planning and key populations. An appropriate mix of private and public distribution is also needed. In the African Region, for
example, less than 10% of condoms are distributed through the private sector, whereas in some other regions, private sales account for more than 90% of condom distribution.

**Action and innovation to speed up progress**

- Reinforce the need for combination prevention approaches, including new approaches as they become available, along with well established interventions for providing condoms and changing sexual behaviour.

- Revitalize male and female condom programming, including through new public–private partnerships for greater commercial investment in condom markets, especially in the African Region, and invest in developing new condom materials, designs and promotional methods that make condoms more acceptable and easier to use.

- Tackle stigma, including in the health sector, and overcome legal barriers for providing prevention and treatment services, especially for key populations.

**Box 2.1 The burden of sexually transmitted infections is still high, especially among key populations**

Preventing and controlling sexually transmitted infections helps reduce new HIV infections and is an important component of a comprehensive HIV prevention strategy, especially among key populations with many sexual partners.

The global burden of curable sexually transmitted infections remains high, with more than 130 million people infected with Chlamydia trachomatis, 85 million with Neisseria gonorrhoeae and about 6 million with syphilis. Viral sexually transmitted infections account for an additional 417 million prevalent cases of herpes simplex virus infection, and about 291 million women are infected with human papillomavirus (14).

Among key populations, the burden of sexually transmitted infections is especially high. In countries reporting these data in Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) in 2015, at least 5% of female sex workers and men who have sex with men tested positive for syphilis. Legal and social barriers, including stigma and discrimination, continue to block access to high-quality sexually transmitted infection services, thus preventing early diagnosis and treatment. The problem is especially acute for men and transgender women who have sex with men, especially in countries where sex between men is criminalized.

Emerging gonococcal antimicrobial resistance is a growing threat. The latest available data, for 2012, showed 42 countries reporting decreased susceptibility and 10 countries reporting resistance to extended-spectrum cephalosporin, the last-line treatment for gonorrhoea.

**2.1.2 Expanding voluntary medical male circumcision**

Uptake of voluntary medical male circumcision has increased rapidly, with more than 10 million procedures performed by September 2015, more than 3 million of them in 2014 alone.

Some countries have already reached the 80% coverage target, and there are opportunities for more rapidly scaling up this intervention.

Integrating new prevention services into combination prevention is critically important to more rapidly reduce the numbers of people newly infected with HIV. After years of observational data, randomized clinical trials in Kenya, South Africa and Uganda in the mid-2000s confirmed that voluntary medical male circumcision reduced the risk of female-to-male sexual transmission of HIV by about 60% (15–17). This evidence led WHO and UNAIDS to recommend in 2007 that 14 priority countries in eastern and southern Africa add the intervention to their HIV prevention strategies (18). The basic voluntary medical male circumcision service package combines the intervention with condom promotion, safer sex education, HIV testing and management of sexually transmitted infections.

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1 The 14 priority countries in Africa are: Botswana, Ethiopia (Gambella Province), Kenya, Lesotho, Malawi, Mozambique, Namibia, Rwanda, Swaziland, South Africa, Uganda, United Republic of Tanzania, Zambia and Zimbabwe.
Modelling has shown that reaching and then sustaining 80% coverage of male circumcision among adolescent and adult males in the priority countries could prevent about 3.4 million people from becoming newly infected with HIV from 2010 to 2025, with cost savings of US$ 16.5 billion (19). Additional benefits of voluntary medical male circumcision include reductions in the incidence of herpes simplex virus-2 and human papillomavirus (20).

Uptake of the intervention has expanded impressively, especially in the past three years. By late 2015, more than 10 million voluntary medical male circumcisions had been performed in the priority countries. Once policies, programmes and services were in place, uptake soared, with more than one third (3.2 million) of the circumcisions performed in 2014 alone (Fig. 2.5).

Box 2.2 How Kenya and the United Republic of Tanzania stepped up their male circumcision programmes

The voluntary medical male circumcision programmes in Kenya and the United Republic of Tanzania are expanding rapidly. The programme in Kenya surpassed its coverage target by the end of 2014. Solid political support, a coherent strategic framework, competent programme management structures and strong buy-in at all levels laid the groundwork for this achievement. Service delivery strategies have focused on specific age groups, with some targeting older men at high risk of HIV infection, while rapid results initiatives have focused on adolescents during school holidays. County governments are being encouraged to integrate voluntary medical male circumcision in their health plans, allocate resources accordingly and provide the service as part of the essential health package.

Meanwhile, after a slow start during 2008–2011, the voluntary medical male circumcision programme in the United Republic of Tanzania expanded quickly, thanks to strong advocacy and improvements in community mobilization and service delivery. The programme was recently fully institutionalized and now includes offers of voluntary medical male circumcision as part of routine health care service in public, private and faith-based health care facilities. The move has had a rapid effect: the number of circumcisions performed in 2014 alone almost matched the total achieved between 2008 and 2013.

Both Kenya and the United Republic of Tanzania now intend to give priority to voluntary medical male circumcision for adolescent males. In both countries, close to half the procedures performed in 2014 were among boys younger than 15 years. Kenya’s new strategic and operational plan will emphasize sustainability, including strengthening early infant male circumcision as part of maternal, neonatal and child health care services. The United Republic of Tanzania has also been carrying out operational research on further strengthening demand for voluntary medical male circumcision and has successfully completed a pilot project for implementing early circumcision among male infants. Kenya is focusing operational research on increasing demand for the intervention and on the feasibility and acceptability of new male circumcision devices.

Fig. 2.5 Cumulative numbers of voluntary medical male circumcisions performed for HIV prevention in 14 countries in eastern and southern Africa, 2008–2015

Sources: WHO progress brief: voluntary medical male circumcision for HIV prevention in priority countries of east and southern Africa (21), Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) and updates received from health ministries (situation as of September 2015).

a Data are not complete as no official estimates for 2015 were released yet for Malawi and Zambia.
How we can close the existing gaps

Campaigns using mobile and outreach sites have successfully increased the uptake of voluntary medical male circumcision (22), especially in places where male circumcision has not been traditionally practised. Service packages that are more relevant and attractive to youth are important for reaching new cohorts of adolescents (Box 2.2).

Initiatives that target specific age groups and that integrate male circumcision with other health services for men would extend the recent increases in uptake. Necessary enhancements include strengthening commitment and leadership from political and community leaders, promoting increased social acceptance and demand for the procedure and putting safe and simple male circumcision methods to wider use (23).

Action and innovation to speed up progress

- Strengthen the implementation of voluntary medical male circumcision into national health and HIV programmes, and ensure strong national leadership, partnerships and monitoring to reach and sustain at least 80% coverage.

- Use voluntary medical male circumcision services and initiatives to promote and deliver broader HIV prevention services for adolescent boys and men.

- Innovate with new methods for simple and safe male circumcision, including the use of prequalified male circumcision devices that enable mid-level health-care workers to perform the procedure.

2.1.3 Eliminating the mother-to-child transmission of HIV

The rate of mother-to-child transmission of HIV has been cut by more than half between 2000 and 2014. Some African countries are approaching the very low mother-to-child transmission rates achieved in high-income countries.

About 1.4 million HIV infections in children were averted between 2000 and 2014, with more than 1 million of them during 2010–2014. Increasing use of more effective drugs is boosting the impact of increased ARV medicine coverage.

However, PMTCT services lag in some countries with a high burden of HIV infection, including Angola, Cameroon, Central African Republic, Chad, the Democratic Republic of the Congo and Nigeria.

Greater implementation of option B+ (providing lifelong ART to all pregnant and breastfeeding women living with HIV regardless of CD4 count or WHO clinical stage) would streamline, simplify and expand the use of ARV medicines for preventing mother-to-child transmission and protecting pregnant women’s own health.

The reduction in rates of mother-to-child transmission of HIV in the past 15 years ranks among the outstanding achievements of global HIV efforts. Rapidly expanding services to prevent mother-to-child transmission and the widening use of more efficacious regimens cut the estimated global transmission rate to about 15% in 2014 in low- and middle-income countries overall, an impressive drop from 37% in 2000.

Implementation of the 2011 Global Plan towards the elimination of new HIV infections among children by 2015 and keeping their mothers alive (24,25) added important impetus to efforts to provide PMTCT services, especially in countries with a high burden of HIV infection. According to Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO), some of these countries are approaching the very low mother-to-child transmission rates that have been achieved in high-income countries.

Scaled-up and improved programmes for preventing the mother-to-child transmission of HIV have led to a substantial decline in the annual number of children acquiring HIV since 2000 in low- and middle-income countries – from an estimated 520,000 [470,000–580,000] to about 220,000 [190,000–260,000] in 2014, a 58% decrease (Fig. 2.6). This contributed significantly to the overall decline in the number of people newly infected with HIV globally in the past 15 years.

In the 21 priority countries in the African Region, 4 48% fewer children younger than 15 years were newly infected with HIV in 2009–2014. South Africa made the greatest progress (76% fewer children newly infected), followed by the United Republic of Tanzania (72%), Mozambique and Uganda (69%), Ethiopia (65%), Namibia (64%) and Swaziland (63%). Seven of the 21 priority countries are expected to achieve the two main Global Plan targets of reducing the number of children newly infected by 90% and reducing the number of mothers dying from HIV-related causes by 50% by the end of 2015.

However, progress has been much slower in several countries with a high burden of HIV infection. For example, none of Angola, Cameroon, Chad, Côte d’Ivoire, the Democratic Republic of the Congo, Nigeria and Zambia managed to reduce the number of children newly infected with HIV by more than 40% between 2009 and 2014.

1 The Global Plan included three targets for ARV prophylaxis and therapy: 90% of pregnant women living with HIV receive perinatal ART or prophylaxis; 90% of pregnant women living with HIV eligible for ART for their own health receive lifelong ART; and 90% of breastfeeding mother and infant pairs (either mother or baby) receive ART or prophylaxis.

4 The 21 priority countries in the African Region are Angola, Botswana, Burundi, Cameroon, Chad, Côte d’Ivoire, Democratic Republic of the Congo, Ethiopia, Ghana, Kenya, Lesotho, Malawi, Mozambique, Namibia, Nigeria, South Africa, Swaziland, Uganda, United Republic of Tanzania, Zambia and Zimbabwe. Together those countries accounted for about 80% of the estimated global number of children newly infected with HIV in 2014.1
Fig. 2.6 Estimated number of children (younger than 15 years) acquiring HIV infection from mother-to-child transmission in low- and middle-income countries globally, 2000–2014

Source: UNAIDS/WHO estimates.

Box 2.3 Eliminating the mother-to-child transmission of syphilis

Untreated syphilis in pregnancy is a major cause of morbidity and mortality, resulting in adverse pregnancy outcomes in more than half the women who have syphilis (26). Since WHO and various partners launched a global initiative in 2007 to eliminate congenital syphilis as a public health problem (27), 60% of reporting countries have implemented a national strategy for eliminating the mother-to-child transmission of syphilis (28). Expanding service coverage led to a 38% decline in maternal and congenital syphilis prevalence globally (18% when the large reduction in India is excluded) from 2008 to 2012 (29). Cuba eliminated the mother-to-child transmission of syphilis and HIV in 2015 based on WHO validation criteria (30), and several more countries are promising candidates for eliminating the mother-to-child transmission of HIV and syphilis.

However, in 2014 nearly half (37 of 85) the countries still reported a prevalence of syphilis infection of 1% or higher among women attending antenatal care services. Nearly one third (27 of 89) of countries reported testing fewer than 50% of pregnant women at any time during their pregnancy in 2014, highlighting the need in many countries for continued efforts to increase testing coverage, particularly in the African Region.
Increasing HIV testing for pregnant women

Testing uptake among pregnant women has increased, especially in countries with a high burden of HIV infection. Testing coverage exceeds 90% in many of those countries.

Testing is a vital first step for linking pregnant women living with HIV into the series of services that can prevent the mother-to-child transmission of HIV and protect their own health.

About half of the pregnant women in low- and middle-income countries had an HIV test and received their test results in 2014 – up from 8% in 2005 but well short of the required 95% coverage. Testing coverage was much higher in eastern and southern Africa, exceeding 90% in several countries in which HIV testing at antenatal clinics is routine. In Botswana in 2014, for example, 91% of pregnant women were tested for HIV and received their results. This enabled Botswana to ensure that 90% of pregnant women living with HIV received ARV medicines to reduce the risk of mother-to-child transmission. Four Global Plan priority countries (Côte d’Ivoire, Mozambique, Uganda and Zimbabwe) surpassed 95% coverage of HIV testing for pregnant women in 2014.

Elsewhere in the African Region, testing coverage is still variable and was less than 40% in Angola, Central African Republic, Comoros, Congo, the Democratic Republic of the Congo, Eritrea, Guinea, Madagascar and Mauritania in 2014. The regional contrast is striking: about three quarters of pregnant women in eastern and southern Africa knew their HIV status in 2014 versus slightly more than one third in western and central Africa.

In addition, settings with a high burden of HIV infection pose a significant risk of pregnant women acquiring HIV during pregnancy and while breastfeeding. This makes it necessary to re-test women periodically throughout the period of mother-to-child transmission risk after they had initially tested HIV-negative (31).

Access to antiretroviral medicines for preventing mother-to-child transmission is increasing...

Almost three quarters (73%) of pregnant women living with HIV received ARV medicines for preventing the mother-to-child transmission of HIV in 2014, up from 8% a decade earlier. The use of more effective regimens is boosting the overall impact of that achievement.

A core target of the Global Plan is to provide ARV medicines to 90% of pregnant women living with HIV by the end of 2015. Of the estimated 1.5 million [1.3 million–1.6 million] pregnant women living with HIV in low- and middle-income countries in 2014, 73% [68–79%] received ARV medicines for preventing the mother-to-child transmission of HIV (not including single-dose nevirapine, which WHO no longer recommends). Coverage has increased steeply (Fig. 2.7).

Fig. 2.7 Number of pregnant women living with HIV in low- and middle-income countries and number and percentage of them receiving ARV medicines for PMTCT, 2000–2014

Sources: Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO); validation process for the number of pregnant women living with HIV receiving ARV medicines for preventing the mother-to-child transmission of HIV; and UNAIDS 2014 estimates for the number of pregnant women living with HIV.

*Based on the use of different ARV medicines according to recommendations that have changed over time. Notably, single-dose nevirapine is included in the data for 2000–2009.
In the 21 Global Plan priority countries in the African Region, 77% of pregnant women living with HIV received ARV medicines in 2014. Botswana, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania and Swaziland are among the priority countries that have reached the 90% target for maternal ARV medicine coverage, while Burundi, Côte d’Ivoire, Ghana, Zambia and Zimbabwe are closing in on that target (Fig. 2.8). However, inadequate coverage of ARV medicines is a major stumbling block in several other countries with a high burden of HIV infection. In Angola, Chad, the Democratic Republic of the Congo and Nigeria, fewer than 50% of pregnant women living with HIV received ARV medicines to prevent mother-to-child transmission in 2014.

Fig. 2.8 Coverage of ARV medicines for PMTCT in the 21 Global Plan priority countries in the WHO African Region, 2014

- Number of pregnant women living with HIV receiving ARV medicines (excluding single-dose nevirapine) for PMTCT
- Number of pregnant women living with HIV needing ARV medicines for PMTCT
- Percentage coverage

Sources: Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) and UNAIDS 2014 estimates for the number of pregnant women living with HIV.

Poor adherence to ARV medicine regimens and loss to follow-up, especially during breastfeeding, still leave infants at risk of acquiring HIV infection. In 2014, the average rate of mother-to-child transmission was 5% at six weeks in the 21 priority countries in the African Region but almost tripled to 14% at the end of the breastfeeding period.

Box 2.4 Integrating programmes for preventing the mother-to-child transmission of HIV in Rwanda

By integrating its PMTCT programme with maternal and child health services, Rwanda has adopted a more family-centred approach that includes strategies for reaching partners and other family members with HIV testing services (32). The shift began by setting up teams in clinics to devise and assess new approaches and then share the lessons more widely (33). The methods that proved especially successful included strongly emphasising counselling to encourage HIV testing, increases in the number of personnel at clinics when antenatal services are being provided and measures to streamline patient flows, cut waiting times and assure confidentiality.

Uptake of HIV testing and PMTCT services among pregnant women rose to above 90% at the 18 clinics implementing the new approaches, and by 2009 more than 80% of male partners were also taking HIV tests (versus 16% in 2003) (34–36). This family-centred approach, and the intensive sharing of lessons, has helped reduce the HIV prevalence among infants in some Rwandan districts to as low as 3.2% at their six-week immunization visit (32).

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1 This refers to the ARV medicine coverage target for PMTCT for the perinatal period. The postnatal ARV medicine coverage target for PMTCT of 90% has not necessarily been met in these countries.
In low- and middle-income countries overall, coverage of ARV medicines was highest in the WHO European Region at 92% [76 to >95%] followed by the Region of the Americas at 81% [69–95%] and the African Region at 75% [69–81%], as shown in Fig. 2.9. The Western Pacific Region has made progress, with coverage in 2014 at 58% [43–82%], but coverage was a low 35% [31–41%] in the South-East Asia Region and only 9% [7–13%] in the Eastern Mediterranean Region.

**Fig. 2.9** Percentage of pregnant women living with HIV who received antiretroviral medicines in low- and middle-income countries and by WHO region, end of 2014


**Box 2.5 Lessons learned: the shift to option B+**

Malawi’s success in offering lifelong ART to all pregnant women who test HIV-positive for HIV (option B+) has led to a major shift in PMTCT strategies. In Malawi, the approach led to significant increases in the percentages of pregnant women living with HIV enrolling and being retained in PMTCT programmes (37) and to major increases (eight-fold in the first year of implementation) in the number of pregnant or breastfeeding women starting ART (38). Option B+ was also found to be highly cost-effective (39).

Malawi’s innovation has been converted into global guidance and adopted by dozens of other countries (40). In 2013, WHO recommended that all pregnant and breastfeeding women start triple ART immediately at diagnosis and then either continue until the end of the exposure period (option B) or remain on lifelong ART (option B+). By 2015, most low- and middle-income countries were piloting, rolling out or fully implementing option B+ (Fig. 2.10), and all the Global Plan priority countries in the African Region were implementing either option B or option B+. Globally, about 80% of pregnant women living with HIV live in countries in which option B+ now features in national policies.
Fig. 2.10 Implementation of option B+ for the treatment of pregnant women living with HIV in low- and middle-income countries, end-2014.

But retaining pregnant women on ART is a challenge

More pregnant women living with HIV are receiving ART for their own health, but adhering to the treatment is proving to be a challenge.

Fifteen years ago, very few pregnant women living with HIV in low- and middle-income countries were receiving ART for their own health. ART coverage among pregnant women has increased dramatically, although more is needed. In 2014, 66% [61–71%] of pregnant women living with HIV were receiving ART for their own health (option B+) globally. The very high coverage achieved in Namibia, South Africa and Swaziland (>95%) shows what can be achieved. However, coverage was much lower in Angola (45% [32–63%]), the Democratic Republic of the Congo (47% [41–53%]) and Nigeria (29% [26–32%]). As more countries implement option B+ (Box 2.5), ART coverage for pregnant women is expected to improve further.

Retaining pregnant women living with HIV on treatment remains a challenge, especially after they have given birth (41,42). A review of studies that included more than 20,000 women (in Kenya, South Africa, the United States and Zambia) reported that 76% of pregnant women adhered to ARV medicine regimens during pregnancy, but 53% did so during the postpartum period (43).

How we can close the existing gaps

If HIV testing services are made part of the basic package of services for antenatal care, as recommended in WHO guidelines (44), more pregnant women living with HIV can be identified and offered appropriate prevention and treatment services. Other opportunities include re-testing women later in pregnancy (particularly in settings with a high of HIV infection) after they had initially tested HIV-negative and promoting couples testing. Quality assurance of HIV tests and confirming positive diagnosis are important to avoid misdiagnosis and unnecessary initiation of ART (45).

Wider implementation of option B+ would further streamline, simplify and expand the delivery of ARV medicines to pregnant women living with HIV, both for preventing mother-to-child transmission and for their own health. In addition, more systematic efforts are needed to retain women in care and to enable strong adherence to treatment until the risk of HIV transmission to their newborn infants has passed. That may require repeat counselling (especially after weaning the child), along with measures that can diminish the stigma and stress experienced by mothers who are living with HIV (46,47).

Action and innovation to speed up progress

- Give priority to starting pregnant and breastfeeding women living with HIV on lifelong ARV medicines to prevent the transmission of HIV to their children before and after birth and to promote their own health.
- Link infant testing and the reporting of the test results to mothers’ health-care visits.
- Innovate with new approaches to retain women and infants in care during the postpartum period, including using maternal and community peer support programmes.

The 2013 WHO consolidated guidelines (44) recommend that all pregnant women living with HIV initiate ART and that, in most settings, women should continue with lifelong treatment, and option B+ involves offering lifelong ART to all pregnant and breastfeeding women living with HIV regardless of CD4 count.
Box 2.6 Gaps remain in preventing HIV in health-care settings

Sustaining measures to prevent the transmission of HIV in health facilities is important. Such transmission mainly occurs through blood transfusions, medical injections, medical waste and occupational exposure. Preventive measures have been reinforced over the past 15 years, to the extent that a small minority of the people newly infected with HIV acquire HIV in these settings.

Country efforts, supported by the Safe Injection Global Network, cut the number of potentially unsafe medical injections by 88% in low- and middle-income countries between 2000 and 2010. As a result, the absolute numbers of HIV and hepatitis C infections attributable to unsafe medical injections fell by more than 80% during this period (48).

More can be done. Unsafe injections accounted for an estimated 0.7–1.3% of the people newly infected with HIV globally in 2010 (48). Unsafe medical injections can be eliminated entirely, thereby also removing the risk of injection-related HIV, hepatitis B and hepatitis C infections.

Similarly, blood safety remains inadequate in some countries. The HIV prevalence in blood donations in 2012 was estimated to be 0.12% in middle-income countries and 0.85% in low-income countries versus 0.002% in high-income countries. It is worrisome that about 25 countries remain unable to screen all donated blood for one or more of HIV, hepatitis B and hepatitis C. Irregular supply of test kits is one of the most commonly reported barriers to screening (49).

2.1.4 Reaching key populations with HIV prevention services

More than one in three of the people newly infected with HIV in 2014 were associated with key populations.

Proven and affordable methods exist for preventing HIV infection in key populations, but they are not in wide enough use to have a major impact. Legal and social barriers to wider access remain widespread.

The number of people newly infected with HIV among key populations remains unacceptably high. An estimated 35% of new adult HIV infections in 2014 were associated with those populations (8). Proven and affordable interventions are available (50), but many countries do not implement them at all or do so on a scale that is insufficient to have a significant impact.

Studies estimate that men who have sex with men are 19 times more likely to be living with HIV than the general population (51), and female sex workers are 14 times more likely to be living with HIV than other women (52). A recent review of evidence from 15 countries (53) found that as many as 20% of transgender people are living with HIV, and an estimated 3% of imprisoned people globally are living with HIV (compared with 0.6% prevalence in the world’s general population). The prevalence of HIV, TB and hepatitis C among prisoners is especially high in countries with high rates of incarceration of people who use drugs (54).

Many men who have sex with men continue to acquire HIV infection, including in countries with longstanding prevention and treatment programmes (9–11,55,56). HIV prevention efforts for men who have sex with men are unevenly unavailable, especially in the African Region, where government-sponsored HIV services for men who have sex with men are rare and the criminalization of sex between men is on the rise. Few countries in the African Region (Madagascar, Mozambique, Rwanda and South Africa among them) do not have legal provisions that criminalize sex between men (57).

Despite notable successes in protecting female sex workers against HIV in Cambodia, the Dominican Republic, India and Thailand, for example, many countries continue to report a high prevalence of HIV infection in this population. The effectiveness of community-based prevention projects for sex workers is well established (58). In the Democratic Republic of the Congo, for example, community-based interventions for sex workers are increasingly well organized and have helped increase condom use and reduce HIV transmission, with surveys showing a marked decrease in HIV prevalence among sex workers in major cities (59). In many countries, however, such interventions are not implemented at scale, which is a major lost opportunity.

About 13 million [9 – 22 million] people worldwide inject drugs, and about 13% of them are living with HIV (Table 2.1) and more than 60% are living with hepatitis C (54,60). High coverage of needle and syringe programmes and opioid substitution therapy services, in combination with ART and other harm-reduction activities, can have a significant public health impact in places with substantial numbers of people who inject drugs – as shown in British Columbia in Canada, and in Australia, China, the United Kingdom and several countries in western Europe (61–66).
Although increasing numbers of countries are providing needle and syringe programmes or opioid substitution therapy services, coverage is generally poor, even in countries with many people who inject drugs and with high HIV prevalence in these populations (54).

In 2014, needle and syringe programmes were available in 90 of the 158 countries in which injecting drug use has been documented, a slight increase since 2012. However, coverage of needle and syringe programmes is generally too sparse to have a significant impact (54). Only a few countries in Europe and Asia plus Australia and Brazil have achieved high coverage of needle and syringe programmes: i.e. more than 200 needles or syringes provided per person who injects drugs per year (67).

Some 80 countries were providing opioid substitution therapy in 2014 and 25 countries have scaled up these services since 2012 (67). The European Region is leading the way (Box 2.7); in other regions, opioid substitution therapy services are limited to a few countries. Consequently, only about 8% of people who inject drugs globally have access to opioid substitution therapy (54). Many countries with many people who inject drugs are therefore failing to stabilize or reverse HIV transmission associated with people who inject drugs (68).

### Table 2.1 Estimated number of people who inject drugs and HIV prevalence among them, 2013

<table>
<thead>
<tr>
<th>Region</th>
<th>Subregion</th>
<th>HIV among people who inject drugs</th>
<th>Prevalence (percentage)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Estimated number</td>
<td>Low</td>
<td>Best</td>
</tr>
<tr>
<td></td>
<td></td>
<td>30 000</td>
<td>112 000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>167 000</td>
<td>237 000</td>
</tr>
<tr>
<td>Americas</td>
<td>North America</td>
<td>141 000</td>
<td>182 000</td>
</tr>
<tr>
<td></td>
<td>Latin America and the Caribbean</td>
<td>26 000</td>
<td>55 000</td>
</tr>
<tr>
<td>Asia</td>
<td></td>
<td>344 000</td>
<td>576 000</td>
</tr>
<tr>
<td></td>
<td>Central Asia and Transcaucasia</td>
<td>26 000</td>
<td>31 000</td>
</tr>
<tr>
<td></td>
<td>East and South-East Asia</td>
<td>211 000</td>
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<tr>
<td></td>
<td>South-West Asia</td>
<td>90 000</td>
<td>196 000</td>
</tr>
<tr>
<td></td>
<td>Near and Middle East</td>
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<td>3 000</td>
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<tr>
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<td>South Asia</td>
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<td>17 000</td>
</tr>
<tr>
<td>Europe</td>
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<td>Eastern and South-Eastern Europe</td>
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<tr>
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<td>Western and Central Europe</td>
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</tr>
<tr>
<td>Global</td>
<td></td>
<td>915 000</td>
<td>1 651 000</td>
</tr>
</tbody>
</table>


Note: The regions shown here differ from the WHO regions.

### Box 2.7 Harm-reduction programmes are making a difference in Ukraine

Ukraine’s HIV epidemic grew rapidly after the mid-1990s and became one of the most severe in the European Region. HIV transmission through the use of contaminated drug-injecting equipment was a major factor driving the epidemic. Assisted by external support, Ukraine began expanding prevention programmes for people who inject drugs in 2004. The estimated number of people who inject drugs who were reached with HIV prevention programmes rose from about 50 000 in 2006 to almost 200 000 in 2013, with major increases in the provision of opioid substitution therapy services (69). This key population also accounted for about 6000 of the 55 000 people receiving ART in 2013, a 60% increase since 2006 (70). The latest UNAIDS/WHO estimates indicate that Ukraine’s HIV epidemic has slowed significantly. The number of people who inject drugs younger than 25 years diagnosed with HIV infection has dropped sharply (69,70). The removal of some remaining hurdles could facilitate further progress. Laws and policies that penalize drug use, and the manner of their enforcement, are seen as major barriers hindering greater access to and uptake of prevention and treatment services.
It is feasible and advantageous to provide effective HIV prevention measures, such as condoms and sterile injecting equipment, in prisons and other closed settings (71,72). Nevertheless, such services are typically scarce, despite the high risk of HIV infection among prisoners and detainees and the proven effectiveness of basic interventions (71–73). Very few countries provide sterile injecting equipment to prisoners and detainees who inject drugs, and only 28 countries provide condoms in places of incarceration (73).

Punitive laws and practices and systemic neglect continue to expose key populations to avoidable HIV risks and block their access to health and other vital services.

How we can close the existing gaps

The number of people newly infected with HIV in key populations can be reduced if they are reached with effective prevention interventions. This requires removing discriminatory laws and practices and supporting community mobilization and outreach. In addition, law enforcement personnel and health workers need to be sensitized to the public health value of HIV services, and evidence-based strategies need to be implemented (50,74).

Understanding the extent and nature of the HIV epidemic among various key populations provides a basis for potentially effective action. Importantly, about 60 low- and middle-income countries currently collect reliable national or local data on the sizes of some key populations (Fig. 2.11).

Comprehensive community-based interventions have reduced HIV incidence among female sex workers (75–77) and men who have sex with men (78). The Avahan experience in India, for example, shows that community-based efforts that improve the social, legal and material conditions surrounding sex work can reduce HIV infection and sexually transmitted infections among sex workers, their clients and the wider public and can protect sex workers against violence from the police and clients (79–82).

Harm-reduction services should be positioned as a key element of the public health response to HIV, and the legal and institutional environment needs to support this approach. The services must be made available on a scale that is sufficient to have an impact on the epidemic in this key population.

Action and innovation to speed up progress

- Implement proven harm-reduction interventions at scale, including needle and syringe programmes and opioid substitution therapy, and link them to HIV testing and treatment services.
- Remove discriminatory laws, policies and regulations and sensitize health workers and law enforcement personnel.
- Integrate pre-exposure prophylaxis of HIV as an additional component of comprehensive HIV prevention services for men who have sex with men, transgender people and sex workers.
- Promote wider availability of low dead-space syringes for people who inject drugs to reduce the risk of transmitting HIV through needle and syringe reuse.

**Fig. 2.11** Status of population size estimates of female sex workers, men who have sex with men, people who inject drugs and transgender people in low- and middle-income countries, 2015

2.1.5 Using ARV medicines for prevention

The use of ARV medicines holds huge potential for preventing greater numbers of people from becoming infected with HIV.

ART coverage needs to be expanded, with ART initiated sooner after individuals acquire HIV.

Targeted provision of pre-exposure prophylaxis of HIV, in combination with other prevention tools, has great potential to reduce the number of people acquiring HIV further, if scaled up strategically.

The HIV prevention effects of ARV medicines are major and are firmly established (83, 84). Effective ART can reduce a person’s viral load to levels low enough to prevent onward transmission (85–87). This prevention potential of ART, along with its individual health benefits, has informed WHO’s current recommendation that everyone living with HIV should initiate ART, regardless of CD4 cell count (88).

To realize the full preventive potential of ARV medicines, HIV treatment coverage needs to double from the current global level of 40%, people need to initiate ART much sooner after acquiring HIV and they need to be retained on ART until viral suppression is achieved.

Trials have also confirmed the major potential of using a daily dose of ARV medicines to prevent people from acquiring HIV in a wide variety of settings and populations (pre-exposure prophylaxis, or PrEP) (89–93). The latest WHO recommendations therefore recommend the use of daily oral PrEP as a prevention choice for people at substantial risk of HIV infection as part of combination prevention approaches (88).

Despite increasing recognition of the effectiveness of PrEP, it was available in only 13 of the 112 countries surveyed in 2014. Eight of the countries provided PrEP to HIV-negative partners in serodiscordant relationships, and the remainder provided it on a case-by-case basis. Some studies have noted difficulties in achieving sufficient levels of adherence (94–96). If strong adherence can be achieved, focused PrEP promises to be highly cost-effective, as suggested by recent modelling based on South Africa’s epidemic (97). Recent experience suggests that PrEP services tend to attract people who are at substantial risk of HIV infection and that high adherence and high efficacy can be achieved (98).

Post-exposure prophylaxis of HIV infection (PEP) is a well established intervention (99) and has been recommended by WHO since 2007 (100). Although most countries have a policy supporting the provision of PEP, only 37 of the 105 countries reporting in the Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) in 2015 stated that PEP was available to anyone in need. The remainder reported that it was available strictly for health-care workers and/or people who had experienced sexual assault. The latest WHO guidelines for PEP, released in 2014, recommend that it be available to all people in need, irrespective of the manner of exposure (101).

How we can close the existing gaps

Both modelling analysis and findings from recent field studies emphasize the need to promote the HIV prevention benefits of ARV medicines as part of a combination HIV prevention approach (102–105).

Maximizing the impact of ART in reducing illness and preventing people from acquiring HIV requires drastically increasing the diagnosis of people living with HIV, ensuring that people who test HIV-positive start treatment early,7 and retaining people on treatment to suppress viral load.

Strategies for achieving those improvements are summarized in sections 2.2 and 2.3 and are discussed in detail in the relevant WHO guidelines (44, 45, 88, 102).

PrEP is best deployed as an additional prevention choice within a comprehensive prevention package (107), including male and female condoms, behaviour change communication and sexually transmitted infection services. WHO therefore recommends PrEP as an additional prevention choice for people at substantial risk of HIV infection as part of combination prevention (88).

Maximizing the public health impact of PrEP will require approaches that promote the uptake of ARV medicines and support adherence. Partnerships between health providers and community groups are likely to be important for increasing demand and knowledge about PrEP and to foster understanding about the importance of adherence and monitoring (108).

Countries should also consider more widely using PEP for non-occupational exposure to HIV, including following sexual assault, the sharing of drug-injecting equipment and potential exposure through consensual sex. Simplified guidelines for prescribing of PEP are likely to improve the uptake of and adherence to PEP (109).

Action and innovation to speed up progress

- Integrate the provision of PEP and PrEP with existing sexual health and harm-reduction services for populations at high risk of HIV infection.
- Implement a treat-all approach for people living with HIV and ensure high levels of treatment adherence.
- Innovate to develop further PrEP prevention options, such as long-acting PrEP, oral and topical formulations of ARV medicines and long-acting injectable and vaginal ring ARV medicines, which could help overcome adherence and acceptability challenges.

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7 A recent phylogenetic study among men who have sex with men highlighted the need for early diagnosis and treatment, finding that individuals were eight times more infectious during the first year of infection compared with the chronic infection period (106).
2.2 HIV testing and linkage to care

An early HIV-positive diagnosis is a crucial entry point to prevention, treatment and care services that can protect people with HIV from illness and prevent further transmission of HIV.

2.2.1 Diagnosing people living with HIV

An estimated 54% [49-58%] of people living with HIV globally know their HIV status (8). More than 150 million people took an HIV test in 2014 as countries used a greater variety of testing approaches.

Almost all countries offer rapid HIV testing with same-day results, and community-based testing is widespread in the African Region. However, current approaches are not reaching adequate numbers of key populations, a situation that has changed little in the past 15 years.

HIV testing is a linchpin in the continuum of services for HIV prevention, treatment and care. Globally, an estimated 54% [49–58%] of people living with HIV knew their HIV status in 2014. This represents important progress, but a major gap remains: almost half the people with HIV are unaware that they have acquired HIV. The Fast-Track targets call for 90% of people living with HIV knowing their HIV status in 2020 and 95% doing so in 2030.

Fifteen years ago, individuals in most low- and middle-income countries had limited incentive to take an HIV test, given the scarcity of HIV treatment. As access to ART expanded and rapid testing technologies became available, the uptake of testing and counselling services increased massively, especially in the African Region.

According to the Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO), in 2014 about 150 million people aged 15 years or older took an HIV test in 122 low- and middle-income countries. This was possible because the opportunities to take an HIV test are more numerous and diverse than ever before, with countries increasingly adapting their testing and counselling policies to reach more people who have HIV (Table 2.2).

Provider-initiated counselling and testing (particularly through antenatal clinics and in TB services) has been especially important for increasing HIV testing coverage (110), with coverage exceeding 80% reported at antenatal care and TB clinics in some countries with a high burden of HIV infection, including in Botswana, Ethiopia, Malawi, Uganda and Zimbabwe (111). Couples HIV testing rates in antenatal care settings are still very low, however, and exceed 20% in only a few countries. HIV testing is also being linked with child immunization services and is being offered in paediatric inpatient wards, nutrition support programmes, community childcare services, and other child health services (112,113). Mass testing campaigns have helped increase uptake among people who do not typically use healthcare services, including in countries with a high burden of HIV infection such as South Africa, where almost 20 million people took an HIV test in 2010–2012 (114).

Almost all countries now offer rapid HIV testing with results provided on the day of testing, and many are using community-based approaches to do so. Community-based testing is especially widespread in the African Region and has proved to be highly acceptable and effective in reaching large numbers of first-time testers, diagnosing people living with HIV at earlier stages of HIV infection and linking them to care (115). Home-based (door-to-door) testing, using rapid diagnostic tests and led by lay providers, is also increasingly common in the African Region. In 2014, according to the Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO), 61 of 115 (53%) reporting countries had policies recommending lay HIV testing using rapid diagnostic tests (Table 2.2).

Countries are increasingly including key populations in their national HIV testing guidelines. In 2014, the majority of reporting countries stated that their national HIV testing policies addressed key populations (Table 2.2). However, actual implementation has been less impressive. Disappointingly, mandatory or coerced testing, especially among key populations (including prisoners (116) and migrants (117,118), is still being reported, including in clinical settings (119).

Table 2.2 Key features of national policies on HIV testing in low- and middle-income countries, 2015

<table>
<thead>
<tr>
<th>National HIV testing policy or guidelines</th>
<th>Recommend provider-initiated testing in all medical contacts</th>
<th>Address HIV testing for key populations</th>
<th>Address HIV testing for adolescents</th>
<th>Recommend rapid tests with same-day results</th>
<th>Recommend community-based HIV testing</th>
<th>Address lay HIV testing using rapid diagnostic tests</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>71</td>
<td>100</td>
<td>104</td>
<td>93</td>
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<td>61</td>
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<tr>
<td>No</td>
<td>41</td>
<td>15</td>
<td>10</td>
<td>19</td>
<td>28</td>
<td>48</td>
</tr>
<tr>
<td>Other</td>
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<td>1</td>
<td>1</td>
<td>3</td>
<td>1</td>
<td>6</td>
</tr>
<tr>
<td>Countries reporting</td>
<td>113</td>
<td>116</td>
<td>115</td>
<td>115</td>
<td>114</td>
<td>115</td>
</tr>
</tbody>
</table>


* Based on the numbers of people tested as reported by countries, but without correcting for the fraction of people who are tested more than once.
Testing approaches need to match the epidemic of a given country or place. Even in countries with low HIV prevalence or concentrated HIV epidemics, testing still tends to occur mainly via antenatal services, which partly accounts for why, in all regions, men are less likely than women to take an HIV test (Fig. 2.12) – even when they are more likely to be living with HIV (120). Women comprised about 69% of adults who received HIV testing services in 2014 in low- and middle-income countries globally, according to Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO).

Fig. 2.12 Men and women as a proportion of people older than 15 years who received HIV testing services in low- and middle-income countries and by WHO region, 2014

<table>
<thead>
<tr>
<th>Region</th>
<th>Men (%)</th>
<th>Women (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>African Region</td>
<td>66</td>
<td>34</td>
</tr>
<tr>
<td>Region of the Americas</td>
<td>86</td>
<td>14</td>
</tr>
<tr>
<td>Western Pacific Region</td>
<td>72</td>
<td>28</td>
</tr>
<tr>
<td>South-East Asia Region</td>
<td>69</td>
<td>31</td>
</tr>
<tr>
<td>European Region</td>
<td>64</td>
<td>36</td>
</tr>
<tr>
<td>Eastern Mediterranean Region</td>
<td>69</td>
<td>31</td>
</tr>
</tbody>
</table>


There is increasing concern about the quality of HIV testing, following reports of significant misdiagnosis of HIV status (121). A minority (less than 20%) of countries in a recent 48-country review included WHO-recommended testing strategies in their national HIV testing policies, which may partly account for the misclassifications being reported (122).

How we can close the existing gaps

The WHO consolidated guidelines on HIV testing services (45), which WHO published in 2015, recommend a range of HIV testing approaches that can be introduced and expanded, including using lay counsellors and considering self-testing approaches.

Provider-initiated, facility-based testing remains crucial and can be offered in a range of settings, including primary care clinics, inpatient services and outpatient clinics, including specialist clinics for sexually transmitted infections and TB clinics, in district and provincial or regional hospitals and their laboratories, as well as in private clinical services.

Community-based testing is effective in reaching large numbers of first-time testers, diagnosing people living with HIV at earlier stages of HIV infection and linking them to care (115,123). In communities, testing services can be offered through home-based index-patient or door-to-door outreach, in schools and other educational establishments (124) as well as in workplaces (especially for men who tend to shun health-care services), places of worship, parks and other venues. In South Africa, mobile units were successful in reaching men, first-time testers and unemployed people, while a stand-alone model reached more working people (125).

HIV self-testing9 is an emerging approach that can extend HIV testing to people who may be unable or reluctant to use existing testing services and people who frequently retest (45). As reported recently in Malawi and elsewhere,

9 This is a process in which a person who wants to know his or her HIV status collects a specimen, performs a test and interprets the result by himself or herself, often in private.
when HIV self-testing is provided within a community-based approach it can increase the uptake of testing services, be accurate and safe, facilitate linkage to care (126,127) and be highly acceptable (115,128), especially among individuals who are at high risk of HIV infection (129).

Index partner or couple and family testing is one of the most efficient ways of identifying people with HIV, including the male partners of women living with HIV who have been diagnosed through antenatal services (130,131). Although 80% of countries in the African Region reportedly include partner testing in their national policies, it has not been widely implemented. Rwanda is an exception: about 85% of partners are tested as part of antenatal care programmes (Box 2.4).

Diagnosing HIV among adolescents poses special challenges. Survey data from 2008 to 2012 in the African Region indicate that fewer than one in five adolescent girls aged 15–19 years were aware of their HIV status (132). Reviews of age of consent laws could help increase adolescents’ access to and use of HIV testing services and uphold their right to make informed choices about their health (45).

2.2.2 Linking people to HIV treatment and prevention

Large proportions of people who test HIV-positive drop out of care before starting ART, which creates an important gap in the cascade of services.

Linkage to care improves when countries strengthen referral systems, reduce preparatory visits and waiting times and integrate HIV care services with other services, such as for TB and maternal, newborn and child health.

All HIV testing approaches should include effective methods for linking people who are diagnosed with HIV quickly and efficiently to prevention, care and treatment services. Referral systems should also link people who have taken an HIV test to prevention services. Linkage to care, however, has proved to be an especially great challenge.

A substantial proportion of people who test HIV-positive are lost to follow-up before starting ART. Data from the IeDEA cohort collaboration show that about 22% of adults were lost to follow-up before ART initiation at four years (133). Important losses to care are occurring also among children, according to studies in the African Region and in Asia (134).

Several factors hinder or delay linkage to HIV treatment and care. They include transport costs and distance to the facility, stigma, fear of disclosure, unreliable referral systems, staff shortages and long waiting times, as well as policy and legal barriers, especially for adolescents and key populations (135). Lengthy and unnecessary delays in determining eligibility for ART and initiating ART worsen the attrition rates (136).

Clear steps are available to further improve the quality of HIV testing and minimize misdiagnosis. Countries need to identify approaches that provide the greatest public health benefit and impact and monitor their uptake, acceptability and coverage. Crucially, all HIV testing should follow a validated national testing algorithm and WHO-recommended testing strategies, including retesting all people diagnosed with HIV before initiating ART.

Action and innovation to speed up progress

• Develop programmes for HIV self-testing for people who are unable or reluctant to access current HIV testing services.

• Use simplified, quality-assured point-of-care HIV tests to enable the expansion of HIV testing, including through home- and community-based testing, lay provider testing and self-testing.

• Simplify the testing and counselling process, including test for triage with a high-quality single rapid test and improved linkage to care.

• Innovate approaches to reach men and key populations to close the gaps in testing and linkage to care.

How we can close the existing gaps

The gap between HIV diagnosis and treatment initiation is an important stumbling block in the HIV response. Generally, as more countries move towards initiating ART irrespective of CD4 cell count, pre-ART care should become less important and linkage to ART is expected to strengthen.

Many improvements are available, including strengthening referral procedures and removing unnecessary delays before initiating ART. Countries can minimize the number of clinic visits required (for example, by introducing point-of-care diagnostics (137) and using more flexible staffing models), cut waiting times at clinics (by streamlining work flows), and spread workloads through task-shifting (135,138). Additional options include integrating services, for example with testing and verification of test results provided at a single facility or site together with HIV prevention, care and treatment, TB and sexually transmitted infection screening and other relevant services (139,140). Studies show that integrating HIV care with TB, maternal, newborn and child health or opioid substitution therapy services, for example, tends to improve treatment initiation (135).

Addressing factors that cause poor health-seeking behaviour, especially among men, would also strengthen linkage to care. Intimate-partner notification by testing providers, with permission, is feasible in some settings and could increase HIV diagnosis and promote early referral to care (141,142). Age-of-consent laws may need to be reviewed to determine whether they impede access to HIV testing and treatment for adolescents.
2.3 Towards treatment for all

The 15.8 million people receiving ART in 2015 ranks among the great public health achievements of recent times. The next challenge is to accelerate the scaling up of this treatment so that ART is available to all people living with HIV.

The enormous power of ART for preventing both illness and HIV transmission makes further expanding HIV treatment a priority. Realizing the full potential of ART requires doubling the global number of people who were receiving ART in 2015. In addition, people will need to start treatment earlier than is currently the norm, and much larger proportions of people receiving ART will need to be retained on and adhere to treatment to achieve and sustain viral suppression. Opportunities for improvement are available all along the cascade of treatment and care services.

2.3.1 Closing the gaps in treatment coverage

Global coverage of ART increased from about 2% of people living with HIV in 2000 to 40% in 2014. Despite this achievement, more than half the people who should be receiving ART are not yet doing so.

Current stumbling blocks include the large numbers of people living with HIV who have not been diagnosed and the significant proportion of people who drop out of care after receiving a positive HIV diagnosis.

The 15.8 million people receiving ART globally in mid-2015 represents a 23-fold increase since 2000 (Fig. 2.13), an achievement that has drastically reduced the numbers of people losing their lives to HIV each year (Fig. 2.14) and is contributing to reducing the number of people acquiring HIV infection.

Fig. 2.13 Estimated numbers of people receiving antiretroviral therapy globally and by WHO Region and percentage coverage globally, 2000–2015

Source: Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) and UNAIDS/WHO estimates.
The Fast-Track targets imply that at least 81% of the people living with HIV should be receiving ART by 2020 – more than double the estimated global coverage of 40% [37–45%] at the end of 2014 (Fig. 2.15). Closing the remaining gap will require levels of effort and innovation even greater than were mustered during the past 15 years.

Most of the people receiving ART are in the WHO African Region, where an estimated 10.7 million people were receiving ART at the end of 2014 (Fig. 2.16), and more than 11 million were doing so in mid-2015. This is an exceptional accomplishment, considering that fewer than 11 000 people in the African Region were receiving ART in 2000. Treatment coverage reached an estimated 41% [38–46%] in 2014 but was 50% or higher in Algeria, Ethiopia, Kenya, Malawi, Namibia, Swaziland, Uganda, Zambia and Zimbabwe and higher than 60% in Botswana and Rwanda. In South Africa, the country with the largest ART programme in the world (Box 2.8), about 45% of people with HIV were receiving ART by end-2014. In a few countries, however, treatment coverage was still very low: slightly more than 20% in Cameroon, the Democratic Republic of the Congo and Nigeria and as low as 6% in South Sudan and 2% in Madagascar.

Fig. 2.14 Number of people dying from HIV-related causes annually and numbers of people receiving ART globally, 2000–2014

[Graph showing the number of people dying from HIV-related causes and the number of people receiving ART globally from 2000 to 2014.]

Sources: Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) and UNAIDS/WHO estimates.

The Fast-Track targets for 2020 call for diagnosing at least 90% of the people living with HIV, providing ART to at least 90% of the people diagnosed with HIV infection and achieving viral suppression for at least 90% of those receiving ART.
Fig. 2.15 Percentage of people living with HIV who were receiving ART in low- and middle-income countries and by WHO region at the end of 2014

LOW-AND MIDDLE-INCOME COUNTRIES

Receiving ART 60%
Not receiving ART 40%

African Region
59% 41%

Western Pacific Region
63% 37%

European Region
81% 19%

Region of the Americas
54% 46%

South-East Asia Region
64% 36%

Eastern Mediterranean Region
91% 9%

Sources: Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) and UNAIDS/WHO estimates.

Fig. 2.16 Estimated numbers of people living with HIV receiving ART and people living with HIV but not receiving ART in the African Region and in other WHO regions, 2000–2014

Sources: Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) and UNAIDS/WHO estimates.
Box 2.8 Building the world’s biggest HIV treatment programme

South Africa’s treatment access movement linked street protests and community activism with court challenges and high-level lobbying and led to the government announcing in 2003 a countrywide rollout of ART through the public health system.

In slightly more than a decade, South Africa built one of the largest public health programmes in the world. Whereas about 4000 people were receiving ART in 2000, more than 150 000 were doing so in 2005, and this number soared to 3.1 million by end-2014. The achievement emerged from:

- a wide-based social movement for treatment access that went on to play vital roles in supporting the provision of and adherence to treatment;
- using a public health approach to accelerate and extend treatment access into communities, including linking clinical services and community networks;
- successfully negotiating lower prices for HIV drugs and other commodities; and
- developing reliable supply chains.

South Africa’s national treatment programme benefited from access to low-cost generic ARV medicines and from the lessons generated by treatment initiatives elsewhere in the African Region and in Brazil. Such countries as Botswana, Malawi and Rwanda have shown that, with sufficient political will, large ART programmes can be introduced nationwide via the public sector. Experiences there and elsewhere have demonstrated the importance of using standardized treatment regimens and simplified procurement, training and patient management (145), while also showing that community and patient engagement is critical to success (146). The importance of decentralizing treatment services and using health workers more flexibly is becoming more evident. Experience has also confirmed that providing treatment and care free of user charges, in contrast to fee-based services, improves treatment uptake and adherence. Further, it became clear that major ARV price reductions were possible and would greatly expand the scope and impact of treatment programmes.

Financial support from the United States President’s Emergency Plan for AIDS Relief and the Global Fund to Fight AIDS, Tuberculosis and Malaria helped to develop crucial expertise at delivering and supporting quality-assured ART services in South Africa. Progress was initially steady though slow as systems were built, lessons were assimilated and strategies were refined. After 2008, the treatment programme accelerated rapidly.

The government increased its health spending to the response, which is now largely domestically funded. New methods were used to increase efficiency, and the procurement system was revised to substantially reduce the cost of ARV medicines. South Africa also launched mass HIV testing campaigns that led to major increases in the number of people knowing their HIV status.

Major gains followed when the Department of Health dramatically expanded the number of sites offering HIV treatment, care and support services. ART is now available at virtually every public health facility in the country. This was achieved by revising legislation and by adapting the training and deployment of health workers, which enabled nurses working at the primary care level to initiate and manage people on ART.

Similar lessons and innovations have led to dramatic increases in access to HIV treatment elsewhere in the African Region. There, as in South Africa, important challenges remain, however. The reach and quality of ART services varies between and within provinces, and treatment access is particularly uneven in rural areas. Supply chains are still unreliable in some districts, and retention in care has to improve further (147). Nevertheless, a country that succeeded in placing more than 3 million people on ART in slightly more than a decade will be confident about closing the remaining gaps in its treatment programme. “It always seems impossible until it is done”, as South Africa’s Minister of Health, Aaron Motsoaledi, put it in April 2015 at the Global Health Sector Strategies 2016–2021 for HIV, STIs and Viral Hepatitis Regional Consultation in Johannesburg, South Africa.
ART coverage in low- and middle-income countries continues to be highest in the Region of the Americas, where treatment began to be scaled up in the 1990s, primarily in Brazil. ART coverage in 2014 was about 46% [40–55%] in the Region of the Americas. The estimated 900 000 people receiving ART in 2014 were triple the 300 000 in 2005 and more than seven times as many as in 2000. Treatment coverage was highest in Cuba (70% [60–86%]) and Chile (64% [52–76%]) and lowest in Paraguay (29% [21–55%]) and the Plurinational State of Bolivia (21% [15–35%]). Brazil’s treatment programme, the oldest in the region, is estimated to have resulted in a gain of 1.5 million life-years by 2014 (144).

In the low- and middle-income countries in the European Region, ART coverage in 2014 was low: about 19% [17–22%], less than half the global coverage. However, the number of people receiving treatment has increased substantially, reaching 300 000 in 2014 versus only 16 000 in 2005 and about 4 000 in 2000.

The approximately 1.2 million people who were receiving ART in the South-East Asia Region at the end of 2014 comprised 36% [33–38%] of the people living with HIV. This represented a nine-fold increase since 2005, when HIV treatment began to be rolled out in earnest and only about 135 000 people were receiving ART. In 2000, hardly anybody in the South-East Asia Region was receiving ART. However, the overall regional ART coverage in 2014 masked a wide variation in treatment access, with the percentage of people living with HIV receiving ART ranging from 61% [55–66%] in Thailand to 8% [7–8%] in Indonesia.

ART coverage in the low- and middle-income countries in the Western Pacific Region was about 37% [30–49%] at the end of 2014, when about 490 000 people were receiving ART. This marked an 11-fold increase since 2005. Fewer than 500 people were receiving ART in 2000. Coverage levels ranged from 71% [45>95%] in Cambodia to 24% [14–69%] in the Philippines and 21% [19–24%] in Malaysia.

In the low- and middle-income countries in the Eastern Mediterranean Region had the lowest ART coverage in 2014, about 9% [6–13%]. The approximately 30 000 people receiving ART were 15 times as many as in 2005, but the treatment scale-up is not keeping pace with the growing HIV epidemics in the Region. Lebanon has managed to arrange treatment for about 43% [3–83%] of the people living with HIV, but coverage in Afghanistan and Pakistan was 5% or less.

Impressive as it has been, treatment is being scaled up unevenly, with some regions and many countries lagging considerably.

Fig. 2.17 Percentages of people living with HIV who were receiving ART in the WHO African region in 2005, 2010 and 2014

Sources: Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) and UNAIDS/WHO estimates.
Other important disparities in access to ART persist. In the African region especially, men eligible for ART generally are less likely to receive it than women and more likely not to be retained in care (148,149). In the African Region, 47% of adult women living with HIV were receiving ART in 2014, compared with 36% of their male counterparts. HIV testing rates in that region are consistently lower for men, who also tend to access treatment when they have more advanced disease and show poorer adherence to treatment (150,151). As a result, HIV-related mortality rates are higher among men than women receiving ART in most African countries (152).

Sex workers, people who inject drugs, transgender people, men who have sex with men and prisoners face multiple barriers that deny them the benefits of HIV treatment and care services. Indeed many of the factors that put people from key populations at great risk of HIV infection also impede their abilities to access and remain on HIV care and treatment – including stigma and discrimination in both health-care settings and wider communities and punitive laws and practices (Box 2.9) (153,154).

**Box 2.9 Despite some progress, stigma and discrimination remain great challenges**

Stigma and discrimination faced by people living with HIV have been reduced but not sufficiently. Surveys done for the People Living with HIV Stigma Index (155) show that stigma and discrimination continue to be widespread. In about 40% of countries in which people living with HIV 15–49 years old were surveyed, more than 50% reported experiencing discriminatory behaviour based on their HIV status (8).

Such behaviour has profound consequences. For example, evidence links increases in ART coverage to declining discriminatory attitudes towards people living with HIV (8). However, discriminatory or stigmatizing behaviour among health workers, especially towards people belonging to key populations and people living with HIV, continues to be a significant barrier. Improving the sensitivity of health workers remains important for a successful health sector response to HIV.

Encouragingly, about two thirds of 74 reporting countries in 2014 stated that they had laws in place prohibiting discrimination against people living with HIV. About half the reporting countries had mechanisms to record, document and address cases of discrimination against people living with HIV (8). However, many countries have no HIV-related legal services that might help individuals seek legal redress, which is often complex and unaffordable (13).

Action to reduce stigma and discrimination also needs to reach beyond people living with HIV to support key populations’ access to services. Laws that criminalize the behaviour of key populations, even when not routinely enforced, often feed stigma and social marginalization, legitimize discrimination and facilitate harassment, all of which disrupt the provision and use of HIV and other health services (156,157). In 2014, about 30% of reporting countries stated that they had laws, regulations or policies that presented obstacles to reaching key populations with HIV prevention services (8).

Initiatives that tackle stigma and discrimination in the social, institutional and policy realms are most likely to be effective (158). They include abolishing laws that sanction discrimination and intervening to discourage or prevent discriminatory practices, especially in the health and social sectors (159).
Box 2.10 Affordable treatment – ARV medicine prices declined by 90% in 15 years

Competition among manufacturers and growth of economies of scale in ART programmes have driven down the prices of first-line ARV medicine regimens in most low- and middle-income countries – to less than US$ 150 per person per year (Fig. 2.18). Generic manufacturers, most of them based in India, supply more than 95% of all ARV medicines in low- and middle-income countries (160). Nevertheless, the costs of ARV medicines remain the largest component of treatment costs at the facility level (161,162), highlighting the need to continue to reduce prices and achieve other savings.

Fig. 2.18 Average prices for first-line ART regimens for adults, 2003–2015

The prices of certain second-line regimens are still high but have also declined substantially, especially after 2010 (Fig. 2.19). Most low- and middle-income countries can access second-line treatment at about US$ 300 per person per year, mostly resulting from the increasing availability of generic formulations. Some countries, however, pay considerably higher prices.

Fig. 2.19 Average prices for second-line ART regimens for adults, 2003–2015

Options beyond second-line treatment remain extremely costly, however, partly because of the absence of WHO-prequalified generic drug versions. Some third-line regimens cost almost 15 times more than the standard WHO recommended first-line regimen. In addition, company-led access programmes do not generally include middle-income countries outside the African Region, which pay much higher prices. There are also serious concerns that further patent restrictions and a weakening of competition from generic manufacturers might limit options for lowering the price of newer medicines (163). This will require vigilant attention from countries and communities.
Maximizing the benefits of HIV treatment requires a systematic approach to close the gaps at each stage of the service cascade (164,165). Arguably, the greatest single stumbling block currently is the large number of people living with HIV who have not been diagnosed (see above). But major progress is also needed to maintain the increasing numbers of people receiving treatment over the medium term and to reduce the many people who drop out of care at various points along the treatment cascade after receiving an HIV diagnosis (164,165).

### 2.3.2 Starting HIV treatment earlier

Early initiation of treatment for people living with HIV maximizes the benefits of ART – at both the individual and population levels.

Despite a shift toward earlier initiation of ART in all regions during the past decade, many people still initiate HIV care when they already have advanced HIV disease.

Men are more likely than women to start treatment late, and late HIV diagnosis is especially common among people from key populations.

Adopting the treat-all approach, along with simplified referral procedures, should enable more people to start ART earlier.

The past decade has seen a steady shift towards earlier initiation of ART, with the median CD4 cell count of people starting ART rising in all regions (and especially among women in several regions) (166–168). An analysis of 241 sites in the IeDEA cohort collaboration conducted for this report (133) showed that median CD4 count at enrolment in HIV care increased from 167 cells/mm³ in 2004 to 306 cells/mm³ in 2014 (Fig. 2.20).

**Fig. 2.20 Median CD4 cell count at enrolment in HIV care at 241 ART sites in central and southern Africa, Asia and the Pacific and Central, South and North America, 2004–2014**

<table>
<thead>
<tr>
<th>Year</th>
<th>N</th>
<th>% missing</th>
<th>Median CD cell count</th>
</tr>
</thead>
<tbody>
<tr>
<td>2004</td>
<td>33898</td>
<td>28</td>
<td>167</td>
</tr>
<tr>
<td>2005</td>
<td>61653</td>
<td>25</td>
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</tr>
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<td>95172</td>
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<td>69644</td>
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</tr>
<tr>
<td>2014</td>
<td>23216</td>
<td></td>
<td>306</td>
</tr>
</tbody>
</table>

Source: Report prepared for the IeDEA-WHO Collaboration: global analysis of delays from ART eligibility to antiretroviral treatment (ART) initiation among adults, on behalf of the International Epidemiologic Databases to Evaluate AIDS (IeDEA) (133).

Nevertheless, large proportions of people are still enrolling in care late, especially in southern Africa, where more than 80% of people initiating ART between 2004 and 2014 had CD4 cell counts <350 cells mm³ (133). Men typically enrol in ART at a lower CD4 cell count than women: in 2014, the median CD4 count was 253 cells/mm³ for men versus 342 cells/mm³ for women (133). Late HIV diagnosis is also common among people from key populations (153).

**How we can close the existing gaps**

People who test HIV-positive should be encouraged to start HIV treatment as soon as possible after their diagnosis. Several countries have taken steps to provide early treatment. There has been notable success in Brazil following its 2013 policy change to initiate ART for everyone living with HIV at any CD4 cell count (Box 2.11) (169). A similar move has been successful in Viet Nam, where immediate initiation of ART irrespective of CD4 cell count for people who inject drugs shows high levels of retention (94%) and viral suppression (91%) at six months.

Uganda’s decision to treat all children younger than 15 years regardless of immune or clinical status which was fully implemented in 2014, resulted in a 74% increase in the number of children starting ART; 75% of children and adolescents were reported to have started ART within two days after enrolment into care (170). In Thailand, immediate ART initiation for men who have sex with men and transgender people had high acceptance (83%) and very good rates of retention (92%) and viral suppression (96%) at 12 months. Thailand’s 2014 decision to shift to a treat-all approach is expected to further increase its already-high ART coverage levels (Box 2.11).
Box 2.11 The shift to treat all

When global treatment began to be scaled up in earnest in the early 2000s, WHO guidelines defined eligibility for treatment fairly conservatively in an attempt to balance the need for early ART initiation against the practical challenges of implementing large treatment programmes. As the rollout evolved and the effectiveness of ART and the multiple benefits of early treatment became better understood, the public health case for initiating ART early strengthened considerably. Eligibility thresholds for starting ART changed as did the numbers of people considered eligible for ART in accordance with WHO guidelines (Fig. 2.21).

The 2013 WHO consolidated guidelines on the use of ARV drugs for treating and preventing HIV infection (44) recommended initiating ART among adults and adolescents living with HIV with CD4 counts <500 cells/mm³. By the end of 2014, slightly more than half of 144 reporting low- and middle-income countries were implementing the recommendation countrywide. Meanwhile, a minority of countries, about 6%, had opted to treat everyone living with HIV, irrespective of CD4 cell count.

A comprehensive revision of the ARV guidelines in 2015, based on new scientific evidence and lessons from implementation, led to the major new recommendation from WHO that everyone living with HIV at any CD4 cell count should initiate ART (88). The recommendation is based on recent evidence from clinical trials and observational studies – notably that of the recent TEMPARANO and START trials – that show clearly that people who start ART immediately after HIV diagnosis, while their CD4 cell count is high, have a significantly lower risk of HIV-related illness and death (171,172).

The latest recommendation presents a major challenge of diagnosing greater numbers of people living with HIV and linking them successfully and rapidly to HIV treatment and care services. Nevertheless, the experience in Brazil – and other countries – shows that it can be done.

In December 2013, Brazil became the first low- or middle-income country to offer ART to all people living with HIV regardless of their CD4 cell count. As part of the new policy, Brazil expanded its HIV testing programme, with the 1.9 million HIV tests performed in the first quarter of 2014 rising to 2.2 million a year later. The number of people initiating ART rose by 30% in
2014, and there was a 46% increase in the number of people with CD4 cell counts >500 cells/mm³ who started ART in the first quarter of 2015 compared with a year earlier (169).

About 80% of the people living with HIV in Brazil now know their HIV status, 70% of the people who have been diagnosed with HIV have initiated ART and about 65% of the people who have initiated ART have achieved viral suppression (Fig. 2.22) (169).

**Fig. 2.22** The HIV treatment and care cascade performance in Brazil, 2014, measured against the 2020 targets

![HIV treatment and care cascade performance in Brazil, 2014](source: Ministry of Health, Brazil, STD/AIDS and Viral Hepatitis Department, 2015.)

**Box 2.12 Thailand adopts a treat-all approach but challenges remain**

In October 2014, Thailand adopted a national HIV treatment policy of initiating ART for all people living with HIV regardless of CD4 cell count. The treatment is fully reimbursable as part of existing health insurance packages. The almost 272 000 adults and children who were receiving ART at 949 health facilities countrywide in 2014 meant that ART coverage was 61% [55–66%], among the highest in the world. The proportion of people retained in treatment was also high: 83% at 12 months, 78% at 24 months and 75% at 60 months.

Several important challenges remain, however. The high levels of loss to follow-up between HIV testing and ART initiation needs to be reduced. Of the almost 19 000 people who tested HIV-positive in 2014, about two thirds (12 515) were registered in care, and only about 40% (7039) started ART. In addition, many people have been starting treatment late: the median CD4 count of people starting ART was only 111 cells/mm³ in 2013, and two thirds of people newly initiating ART had CD4 counts <200 cells/mm³. This compromises individual treatment outcomes and efforts to reduce HIV transmission.
### Action and innovation to speed up progress

- Adopt a treat-all approach for people living with HIV and simplify procedures for referral and access to treatment.
- Initiate ART through integrated care in settings other than HIV clinics, such as TB, maternal, newborn and child health, drug dependence treatment and sexual and reproductive health clinics.
- Develop approaches to increase access to health services and their uptake by men and by key populations.

### 2.3.3 Achieving viral suppression

**Very good viral suppression outcomes can be achieved, including in resource-limited settings.**

However, many people drop out of ART before achieving viral suppression. In recent years, only about 45% of adults who started ART achieved viral suppression after three years. Every effort must be made to retain more people receiving ART in care, prevent treatment interruptions, use robust ART regimens, conduct effective HIV drug resistance surveillance and monitor and avoid treatment failure.

The ultimate goal of ART is to suppress HIV to stop the progression of HIV-related disease and drastically reduce the risk of onward transmission. Studies show that very good viral suppression outcomes can be achieved, including in resource-limited settings (173–177). However, these outcomes are not the norm.

A new review of global data from the IeDEA cohort collaboration (133) found that only about 45% of adults who started ART achieved viral suppression (<1000 copies/mm³) after three years. Excluding the people lost to follow-up or who had died from the analysis increased this figure to 92% — which indicates the extent to which late treatment initiation and poor retention in care and adherence diminish the benefits of ART.

After people start ART, losses to follow-up tend to be initially high before gradually declining. Although some of the people lost to follow-up may be shifting in and out of care (165) or may be transferring their care from one facility to another, evidence shows that many people receiving ART drop out of care before achieving sustained viral suppression (Fig. 2.23). This attrition reduces both the treatment and prevention benefits of ART and carries the risk of increasing drug resistance and the cost of care.

**Fig. 2.23 ART retention rates up to 60 months in selected low- and middle-income countries by region, 2014**


Treatment-related adverse events contribute to poor adherence and treatment outcomes, with toxicity a leading cause of treatment interruption (179). A majority of countries have phased out stavudine (d4T), a move recommended by WHO because of the high rates of toxicity associated with stavudine. According to Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO), most countries have moved towards the WHO-recommended safe, simple and well tolerated first-line ARV medicine regimens and now prefer the use of one-daily-tablet fixed-dose combinations, which has been shown to support adherence (180). WHO continues to support innovation
to improve the tolerability of the recommended regimens, optimizing doses to reduce adverse events (181) and using newer ARV medicines with better safety profiles (182).

Nevertheless, because of HIV’s high mutation rate, some degree of HIV drug resistance is anticipated among people receiving treatment, even when appropriate regimens are provided and optimal adherence is achieved (183). The emergence of such HIV drug resistance must be monitored and addressed (see Box 2.13) (180).

**How we can close the existing gaps**

Services should be organized to minimize leakage and maximize retention and adherence.

Using simplified, robust and tolerable ARV regimens is vital. Improved patient and case-reporting systems (184,185) and defaulter tracking mechanisms to track and re-engage people who have missed appointments or drug pick-ups (see Box 2.15) would strengthen retention in care (186–188). Routine reviews of pill collection and methods that improve on-time pill collection and appointment-keeping are simple but effective ways of identifying and assisting people who may need additional support (189,190). Other ways to improve adherence include using mobile-phone text reminders (191,192) in conjunction with adherence counselling (193) and providing diary cards and food rations (194,195).

Decentralizing ART services has proved effective for strengthening retention in care in places with high HIV prevalence (196,197) (including for children) (198,199) as has minimizing clinic visits and reducing waiting times (200,201). Dispensing ARV medicines in communities, rather than only at clinics and hospitals, is also effective, especially for retaining men in treatment (202).

**Box 2.13 The challenge of controlling HIV drug resistance**

The emergence of HIV drug resistance has to be minimized to preserve the long-term population-level effectiveness of ART. Drug resistance has been modest, but there are signs that it has been rising in some countries and regions in recent years.

About 7% of people starting ART had some form of resistance to medicine recommended as first-line treatment in 2010, according to data from 40 surveys of pre-treatment HIV drug resistance (37 of which from the African Region) between 2004 and 2010 (206). However, there are signs that pre-treatment HIV drug resistance is increasing in the African Region (207).

Recent analysis of data for more than 50,000 people receiving ART in 111 countries (208) showed the median prevalence of transmitted HIV drug resistance was lowest in sub-Saharan Africa (2.8%) but reached 7.6% in Latin America and the Caribbean, 9.4% in the European Region and 11.5% in North America.

When viral failure is detected, switching rapidly to an effective regimen is vital (209). Routine drug resistance monitoring and surveillance, as set out in the WHO global strategy for the monitoring and surveillance of HIV drug resistance (210), is essential.

**Action and innovation to speed up progress**

- Simplify models for delivering ART services, including less-frequent clinic visits and medicine pick-ups, and make greater use of community-based methods for delivering ART services.
- Strengthen case and patient reporting to support the retention of people on ART and improve systems for tracing and re-engaging people receiving care.
- Develop new, simplified and more durable, affordable and palatable combination ART regimens.
WHO has identified several programme and clinical factors associated with the emergence of drug-resistant HIV as early-warning indicators of HIV drug resistance. They include practices for prescribing ARV medicines, loss to follow-up and retention on treatment, on-time pill pick-up, on time appointment-keeping, pharmacy stock-outs and viral load suppression. The indicators have been integrated into the WHO consolidated guidelines on strategic information for HIV in the health sector published in 2015 (211).

WHO recommends that all clinics managing people receiving ART monitor these early-warning indicators to identify the programmatic factors that require urgent action. If monitoring all clinics is not feasible, countries can assess the performance of programmes in a representative sample of clinics.

Between 2004 and 2014, 63 countries monitored one or more early-warning indicators, with clinic-level data from more than 7000 clinics showing the following.14

- **Prescribing practices.** Data from 52 countries show that 99% of 1.1 million people receiving ART who started ART between 2005 and 2014 were prescribed regimens that conformed to national or international guidelines.

- **Loss to follow-up.** Analysis of more than 166 000 records from people initiating ART between 2003 and 2011 in 49 countries shows that almost 20% were classified as lost to follow-up at 12 months. Estimates of loss to follow-up vary by region, but the reported data suggest that it increased during 2004–2012.

- **Retention on ART.** According to data from almost 329 000 records from 50 countries, 74% of the people who initiated ART between 2004 and 2013 remained on treatment after 12 months. Those findings are consistent with a large meta-analysis that observed 78% retention at 12 months (212). The WHO analysis noted important regional variation, with retention rates in the African Region lower (68%) than in other regions.

- **On-time pill pick-up.** People who interrupt treatment for as little as 48 hours can experience viral failure and selection of drug-resistant HIV strains. The records of almost 559 000 individuals receiving ART in 2006–2014 in 34 countries show that 82% of them picked up their prescribed ARV medicines within two days of the date on which they were scheduled to run out of pills.

- **On-time appointment-keeping.** Clinical appointment-keeping has been correlated with other measures of ART adherence (213). Records for more than 110 000 individuals receiving ART in 20 countries in 2006–2012 show that 61% of them attended clinic appointments within seven days of the scheduled date, with the rates varying from 41% in western Africa to 87% in the Western Pacific Region.

- **Pharmacy stock-outs.** ART stock-outs can significantly affect individual- and population-level outcomes. The frequency of stock-outs of ARV medicines varies considerably between regions and countries. Among the 1200 clinics monitored in 30 countries between 2005 and 2013, stock-outs were more frequently experienced in the African Region than in other regions.

### Box 2.15 Guaranteeing reliable supplies of ARV medicines and diagnostics

The creation of reliable supply chains for ARV medicines, diagnostics and other commodities has been a vital factor in expanding treatment access. Each year, more than 100 million packs of ARV medicines are shipped from manufacturers to countries around the world and then to thousands of treatment sites, many in remote areas (214). These supply chains have been built in slightly more than a decade, and managing them is an ongoing challenge in many countries, with the difficulties often affecting specific places. Drug stock-outs are among the repercussions; unless remedied quickly, they can undermine treatment uptake and weaken adherence, which can lead to HIV drug resistance.

The frequency of stock-outs of ARV medicines varies considerably between regions and countries, but it has decreased globally. However, among the 1200 clinics monitored in 30 countries between 2005 and 2013, stock-outs were more frequently experienced in the African Region than in other regions. Very low levels of stock-outs were reported in the South-East Asia Regions and Western Pacific Region, and considerable variation was observed in the Region of the Americas.

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14 Clinics may have been counted more than once if rounds of early-warning indicator monitoring were repeated at the same clinic over time. Several factors limit wider generalization from these data. The sample of clinics monitored varied according to resources available, with some countries sampling a small proportion of non-representative clinics and others canvassing most or all clinics. Because different countries report in different years, country and regional differences should be interpreted with caution.
Despite the overall decline of stock-outs, their frequency remains a concern. Any stock-out of routinely dispensed ARV medicines can potentially significantly affect individual- and population-level outcomes. As access to ART expands further and increasing numbers of people start ART at higher CD4 cell counts, medicine forecasting, procurement and supply distribution systems will have to be strengthened to prevent stock-outs.

2.3.4 Closing the gaps in treating children and adolescents

The number of children younger than 15 years who received ART rose from about 18,000 in 2000 to 823,000 in 2014. However, coverage of ART for children younger than 15 years was lower than for adults (41% [38–46%] in 2014, and it was about 30% [28–32%] in the African Region, where 90% of children living with HIV reside.

Expanded access to diagnostic services for infants and young children should also improve ART coverage for children.

Similar to adults, the provision of ART for children younger than 15 years has expanded impressively. The number of children younger than 15 years who received ART expanded from an estimated 18,000 in 2000 to 823,000 in 2014 and more than doubled in 2010–2014 alone. The increase has mainly resulted from progress in the African Region, which is home to almost 90% of children with HIV (Fig. 2.24).

Fig. 2.24 Numbers of children (younger than 15 years) receiving ART globally and by WHO region, 2000–2014

However, coverage of HIV treatment in 2014 was considerably lower for children (32% [30–34%]) than for adults (41% [38–46%]). This gap has narrowed compared to previous years.

In the African Region, about 30% [28–32%] of the estimated 2.3 million [2.2 – 2.5 million] children living with HIV were receiving ART in 2014. There are inspiring examples of improvement – including Botswana and Namibia (where more than 50% of children with HIV were enrolled in ART in 2014) and Kenya, South Africa, Swaziland and Zambia (with ART coverage of 40–49% among children). However, ART coverage for children in 2014 was between 10% and 20% in several other countries, including Angola, Burundi, Cameroon, Côte d’Ivoire, Democratic Republic of the Congo and Nigeria and less than 10% in South Sudan and Madagascar.
In the Region of the Americas, 74% [65–86%] of the estimated 48,000 [42,000–56,000] children living with HIV were receiving ART. About 33% [30–36%] of the estimated 170,000 [160,000–190,000] children living with HIV in the South-East Asia Region at the end of 2014 were receiving ART, with coverage ranging from 65% [64–67%] in Thailand to 8% [7–10%] in Indonesia. ART coverage was considerably higher in the Western Pacific Region, at about 60% [53–68%] of the estimated 24,000 [22,000–28,000] children living with HIV. Viet Nam achieved coverage of about 85% [73–>95%] and Cambodia 67% [44–>95%] in 2014, but coverage in the Philippines was only 5% [3–19%].

There were major gaps in treatment for children in the low- and middle-income countries of the European Region, where 14,000 children were receiving ART in 2014. About 1,500 children with HIV were receiving ART in the Eastern Mediterranean Region in 2014, which amounted to 10% [8–13%] treatment coverage. In 2013, WHO recommended that ART be initiated for all children younger than five years living with HIV regardless of their immune status. As of July 2015, according to Global AIDS Response Progress Reporting [UNAIDS/UNICEF/WHO], 40% of 144 low- and middle-income countries had adopted such a policy. Further progress is anticipated as countries adopt the latest WHO recommendations to treat all people living with HIV regardless of age or immune status (44).

Among the reasons for the comparatively low ART coverage among children are the difficulties many countries have in diagnosing HIV in infants and young children. Of the 21 Global Plan priority countries in the African Region, for example, only seven were providing early infant diagnosis for more than 50% of HIV-exposed infants in 2014: Namibia (>95%), South Africa (94%), Zambia (94%), Swaziland (81%), Kenya (72%), Lesotho (55%) and Uganda (51%). Coverage was less than 25% in four other countries with a high burden of HIV infection: Burundi, Chad, the Democratic Republic of the Congo and Ethiopia.

There are also obstacles hindering rapid initiation of ART once children are diagnosed with HIV. Large proportions of children younger than two years – as many as two thirds in a large collaborative study spanning Africa, Asia and the Americas – have started ART with severe immunodeficiency (215).

Providing ART to adolescents living with HIV is another current gap. Many children infected perinatally are presenting for treatment for the first time as adolescents and with mounting health problems (216,217). In addition, adolescents may acquire HIV during unprotected sex or by using contaminated drug-injecting equipment.

There are no reliable estimates of the proportion of adolescents living with HIV who are receiving ART, but data from Malawi, Uganda, the United Republic of Tanzania and Zimbabwe suggest that adolescents’ uptake of treatment is often lower than for other age groups (218,219). Concerns about side effects or confidentiality, a lack of youth-friendly services and misinformation are often cited as barriers for accessing or adhering to ART. Stigma and harassment pose additional obstacles, especially for adolescents from key populations (220–222). Adolescents who acquired HIV perinatally may have had multiple treatment regimens, which could pose additional challenges.

How we can close the existing gaps

Some of the core improvements needed to expand the uptake of ART among children are closely related to effective PMTCT programmes generally, as shown by countries that have narrowed this treatment gap – such as Botswana, Namibia and South Africa. They have scaled up early infant diagnosis and implemented more effective follow-up of HIV-exposed infants until a definitive serological diagnosis can be made after 18 months of age. Identifying HIV-exposed infants at the six-week immunization visit and improving the education of mothers during antenatal care about infant testing has been found to increase early infant diagnosis (223).

In places with large numbers of children living with HIV, decentralizing ART for children can offer substantial advantages, especially when treatment can be offered at the same sites and with the same providers as other primary health services (224). Methods that combine customized text message alerts sent to mothers with

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16 ART coverage is currently under review for the European Region.
prospective tracking of children exposed to or living with HIV have increased the retention of HIV-exposed infants in care and reduced turnaround times in the processes leading to care (225).

High mortality rates among adolescents living with HIV highlight the need to improve their access and adherence to ART. Reviewing and, if appropriate, reforming laws and policies on consent to services may address some hindrances. HIV services need to be more appropriate for the special needs and circumstances of different groups of adolescents. Greater involvement of adolescents in developing the services intended for them should lead to improvements (226). A multicountry study found that attrition during treatment and care was lowest among adolescents and young people who attended clinics that also provided sexual and reproductive health services (including condoms) or that had adolescent support groups (205).

2.3.5 Tackling comorbidities

Increased HIV and TB interventions prevented about 5.9 million people from dying in the past decade.

Seventeen of the 41 countries with the highest burdens of HIV-associated TB have met the target of reducing HIV-associated TB deaths by at least 50% by 2015 (against a 2004 baseline) – but the target will not be met at the global level.

Viral hepatitis is an increasing cause of death among people living with HIV, even though highly effective biomedical tools are available for preventing and treating viral hepatitis.

As more people are retained on HIV treatment over the long term, dealing with comorbidities is becoming increasingly important. In particular, TB and bacterial infections are the leading causes of hospital admission and death among adults and children living with HIV (227), and chronic hepatitis B and hepatitis C infection are growing causes of morbidity and mortality in several countries among people living with HIV.

Reducing TB mortality among people living with HIV

The increase in and strengthening of joint HIV and TB interventions led to a 22% drop in the number of people dying from HIV-associated TB globally from 500 000 (460 000–530 000) in 2000 to 390 000 (350 000–430 000) in 2014. The implementation of HIV and TB interventions prevented an estimated 5.9 million [5.3 – 6.5 million] people from dying in the past decade (228).

Seventeen of the 41 priority countries with the highest burdens of HIV-associated TB have met the target of reducing HIV-associated TB deaths by at least 50% by 2015 (against a 2004 baseline). However, with current trends, the target will not be met at the global level.

Despite the progress, TB was responsible for 31% of the estimated 1.2 million HIV-related deaths globally in 2014. The African Region accounted for about three quarters (73%) of the people dying from HIV- and TB-associated causes globally in 2014, with the South-East Asia Region accounting for most of the remainder.

Misalignment of TB and HIV prevention, treatment and care services and a lack of integrated TB and HIV services are holding back progress in many countries. Although HIV testing coverage among people with notified TB globally increased more than 15-fold since 2004, it was still only 51% in 2014 – well short of the 100% target.

Linking people with TB who test HIV-positive to ART can be a challenge, but evidence from a large study in Cape Town, South Africa shows that the risk of premature death can be cut by half when people with HIV-associated TB are identified and initiate ART (229). Co-trimoxazole prophylaxis is another important intervention for protecting people living with HIV (230,231). Globally, 427 000 people with both TB and HIV infection enrolled in co-trimoxazole prophylaxis in 2014 – up from a very small number in 2004 and equivalent to 87% of all people living with HIV with notified TB. Enrolment in co-trimoxazole prophylaxis was especially high in the African Region (89%) and South-East Asia Region (85%). Only 4 of the 41 countries with high burdens of TB and HIV14 reported that less than 50% of people with both HIV and TB were enrolled in co-trimoxazole prophylaxis in 2014: Côte d’Ivoire (24%), Congo (27%), Indonesia (41%) and Ukraine (44%).

The global number of people living with HIV who started isoniazid preventive therapy rose from about 12 000 people in 2004 to 933 000 people in 2014. South Africa accounted

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14 Thirty-four of the 41 countries with the highest burdens of TB and HIV infection reported these data in 2014.

**Action and innovation to speed up progress**

- Scale up routine early infant diagnosis and strengthen point-of-care technologies and provider-initiated testing.
- Implement task shifting and targeted decentralization by training and empowering nurses and other non-clinician health providers to initiate ART in children.
- Ensure the selection of adequate formulations for children by procuring optimal products to provide safe and effective regimens across age groups.
- Promote age-disaggregated data collection systems to inform programme planning and commodity forecasting.
- Improve formulations for children, including by identifying safe and effective ARV medicine options that are suitable for newborns (younger than four weeks) and fixed-dose combinations for children.
- Tailor ART services to the special needs of adolescents living with HIV.
for more than half the global total. As with TB screening, many countries continue to find it challenging to provide isoniazid preventive therapy and to record and report data on its provision or treatment completion (228). Only 13 of the countries with the highest burdens of TB and HIV reported starting people living with HIV on isoniazid preventive therapy in 2014.

Managing HIV and viral hepatitis

Viral hepatitis is an increasingly significant cause of death among people living with HIV and a significant addition to the burden of disease in its own right, accounting for 1.4 million deaths per year, similar to the number of deaths from HIV. Hepatitis C affects 2–15% of the people living with HIV worldwide (and up to 90% of those who inject drugs), and chronic hepatitis B infection affects an estimated 5–20% of the people living with HIV (232,233). Globally, an estimated 2.8 million [1.6 – 4.6 million] people are coinfected with HIV and hepatitis C, of whom an estimated 1.3 million [900 000 – 1.4 million] inject drugs. The burdens of HIV and viral hepatitis coinfection are greatest in the African Region and South-East Asia Region (234,235). The viral hepatitis epidemics have been largely neglected, although this situation has begun to change as more countries take advantage of important vaccine and treatment opportunities. WHO is setting targets for the elimination of Hepatitis B and C by 2030.

Dealing with noncommunicable diseases and HIV

People living with HIV are at increased risk of developing a range of noncommunicable diseases as a consequence of their HIV infection or of side effects of their treatment. These noncommunicable diseases include cardiovascular disease, diabetes, liver and pulmonary disease, hypertension and a range of non-AIDS-associated malignancies, notably cancer (236–238). Because of greater access to effective ART, people with HIV are living longer and experiencing the noncommunicable diseases associated with ageing, which is posing new challenges to health-care systems (239).

Women living with HIV have a higher risk of invasive cervical cancer, which can be prevented with appropriate vaccination (240). Rwanda is among the countries that have implemented major human papillomavirus vaccination campaigns in recent years. In 2011–2012, Rwanda fully vaccinated more than 220 000 girls against human papillomavirus, achieving coverage rates of 93–96%. Rwanda has also introduced nationwide screening and treatment programmes – evidence that these interventions are feasible in resource-limited settings (241). Elsewhere in the African Region, however, cervical cancer screening and management remain rare, and coordinated national efforts to address the disease are scarce – including in the countries with a high burden of HIV infection in eastern and southern Africa (242).

WHO global strategies to be published in 2016 will highlight opportunities for further integrating sexually transmitted infection and viral hepatitis interventions with HIV activities.

How we can close the existing gaps

HIV and TB services need to be integrated more thoroughly. HIV testing coverage among people with notified TB has to increase, and those who test HIV-positive should be linked immediately to ART, especially in countries with the highest burdens of TB and HIV coinfection. Intensified implementation and uptake of key interventions, including TB screening among people living with HIV, isoniazid preventive therapy and co-trimoxazole prophylaxis, will reduce TB-related morbidity and mortality.

Similarly, highly effective interventions exist to prevent, treat or cure viral hepatitis. Hepatitis B infection can be prevented through vaccination (243). Although there is no vaccine for hepatitis C, highly effective hepatitis C prevention interventions exist. Harm-reduction services have been shown to significantly reduce HIV and hepatitis C incidence among people who inject drugs (244,245). New, directly acting antiviral drugs offer cure rates in excess of 90% for chronic hepatitis C infection, and effective suppressive treatment exists for chronic hepatitis B infection, although this generally requires lifelong therapy (245).

Further integrating HIV and sexual and reproductive health services would improve the prevention and treatment of cervical cancer (246). Vaccination, screening and management for cervical cancer should be reinforced.

Chronic HIV care also offers opportunities for screening, monitoring and managing chronic noncommunicable diseases, especially through primary care, and for detecting and managing mental health problems among people living with HIV, including pre-existing mental health problems.

Action and innovation to speed up progress

- Offer routine testing for HIV, TB and hepatitis B and C at all points of contact with health services.
- Combine HIV, viral hepatitis and TB management and implementation in settings with a high prevalence of coinfection.
- Use the Xpert platform for TB, viral load and other testing.
- Innovate health-funding approaches to support the provision of integrated HIV, TB and viral hepatitis services.
CHAPTER 3
THE NEXT 15 YEARS – TOWARDS A SUSTAINABLE PATH TO END AIDS

This chapter looks ahead to the challenges of the next 15 years. It introduces the proposed WHO Global Health Sector Strategy on HIV 2016–2021 and presents five strategic directions for guiding country and global action to end the AIDS epidemic by 2030.

In this chapter

3.1 TOWARDS THE GLOBAL HIV TARGETS FOR 2020 AND 2030

3.2 FIVE STRATEGIC AREAS FOR ACTION
3.2.1 Using strategic information for decisions and accountability
3.2.2 Selecting the essential package of HIV services
3.2.3 Achieving equity and quality
3.2.4 Sustainable funding, reduced costs
3.2.5 Innovations for acceleration and impact

3.3 CONCLUSION

3.1 Towards the global HIV targets for 2020 and 2030

The 2020 and 2030 targets require action and innovation capable of rapidly and dramatically reducing the numbers of people newly infected with HIV and dying from HIV-related causes.

Strong commitment, opportune partnerships and major innovations in technologies and service delivery have brought the world to a realistic prospect of ending the AIDS epidemic as a serious public threat by 2030. That objective is now a global commitment and features among the targets of the Sustainable Development Goals.

Achieving that goal will require decisive declines in the numbers of people newly infected with HIV infections and dying from HIV-related causes (Fig. 3.1), much more rapidly than in the past 15 years. It will require drastically accelerating the global HIV response during the next five years to reach the milestones set for 2020.

Fig. 3.1 Progress required to reach key 2020 and 2030 HIV targets

Source: Global AIDS Response Progress Reporting (UNAIDS/UNICEF/WHO) and UNAIDS/WHO estimates.
UNAIDS modelling indicates that a combination of high-impact prevention packages, expanded testing and treatment services, and strengthened protection of human rights can:

- reduce the annual number of adults newly infected with HIV to less than 500,000 in 2020;
- prevent 28 million adults from becoming newly infected with HIV between 2015 and 2030;
- prevent almost 6 million children from becoming newly infected with HIV by 2030;
- prevent 21 million people from dying from HIV-related causes between 2015 and 2030; and
- avoid US$24 billion of additional costs for HIV treatment.

Formidable gaps separate current HIV responses from the targets. As HIV programmes grow in scale, fresh challenges are also materializing. Nevertheless, as shown in Chapter 2, a strong platform to respond to HIV has been built, and there are great opportunities for mastering the challenges that lie ahead. Seizing these opportunities will require renewed political commitment, additional resources, technical innovation, and action to ensure that suitable conditions and capabilities exist for further progress.

The proposed WHO Global Health Sector Strategy on HIV 2016–2021 (1) will guide efforts to accelerate and focus HIV prevention efforts, challenge pervasive HIV-related stigma and discrimination, enable people to know their HIV status and provide ART and comprehensive long-term care to all people living with HIV. Closing the gaps systematically along the continuum of services is a priority, and WHO has adapted its strategic information, prevention, testing and treatment guidelines accordingly.

The WHO Global Health Sector Strategy on HIV 2016–2021 is anchored in the sustainable development agenda and positions the health sector response to HIV as vital for achieving several Sustainable Development Goals. It promotes a people-centred approach that is grounded in human rights principles and emphasizes the importance of linking HIV responses with other initiatives to improve health and promote development in equitable ways.

### 3.2 Five strategic areas for action

Reaching the 2020 targets requires accelerating the integrated public health approach that enabled the achievements of the past 15 years. The proposed WHO Global Health Sector Strategy on HIV 2016–2021 charts such a response.

Building on the progress and gaps discussed in this report, the WHO Global Health Sector Strategy on HIV 2016–2021 maps the way forward along five strategic directions, each answering a key question (Fig. 3.2).

- **Strategic direction 1 – What is the situation?** Using accurate strategic information to understand the epidemic, monitor interventions and their impact, guide improvements, develop national strategies and promote greater accountability. This will require more detailed and granular data as well as strengthened health information systems in countries.

- **Strategic direction 2 – What services should be delivered?** Defining the essential packages of high-impact interventions that need to be delivered along the cascade of HIV prevention, testing and treatment services and that should be considered for inclusion in national health benefit packages.

- **Strategic direction 3 – How can these services be delivered best?** Using the most effective methods and approaches for delivering the cascade of HIV services for different populations and locations to achieve equity, maximize impact and ensure quality.

- **Strategic direction 4 – How can the costs of delivering the package of services be covered?** Implementing sustainable funding models for HIV responses and reducing costs and other financial barriers so that people can access the services they need without incurring financial hardship.

- **Strategic direction 5 – How can the trajectory of the response be changed?** Innovating new technologies and ways of organizing and delivering services so that HIV responses can be accelerated and close the remaining gaps.
Most of the essential tools and interventions for reaching the 2020 and 2030 targets exist, and further innovations are on the horizon. Using them to full effect, however, requires capacities and enabling factors that are unevenly available.

**Box 3.1 The new HIV Strategy for the African Region**

In order to accelerate HIV responses in the region, the WHO Regional Office for Africa is developing an HIV strategy specifically for the African Region. It will be based on the three global health sector strategies (for HIV, viral hepatitis and sexually transmitted infections) which WHO will present at the 69th World Health Assembly in 2016.

The new African strategy will guide efforts to meet the 2020 and 2030 targets and will be anchored in a set of core principles:

- **an integrated approach** is needed to ensure that HIV, STI and viral hepatitis services are integrated into overall health sector investments;
- **prevention and treatment** activities should be balanced and linked to take full advantage of their mutual synergies;
- **the affordability of HIV commodities and diagnostics** must be safeguarded, with WHO playing an important role in facilitating arrangements that can lead to price reductions;
- **a focus on key populations** is needed to ensure that the HIV response leaves no-one behind;
- **the human rights** dimension of HIV programmes should be strengthened, and there should be more explicit links to poverty and climate change in national HIV strategies; and
- **the growing role of private health care provision** needs to be taken into account.

The African HIV strategy will be aligned with the latest strategies of key partners, including the Global Fund, PEPFAR and UNAIDS, and it will focus on contributing to the achievement of the Sustainable Development Goals. Other regions are also developing HIV strategies or implementation plans.
3.2.1 Using strategic information for decisions and accountability

Strategic information systems should be capable of gathering and analysing high-quality, granular data for making decisions that focus and improve HIV programmes.

Collecting and analysing reliable data have been essential components of national HIV programmes. Countries have several major opportunities for strengthening strategic information as part of their HIV intervention packages:

- collecting more detailed and disaggregated subnational and key population data to focus services for greatest impact;
- conducting regular impact and programme reviews to focus and improve interventions; and
- strengthening routine patient and district reporting systems to manage chronic conditions.

New data collection, analysis and modelling approaches are available for determining modes of transmission, estimating the sizes of key populations, determining the locations and populations that require urgent action and identifying service gaps and deficiencies with greater accuracy (Box 3.2). Case-reporting and patient-tracking systems particularly need to be strengthened to enhance linkage to and retention in care and to improve HIV treatment outcomes. Greater community and stakeholder involvement in collecting and analysing the data can potentially improve their quality, relevance and use. The data are also vital for mobilizing greater strategic investment in HIV programmes and for strengthening accountability.

WHO recommends 10 indicators for global reporting and a menu of 50 national programme indicators to gauge the health sector response along the continuum of HIV services (Box 3.2).

### Box 3.2 Improvements in collecting and using strategic information

It is important to understand the progress, identify the gaps and decide on the most suitable actions for improvement at each stage of the service cascade. WHO’s latest strategic information guidance (3) presents key improvements that can be made by:

- defining 10 global and 50 national health sector indicators as agreed among partners;
- organizing strategic information into a results or cascade framework;
- defining data needs to support disaggregated and granular HIV prevalence data, HIV prevention services for key populations, case reporting to improve the linking of individuals to services and a practical impact evaluation agenda to show changes in incidence and mortality; and
- strengthening accountability for the targets by defining the agreed set of indicators, together with support for data systems and analysis.

Key indicators for the continuum of HIV services (4) can be integrated into countries’ national HIV monitoring and evaluation systems (Fig. 3.3). The use of standardized, internationally agreed indicators will help ensure that the data are comparable across countries, and involves the following steps for implementation.

1. **Consolidate and set priorities for indicators** for consistent global and national reporting.
2. **Identify data sources** and surveillance priorities to strengthen data.
3. **Plan the disaggregation of data** and build analytical capacity, including for feeding analysis back into programme decisions.
4. **Use data regularly for decisions** to improve the delivery of health sector services.
5. **Evaluate impact** using a practical, regular impact evaluation agenda to make necessary adjustments to a programme based on evidence.
Fig. 3.3 Ten global measurements for the HIV epidemic

1. HIV prevalence data: granular and disaggregated (and costing data)

2. Key populations and outreach
   - Case reporting
     - Patient, testing, PMTCT individual and linked

3. HIV care
   - Currently on ART
   - ART retention
   - Viral suppression
   - People dying from HIV-related causes

4. Evaluate impact
   - People newly infected with HIV
   - People living with HIV
   - Number of people living with HIV
   - Domestic finance
   - Key populations and outreach
   - Knowing HIV status

- Know your epidemic
  - Domestic finance
  - Key populations and outreach
  - Knowing HIV status
  - HIV care
  - Currently on ART
  - ART retention
  - Viral suppression
  - People dying from HIV-related causes
  - People newly infected with HIV
  - Number of people living with HIV
3.2.2 Selecting the essential package of HIV services

Countries need to decide on effective and suitable packages of HIV interventions that span the entire cascade of HIV services, as discussed in greater detail in Chapter 2. These packages have to ensure that people can access effective HIV prevention services, be tested for HIV and, depending on their diagnosis, be referred to appropriate HIV prevention services or enrolled in care. They must also ensure that people who test HIV-positive are initiated early on ART, are retained on or moved to effective treatment to achieve sustained viral suppression and can access chronic and palliative care, including managing coinfections and other comorbidities.

It is important to tailor the services to populations and locations where the greatest impact can be achieved, with priority given to evidence-informed interventions. The packages should reflect the fact that some services are most effective when deployed in combination with others.

Prevent people from becoming infected with HIV

The number of people newly infected with HIV needs to be cut decisively by 75% in the next five years.

Evidence-based prevention tools, such as male and female condoms, behaviour change interventions and universal precautions in health settings would remain the mainstay elements of an enhanced HIV prevention package. Packages that combine those tools with the strategic use of ARV medicines for prevention (including early ART, pre-exposure prophylaxis and post-exposure prophylaxis) and with comprehensive prevention services for populations that experience a high incidence of HIV infection (especially key populations) are likely to achieve the best results. Harm-reduction services remain especially underused. There is also scope for capitalizing further on the preventive power of voluntary medical male circumcision in countries with a high burden of HIV infection.

Countries should decide on the most strategic combinations of ARV medicines and other prevention approaches, based on their country context. Opportunities for prevention need to be exploited at each stage of the HIV service cascade to decisively reduce HIV incidence.

Despite strong progress, rates of mother-to-child transmission of HIV remain unacceptably high, in excess of 10%, in many countries. Wider implementation of option B+ (providing lifelong ART to all pregnant and breastfeeding women living with HIV regardless of CD4 count or WHO clinical stage) can reduce mother-to-child transmission rates to less than 5% at the end of the breastfeeding period. In addition, enabling pregnant women with HIV to initiate and continue ART will further improve maternal health and reduce the mother-to-child transmission of HIV in future pregnancies. Lifelong ART for all pregnant and breastfeeding women living with HIV, early infant diagnosis and infant prophylaxis and treatment are critical elements for managing HIV infection among infants.

Diagnose more people living with HIV

Slightly more than half the people living with HIV have been diagnosed, which is considerably short of the target of 90% of people with HIV knowing their HIV status by 2020.

Countries can use a greater variety of effective HIV testing strategies and approaches, focusing on the populations, settings and locations in which HIV risk and transmission is highest while assuring the quality of testing (to ensure correct diagnosis) and adhering to ethical testing practices. New approaches, including self-testing and the use of lay testers, offer opportunities to rapidly expand the coverage, quality and yield of testing services. When resources are limited, testing should target the areas in which yields will be greatest while maintaining equity. All HIV testing services and approaches, including self-testing, should include effective methods for linking people quickly and efficiently to prevention, care and treatment services.

Reach and retain more people in treatment and care

About 40% of people living with HIV are currently receiving ART, much less than the 90% coverage target for 2020. Large proportions of people receiving ART drop out of care or do not achieve sustained viral suppression. The situation is especially poor for children living with HIV and for key populations.

Initiating ART for everyone living with HIV will require an unprecedented effort from countries and partners. Strategies to maximize treatment adherence and retention in care will be essential, as will the use of quality-assured and well tolerated ART regimens. As more asymptomatic people are treated with ARV medicines, viral load testing is increasingly important to assess treatment effectiveness and prevent the emergence of HIV drug resistance. HIV care will need to be integrated with other health care issues as people remain on treatment over the medium term.

Link or integrate services

The uptake, coverage and acceptability of HIV interventions can be improved by strategically integrating or linking them with other relevant health services (including for TB, viral hepatitis, sexually transmitted infections, noncommunicable diseases, broader sexual and reproductive health and drug dependence and harm reduction). Use of a chronic care model for HIV treatment and care offers opportunities for addressing broader health needs, especially
noncommunicable diseases. The appropriate opportunities and models of integration and linkage will depend on the context and the health system and should be informed by operational research and implementation best practice.

3.2.3 Achieving equity and quality

Public health systems must be capable of delivering the package of HIV interventions and services efficiently, effectively and equitably to different populations and locations.

HIV responses succeed when the essential packages of HIV interventions are delivered to populations and locations in ways that achieve maximum impact, ensure quality and achieve equitable coverage and health outcomes.

This requires health systems with robust strategic information capacity, effective service delivery models, a sufficiently trained and capable workforce and reliable supply chains and quality assurance systems. These systems should be capable of productively harnessing the strengths and contributions of various partners, especially those in civil society. Supportive social, legal, policy and institutional environments and involvement of communities would encourage and enable people to access and use services and would promote equity and human rights.

Ensure that no one is left behind

Disparities persist in HIV responses, with the benefits often not reaching the most vulnerable populations and populations at higher risk.

HIV interventions and the continuum of HIV services need to be adapted for different populations and locations, to reach those most severely affected and to ensure that no one is left behind. Greater investment and new strategies are needed to reduce the vulnerability and HIV risks of girls and young women (especially in the countries with a high burden of HIV infection in the African Region), strengthen appropriate services for adolescents, reach more men and boys with testing and treatment services, ensure that key populations benefit equitably from HIV services and expand harm-reduction programmes for people who use drugs.

The strategic decentralization and linking of services can increase coverage, access and uptake. Decentralizing services can also strengthen community engagement. The appropriate use of decentralization depends on the epidemic characteristics and health system infrastructure, with greater levels of decentralization especially relevant for settings with a high burden of HIV infection, great distances and weak referral systems. Community-based models of service delivery can make HIV and other health services more accessible, acceptable and relevant to specific populations.

More has to be done to overturn laws and remove practices that marginalize and stigmatize populations, promote risk behaviour and block access to effective services. WHO guidelines, and implementation tools developed with partners, define essential packages of HIV interventions and service delivery models for different populations and settings, including specific packages for adolescents, women and girls, people who use drugs, sex workers, men who have sex with men, transgender people and prisoners.

Bolster human resources for health

Improvements in the supply, distribution and quality of health workers in public health systems remain unmet priorities in many low- and middle-income countries.

In addition to strengthening the health-care workforce, countries need to review the roles and tasks of health workers and how they are deployed across communities. This is best achieved within a comprehensive national health workforce plan that addresses the needs of the overall health system, including the specific needs related to the cascade of HIV services. Such a plan should include strategies for improving the capabilities, quality and retention of the health-care workforce. More countries need to endorse and comply with the 2010 WHO Global Code of Practice on the International Recruitment of Health Personnel, which is aimed at redressing imbalances in the distribution of health-care workers globally. By the end of 2014, only 37 countries had taken steps to implement the Code (5).

Task-shifting and task-sharing approaches are expected to play an increasingly important role in expanding the capacity of health-care systems. Defining the core competencies of various cadres of health-care workers will help determine which tasks can be shifted and to what levels. Supportive mechanisms, including mentoring, supervision and appropriate remuneration, are needed to safeguard the quality of services. Continuing training is needed to ensure that health-care workers are up to date with national guidelines and protocols and that they have the skills necessary to deliver essential services.

Community organizations and networks play key roles in supporting formal health services, in delivering services to people who are not reached by state-run services, in strengthening accountability and in promoting equity and human rights. Structured support for these community resources, such as training, funding and retention support, would improve the quality and sustainability of community-based services and programmes.

Ensure the quality of interventions and a reliable supply of commodities

Ensuring the quality of interventions is a priority, to achieve the greatest impact, improve efficiency and avert significant risks.

Rapid expansion of programmes to improve coverage should neither compromise the quality of services nor contribute to inequities in access to services and health
outcomes. By monitoring the integrity of their HIV service cascade, countries can determine where barriers exist, outcomes are unsatisfactory and people are lost to follow-up so that remedial action can be taken.

Quality can be enhanced by ensuring that HIV commodities and testing and laboratory services adhere to national and international norms and standards, are continuously monitored and meet people’s needs and preferences. Standardizing and regulating the quality of products is important to avoid waste and inefficiency and to ensure safe and effective use (6).

Building and maintaining reliable supply chains is vital for safeguarding the quality of HIV services. Risks related to fragmented or variable demand can be addressed by regularly updating country forecasts and supply plans, regionally aggregating forecasted needs and supply plans for pooled procurement and restocking regional distribution centres based on projected demand (7). Pooled procurement is especially important for smaller product segments, such as children’s and second-line ARV medicines (8). An effective logistic management information system with regular monitoring of stock levels along the supply chain is essential (9).

3.2.4 Sustainable funding, reduced costs

HIV funding needs to increase rapidly to reach the 2020 targets and should be made sustainable beyond that deadline, as costs start to decline. Action is needed to ensure that HIV medicines, other commodities and services are affordable and that using them does not pose a financial risk to people.

The HIV response can be sustainably funded in various ways, including by:

• raising revenue to pay for HIV services and interventions, including through public and private domestic funding and from external sources, such as donor grants and private contributions;
• setting up mechanisms to pool funds across the health system and provide risk protection related to health-care needs, such as through health insurance schemes; and
• reducing the costs of HIV medicines, diagnostics and other commodities, achieving savings by boosting the efficiency of HIV services and reducing the duplication of underlying subsystems with other programmes and the wider health system, such as strategic information, human resources and procurement and supply management.

The national health funding system should address HIV along with all other national priority health needs, avoiding fragmented funding channels and aiming to achieve health equity.

The resources mobilized from all sources for HIV programmes in low- and middle-income countries rose to an estimated US$ 21.7 billion in 2015. The rising trend mainly resulted from greater domestic funding, which comprised more than half of global HIV investment in 2014. Nevertheless, HIV investment will need to grow to US$ 31.1 billion in 2020 and then decline slightly to US$ 28.5 billion in 2030 to control the epidemic in the long term.

Additional and new sources of funding are required not only to fund the sustainable scaling up of interventions and services but also to fill funding gaps resulting from shifting priorities among donors. Domestic public funding for HIV programmes in low- and middle-income countries needs to continue to increase, and countries should develop transitional funding plans to streamline this process.

Public domestic money is vital for funding essential and sustainable health services, including those for HIV. UNAIDS has set 2020 targets for domestic funding of HIV programmes, including 12% domestic funding for programmes in low-income countries, 45% for lower-middle-income countries and 95% for upper-middle-income countries. Most low-income and lower-middle-income countries will continue to rely on external and private-sector funding for their HIV services and interventions through 2020 and beyond.

Innovation in health system funding can continue at the global and country levels – as shown by the inspiring examples of special levies on airline tickets, mobile telephone use and income taxes (Box 3.3). Similar innovation is needed to generate the resources required for a sustained response. Irrespective of the source, increasing HIV funding needs to support broader efforts to increase investment in health overall and to minimize health inequities.

Create and sustain an enabling environment

HIV interventions are most effective when they occur in social, legal and policy environments that encourage and enable people to use the services. Laws, policies and practices should therefore reflect public health evidence and priorities, promote health equity and human rights and support national HIV responses. Barriers that block access to HIV and other health services for key populations should be removed or reformed. Interventions that address stigma and discrimination in the social, institutional and policy realms are vitally important (Box 2.9) (10). They include removing laws that sanction discrimination and intervening to discourage or prevent discriminatory practices, especially in the health and social sectors (11).

National HIV governing structures are important for strategic planning, promoting policy coherence, coordinating the roles and actions of different stakeholders and aligning the HIV response with broader health programmes. The leadership of elected officials, including at subnational levels, is essential for securing commitment and achieving coherence and coordination.
Affordable commodities and services

In a resource-constrained environment with competing development priorities, the scaling up of HIV services required by 2020 will require further cost-saving through reduced prices of key medicines and other commodities and increased efficiency in service delivery, along with a more rational allocation of resources.

Scaling up HIV services requires that countries successfully pursue — independently and in partnerships — strategies to further reduce the prices of HIV medicines, diagnostics and other commodities. Further relaxing certain licensing conditions, including limits on the production of key active pharmaceutical ingredients, would be of great benefit (13). Mechanisms such as the Medicines Patent Pool are important for brokering arrangements that can safeguard and increase generic competition and expand access to affordable HIV commodities (14).

Protecting people against financial risk

In many countries, the HIV response has led efforts to minimize out-of-pocket payments for health services. Nevertheless, using essential health services can still financially ruin individuals and households.

Essential HIV interventions, across the continuum of HIV services, should be included in national health benefit packages and be provided free of charge. User fees result in inequities in access to services, undermine service outcomes and constitute unnecessary financial burdens on households (15). Supportive arrangements, such as decentralizing services or offering transport vouchers, would help to reduce the indirect costs of accessing services and improve service uptake and impact.

A robust and fair national health funding system would strengthen protection against financial risk. Public funding systems for health, funded primarily with revenue raised from general taxation and/or payroll taxes for compulsory health insurance, are the most equitable and efficient systems (16). Contributions should be based on people’s ability to pay, while revenue is pooled to distribute the benefits equitably, including to the individuals who cannot afford to contribute to the system. There is a convincing case for integrating HIV into such schemes, as such countries as Brazil, Chile, Colombia, Mexico, Rwanda and Thailand have been doing (Box 3.4).

Box 3.3 Zimbabwe’s AIDS levy

In the WHO African Region, domestic funding for HIV has increased significantly in countries as diverse as Chad, Kenya, South Africa, Togo and Zimbabwe. Zimbabwe successfully introduced an AIDS levy in 1999 to increase funding for HIV. The levy is a 3% surcharge on individual income tax and corporate tax. Administered by the National AIDS Council, the funds raised in this manner have risen from less than US$ 6 million in 2009 to almost US$ 39 million in 2014. Since 2006, the government has mandated that half the AIDS levy go toward the procurement of ARV medicines. The first of its kind in the African Region, the levy has become a model for similar initiatives, including ones in Uganda and Zambia. This funding innovation was the first of its kind in Africa and provides a model for similar initiatives in other countries (12), especially if used to fund a range of essential health services.

Box 3.4 Cutting expenses with community-based health insurance in Rwanda

Integrating HIV services into social insurance schemes is important for sustainability. Rwanda’s community-based health insurance scheme, mutuelles de santé, has reduced out-of-pocket health spending by half and has increased the uptake of HIV services (17). The scheme involves paying a small annual premium (the state pays the premiums of the poorest 25% of the population). The scheme covers more than 85% of the population (the rest are covered mostly by private, military and civil service insurance schemes). Key services, such as HIV and TB care and malnutrition treatment, are provided free of user charges.

3.2.5 Innovations for acceleration and impact

Achieving the HIV targets set for 2020 and 2030 will require new HIV technologies and service delivery approaches and new ways of adapting existing tools for different populations, settings or purposes. The HIV targets set for 2020 and 2030 are unlikely to be achieved if countries rely solely on existing HIV knowledge, technologies and service delivery approaches. Ongoing innovation is needed.
A wide array of improved or new technologies could boost the acceptability, uptake and impact of HIV interventions (see Chapter 2). They include longer-acting injectable ARV medicines for PrEP, using new designs and materials in male and female condoms, devices that would simplify and improve the safety of medical male circumcision, simplified point-of-care HIV diagnostics, improved ARV medicine formulations for children and more robust, durable and affordable fixed-dose combination ART regimens. Innovation in collecting HIV data and delivering HIV services is very important.

Innovation extends beyond developing new technologies and approaches and includes adapting existing tools for different populations, settings or purposes. For example, task-shifting was pioneered in countries with a high burden of HIV infection in southern Africa but has being retooled for use in eastern Europe and Asia. Such sharing and adaptation of knowledge can help countries to leapfrog their HIV responses, especially for enabling HIV programmes to meet new challenges, expand their reach and impact and enhance equity.

Operational research is vital to guide HIV service improvements to ensure that investment is optimized. WHO supports HIV research in four main areas: building the capacity of health research systems; convening partners around setting priorities for research; setting norms and standards for good research practice; and facilitating the translation of evidence into affordable health technologies and evidence-informed policy. WHO will continue to work with research and development partners to ensure that essential new HIV technologies are speedily available and affordable to countries.

### 3.3 Conclusion

We have arrived at a critical juncture. Looking back over the past 15 years, we see the remarkable progress of the health sector response to HIV, as the world reacted in ways that defied most expectations. Looking ahead, we see challenges as daunting as those that confronted communities and governments at the turn of the 21st century.

The difference today is the wealth of experience and lessons learned during the past 15 years, the array of powerful tools and proven methods that exist and the evident success of so many key innovations – much of it in the African Region.

The next five years arguably are the most challenging period yet for the global HIV response. Service coverage has to expand further, data need to be used for more strategically focused interventions and the quality of these interventions must be safeguarded. All this has to be achieved on a massive scale.

If this acceleration does not happen, the number of people newly infected with HIV is likely to rise again and the costs of treatment will keep increasing far into the future. We will not have created a sustainable global response.

But if the acceleration does happen, the world will have forged a sustainable path to ending AIDS within this generation and to help achieve the aims and spirit of the Sustainable Development Goals.
EXPLANATORY NOTES

WHO, UNICEF and UNAIDS collected most of the health sector response data presented in this report that were submitted by countries through the joint Global AIDS Response Progress Reporting and health sector reporting processes (1), unless stated otherwise. Country data were submitted based on guidance to national AIDS programmes and partners on the use of core indicators for measuring and reporting on national HIV responses. Countries submitted data between March and April 2015, using the joint online reporting system. A data validation process followed the country submission. Country-level data will be published online at the WHO website (2).

The country offices of WHO, UNICEF and UNAIDS worked jointly with national counterparts and partner agencies to validate data in a single collaborative consultation process. When discrepancies or inconsistencies were identified in the reported data, national authorities were asked to clarify or resolve them.

The health sector response data for HIV and the WHO/UNAIDS epidemiological estimates are updated annually, including for previous years. The data presented in this report therefore supersede those from all previous reports.

COUNTRY POLICIES AND PRACTICES

WHO maintains an internal database on HIV-related policies and practices in countries. The database has information provided by countries through the Global AIDS Response Progress Reporting and with additional information provided by staff members of WHO regional and country offices. The sources of information are documented for each information element.

The database currently focuses on information for 58 focus countries (3). These countries have been identified based on an existing global set of priority countries for various initiatives (especially the 38 high-impact countries of the UNAIDS United Budget, Results and Accountability Framework (3) and the 22 priority countries for the Global Plan towards the elimination of new HIV infections among children and keeping their mothers alive (4) as well as additional countries identified by WHO regional offices as having strategic importance. WHO staff members in countries regularly validate and update the information in the database.

NUMBER OF PEOPLE 15 YEARS AND OLDER WHO RECEIVED HIV TESTING AND COUNSELLING AND KNOW THE RESULTS

The number of adults who received HIV testing and counselling in the past 12 months and know the results in a given country is collected from routine reports from all service points, including voluntary counselling and testing sites, clinics, hospitals and nongovernmental organization outreach points. The data are compiled at the district or local level and then finally at the national level. A total of 105 countries reported data for 2014; data from 24 countries were imputed from the latest available year. These data are not corrected for the fraction of people who have been tested more than once in the year.

NUMBER OF PEOPLE RECEIVING ANTIRETROVIRAL THERAPY

For December 2014, 135 of the 144 low- and middle-income countries had provided data on access to antiretroviral therapy (ART). These 135 countries accounted for 96% of the people receiving treatment at the end of 2014 in low- and middle-income countries. An additional six countries (Gambia, Iran (Islamic Republic of), Myanmar, Namibia, Peru and Thailand) submitted data for cut-off points between September and November 2014. Together, these 141 countries represented more than 99% of the total estimated number of people receiving ART in low- and middle-income countries at the end of 2014. Only three countries, all with relatively small HIV epidemics, did not report these data for 2014. An abbreviated process was applied to obtain mid-2015 results.

The reported data on people currently receiving ART in low-, middle- and high-income countries were compiled from the most recent reports provided by health ministries or other reliable sources in the countries, such as bilateral partners, foundations and nongovernmental organizations that are major providers of treatment services. WHO, UNICEF and UNAIDS work with countries to obtain as many facility-specific data as possible on the numbers of people receiving treatment.

Reporting the number of people receiving ART involves some uncertainty for countries that have not yet established regular reporting systems for capturing accurate data on people who initiate treatment for the first time, people who discontinue treatment, people who are lost to follow-up and people who die.

Uncertainty may also arise because of difficulty in measuring the extent of ART provided in the for-profit and not-for-profit private sectors. Some people receive treatment through nongovernmental organizations and/or private clinics that do not report through official channels in some countries. Private companies may have programmes to support the provision of ART to workers with advanced HIV disease but do not necessarily report these data to the public health authorities. When available, data from the private sector have been included.

In addition, the report presents the most recent available data from high-income countries.

1 The 2014–2015 focus countries are: Angola, Bolivia (Plurinational State of), Botswana, Brazil, Burundi, Cambodia, Cameroon, Central African Republic, Chad, China, Côte d’Ivoire, Democratic Republic of the Congo, Djibouti, Dominican Republic, Ecuador, El Salvador, Ethiopia, Ghana, Guatemala, Haiti, Honduras, India, Indonesia, Iran (Islamic Republic of), Jamaica, Kazakhstan, Kenya, Kyrgyzstan, Lesotho, Libya, Malawi, Morocco, Mozambique, Myanmar, Namibia, Nepal, Nigeria, Pakistan, Papua New Guinea, Paraguay, Philippines, Russian Federation, Rwanda, Somalia, South Africa, South Sudan, Sudan, Swaziland, Tajikistan, Thailand, Uganda, Ukraine, United Republic of Tanzania, Uzbekistan, Viet Nam, Yemen, Zambia and Zimbabwe.
Estimating treatment eligibility and coverage

Standard methods were used for estimating the size and course of the HIV epidemic, number of people living with HIV, number of people newly infected with HIV and mortality attributable to AIDS (5,6). Under the 2013 WHO consolidated ARV guidelines (7), about 80% of all people living with HIV would be eligible for treatment in 2014. In September 2015, WHO launched early-release ARV guidelines (8) which recommend that everyone living with HIV should start ART as soon after diagnosis as possible. Countries are currently at various stages of adopting the global ART eligibility recommendations. For reasons of comparability across countries and over time in the context of changing recommendations, this report relates the number of people receiving ART to the overall number of people living with HIV receiving ART. The ranges around the numbers of people living with HIV who were receiving ART are based on the uncertainty bounds around the estimates of the numbers of people living with HIV (9).

The uncertainty bounds reflect the certainty associated with each of the estimates: the wider the bounds, the greater the uncertainty surrounding an estimate. The extent of uncertainty depends mainly on the type of epidemic, the quality, coverage and consistency of a country’s surveillance system and, in generalized epidemics, whether or not a population-based survey with HIV testing was conducted.

PREVENTION OF MOTHER-TO-CHILD TRANSMISSION

Number of pregnant women living with HIV receiving ARV medicine for preventing mother-to-child transmission

The number of pregnant women living with HIV and who are receiving ARV medicine for preventing mother-to-child transmission is based on national programme data that are aggregated from facilities or other service delivery sites, as reported by countries. In a few countries for which national data are not available, the value is estimated through a special survey or sentinel sites to assess the coverage of ARV medicines among pregnant women living with HIV, and this percentage has been applied to the estimated number of pregnant women living with HIV to derive an estimate for the number of pregnant women living with HIV receiving ARV medicine for preventing mother-to-child transmission.

A total of 121 countries reported these data for 2014; together, they accounted for nearly all of the estimated pregnant women living with HIV in low- and middle-income countries. This report focuses on the 21 priority countries in the African Region for the Global Plan towards the elimination of new HIV infections among children and keeping their mothers alive (4).

The estimated coverage of ARV medicine for preventing mother-to-child transmission of HIV includes only the most effective regimens (ART and combination regimens) and excludes single-dose nevirapine, which WHO no longer recommends.

Estimating the number of pregnant women living with HIV (who are all eligible for ARV medicine for preventing mother-to-child transmission)

The number of pregnant women living with HIV who are eligible for ARV medicine for preventing the mother-to-child transmission of HIV is estimated using standardized statistical modelling. This is based on UNAIDS/WHO methods that consider various epidemic and demographic parameters, such as the HIV prevalence among women of reproductive age and the effect of HIV on fertility (5). The uncertainty ranges for these estimates are generated based on these parameters. Regular scientific updates have been provided on these tools (10).

Coverage of pregnant women living with HIV receiving ARV medicine for preventing mother-to-child transmission

The coverage of ARV medicine for preventing the mother-to-child transmission of HIV is calculated by dividing the number of pregnant women living with HIV who received ARV medicine for preventing mother-to-child transmission of HIV in 2014 by the estimated number of pregnant women living with HIV in a given country.

The ranges around the levels of coverage are based on the uncertainty ranges around the estimates of pregnant women living with HIV.

CLASSIFICATION OF COUNTRIES

Classification by income

Unless stated otherwise, all data analysis in this report is based on data from the 144 countries the World Bank classified as low- and middle-income countries as of July 2011 (11), the classification valid at the time when the global targets were set in the United Nations Political Declaration on HIV and AIDS. The economies are classified as low, middle or high income according to the gross national income per capita, calculated using the World Bank Atlas method (to reduce the effect of exchange-rate fluctuation). The groups (as of 1 July 2014) are:

- low-income, US$ 1045 or less;
- lower-middle income, US$ 1046 to US$ 4125, and upper-middle income, US$ 4126 to US$ 12 745; and
- high-income, US$ 12 746 or more.

Classification by HIV epidemic level

HIV epidemics are categorized as low-level, concentrated and generalized based on the following principles and numerical proxies.

Low-level

Principle. Although HIV infection may have existed for many years, it has never spread to significant levels in any subpopulation. Recorded infection is largely confined to
individuals with high-risk behaviour, such as sex workers, people who inject drugs and men who have sex with men. This epidemic state suggests that networks of risk are rather diffuse (with low levels of partner exchange or sharing of drug-injecting equipment) or that the virus has been introduced very recently.

**Concentrated**

Principle. HIV has spread rapidly in a defined subpopulation but is not well established in the general population. This epidemic state suggests active networks of risk within the subpopulation. The frequency and nature of links between highly infected subpopulations and the general population determines the future course of the epidemic.

**Generalized**

Principle. In generalized epidemics, HIV is firmly established in the general population. Although populations at higher risk may continue to contribute disproportionately to the transmission of HIV, sexual networking in the general population is sufficient to sustain an epidemic independent of populations at higher risk of infection and transmission.

### Classification of Member States by WHO region

This report presents data on low- and middle-income countries classified by WHO region. WHO has 194 Member States grouped in six regions, and 144 of these are low- and middle-income countries: the WHO African Region (n = 45); WHO Region of the Americas (n = 29); WHO Eastern Mediterranean Region (n = 16); WHO European Region (n = 22); WHO South-East Asia Region (n = 11); and WHO Western Pacific Region (n = 21). There are 50 high-income countries.

### Rounding of numbers

Throughout the report, analyses performed on health sector response data and UNAIDS/WHO estimates are based on unrounded data. However, for presentation purposes, most numbers have been rounded to facilitate interpretation of the data. As a result, in some cases percentages and numbers may not add up to the totals shown in tables because of rounding.

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2 The complete list of WHO Member states is available online at [http://www.who.int/countries/en/](http://www.who.int/countries/en/).
REFERENCES

CHAPTER 1


CHAPTER 2


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CHAPTER 3


**EXPLANATORY NOTES**


