

The World Health Organization's

INFORMATION SERIES ON SCHOOL HEALTH DOCUMENT 9

Skills for Health

Skills-based health education including life skills:
An important component of a
Child-Friendly/Health-Promoting School

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At the start of the 21st century, the learning potential of significant numbers of children and young people in every country in the world is compromised. Hunger, malnutrition, micronutrient deficiencies, parasite infections, drug and alcohol abuse, violence and injury, early and unintended pregnancy, and infection with HIV and other sexually transmitted infections threaten the health and lives of children and youth (UNESCO, 2001). Yet these conditions and behaviours can be improved. Skills-based health education has been shown to make significant contributions to the healthy development of children and adolescents and to have a positive impact on important health risk behaviours.

At appropriate developmental levels, from pre-school through early adulthood, young people can engage in learning experiences that help them prevent disease and injury and that foster healthy relationships. They can acquire the knowledge and skills they need, for example, to practise basic hygiene and sanitation; negotiate and make healthy decisions about sexual and reproductive health choices; or listen and communicate well in relationships. As they grow into young adults, they can play leadership roles in creating healthy environments – advocating, for example, for a tobacco-free school or community.

Schools have an important role to play in equipping children with the knowledge, attitudes, and skills they need to protect their health. Skills-based health education is part of the FRESH framework (Focusing Resources on Effective School Health), proposed and supported by WHO, UNICEF, UNESCO, UNFPA, and the World Bank. This document was published jointly by agencies that support the FRESH initiative, and emphasises the role of schools, however this document will also be relevant to out of school settings. Its purpose is to strengthen efforts to implement quality skills-based health education on a national scale worldwide.



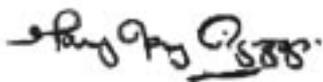
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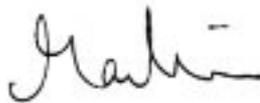
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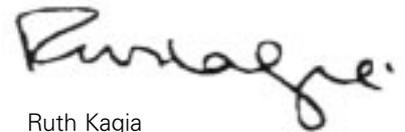
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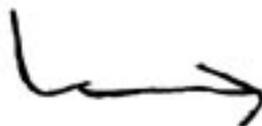
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Purpose: to describe the rationale and audience for the document; define key concepts; and explain how skills-based health education, including life skills, fits into the broader context of what schools can do to improve education and health.

Ensuring that children are healthy and able to learn is an essential part of an effective education system. As many studies show, education and health are inseparable. A child's nutritional status affects cognitive performance and test scores; illness from parasitic infection results in absence from school, leading to school failure and dropping out (Vince Whitman et al., 2001). Structures and conditions of the learning environment are as important to address as individual factors. Water and sanitation conditions at school can affect girls' attendance. Children cannot attend school and concentrate if they are emotionally upset or in fear of violence. On the other hand, children who complete more years of schooling tend to enjoy better health and have access to more opportunities in life. Equipping young people with knowledge, attitudes, and skills through education is analogous to providing a vaccination against health threats. Educating for health is an important component of any education and public health programme. It protects young people against threats both behavioural and environmental, and complements and supports policy, services, and environmental change.

Over the decades, educating people about health has been an important strategy for preventing illness and injury. This approach has drawn heavily from the fields of public health, social science, communications, and education. Early experiments with education relied heavily on the delivery of information and facts. Gradually, educational approaches have turned more to skill development and to addressing all aspects of health, including physical, social, emotional, and mental well-being. Educating children and adolescents can instill positive health behaviours in the early years and prevent risk and premature death. It can also produce informed citizens who are able to seek services and advocate for policies and environments that affect their health. While utilising both school and non-school settings to reach children and young people will be essential, this document emphasises school-based activities. Education for health is an important and essential component of an effective school health programme, and it is likely to be most effective when complemented by health-related policies and services and healthy environments.

1.1. INTERNATIONAL SUPPORT FOR SCHOOL HEALTH

At the World Education Forum in Dakar, Senegal, in April 2000, WHO, UNICEF, UNESCO, and the World Bank met and agreed to work collaboratively in promoting the implementation of an effective school health programme: Their framework, called **FRESH – Focusing Resources on Effective School Health**, calls for the following four core components to be implemented together, in all schools:

- Health-related school policies
- Provision of safe water and sanitation as essential first steps toward a healthy learning environment
- Skills-based health education
- School-based health and nutrition services

These components should be supported and implemented through effective partnerships between teachers and health workers and between the education and health sectors; through effective community partnerships; and through student awareness and participation.

(From UNESCO/UNICEF/WHO/The World Bank, 2000.)

1.2. WHY WAS THIS DOCUMENT PREPARED?

This document, along with a complementary Briefing Package, can be used to orient education and health workers to improve health among youth through skills-based health education, including life skills. It is offered by UNICEF, WHO, the World Bank and UNFPA and complements other documents available from their Web sites:

<http://www.unicef.org/programme/lifeskills/>,

<http://www.who.int/school-youth-health/>,

<http://www.schoolsandhealth.org>, <http://www.unfpa.org>.

The supporting agencies, *UNICEF, WHO, the World Bank and UNFPA*, worked together to prepare this document to encourage more schools and communities to use skills-based health education, including life skills, as the method for improving health and education. Together, these agencies are dedicated to fostering effective school health programmes that implement skills-based health education along with school health policies, a healthy and supportive environment, and health services together in all schools.

The commitment to skills-based health education as an important foundation for every child is shared across the supporting agencies. They and their FRESH partners agree that skills-based health education is an essential component of a cost-effective school health programme.

FRESH supports Education for All (EFA) which originated in Jomtien, Thailand, where world leaders gathered in March 1990 for the first EFA World Conference to launch a renewed worldwide initiative to meet the basic learning needs of all children, youth and adults. This commitment was renewed during the World Education Forum in Senegal, Dakar, in April 2000. The resulting Dakar Framework for Action (2000) refers to life skills in goal 3 ("ensuring that the learning needs of all young services; policies and codes of conduct that enhance physical, psychosocial, and emotional health of teachers and learners; and education content and practices that lead to the knowledge, attitudes, values, and life skills students need to develop and maintain self-esteem, good health, and personal safety. FRESH people and adults are met through equitable access to appropriate learning and life skills programmes") and goal 6 ("improving all aspects of the quality of education, and ensuring excellence of all so that recognized and measurable learning outcomes are achieved by all, especially in literacy, numeracy and essential life skills") and in strategy 8. As depicted in Figure 1, strategy 8 of the Dakar Framework calls for countries to create safe, healthy, inclusive, and equitably resourced educational environments. Such learning environments embody the four core components of FRESH. The Dakar Framework for Action (2000) describes these components as follows: adequate water and sanitation; access to or linkages with health and nutrition is further supported by Health-Promoting Schools and Child-Friendly Schools and their respective networks worldwide. Section 5.2.2. in Chapter 5 describes Health-Promoting Schools; Child Friendly Schools are further described in Section 5.2.3.

1.3. FOR WHOM WAS THIS DOCUMENT PREPARED?

This document was prepared for people who are interested in advocating for, initiating, and strengthening skills-based health education, including life skills, as their approach to health education.

(a) **Government policy- and decision-makers**, programme planners, and coordinators at local, district, provincial, and national levels, especially those in ministries of education, health, population, religion, women, youth, community, and social welfare.

(b) **Members of non-governmental institutions** and other organisations who are responsible for planning and implementing programmes described in this document, including programme staff and consultants of national and international health, education, and development agencies interested in promoting health through schools.

(c) **Community leaders and other community members** such as local residents, religious leaders, media representatives, health care providers, social workers, mental health counsellors, development assistants, and members of organised groups such as youth groups and women's groups interested in improving health, education, and well-being in schools and communities.

(d) **Members of the school community**, including teachers and their representative organisations, counsellors, students, administrators, staff, parents, and school-based service workers.

1.4. WHAT ARE SKILLS-BASED HEALTH EDUCATION AND LIFE SKILLS?

Skills-based health education is an approach to creating or maintaining healthy lifestyles and conditions through the development of knowledge, attitudes, and *especially skills*, using a variety of learning experiences, with an emphasis on participatory methods.

Life skills are abilities for adaptive and positive behaviour that enable individuals to deal effectively with the demands and challenges of everyday life (WHO definition). In particular, life skills are a group of psychosocial competencies and interpersonal skills that help people make informed decisions, solve problems, think critically and creatively, communicate effectively, build healthy relationships, empathise with others, and cope with and manage their lives in a healthy and productive manner. Life skills may be directed toward personal actions or actions toward others, as well as toward actions to change the surrounding environment to make it conducive to health.

Health is a state of complete physical, mental, and social well-being (WHO definition).

For many decades, instruction about health and healthy behaviours has been described as "health education." Within that broad term, health education takes many forms. Health education has been defined as "any combination of learning experiences designed to facilitate voluntary adaptations of behaviour conducive to health" (Green et al., 1980). At school, it is a planned, sequential curriculum for children and young people, presented by trained facilitators, to promote the development of health knowledge, *health-related skills*, and positive attitudes toward health and well-being. Typically, health education targets a broad range of content areas, such as emotional and mental health; nutrition; alcohol, tobacco, and other drug use; reproductive and sexual health; injuries; and other topics, with human rights and gender fairness as important cross-cutting or underpinning principles. Skill development has always been included in health education. Psychosocial and interpersonal skills are central, and include communication, decision-making and problem-solving, coping and self-management, and the avoidance of health-compromising behaviours. The attention to knowledge, attitudes, and skills *together* (with an emphasis

on skills) is an important feature that distinguishes skills-based education from other ways of educating about health issues.

As health education and life skills have evolved during the past decade, there is growing recognition of and evidence for the role of psychosocial and interpersonal skills in the development of young people, from their earliest years through childhood, adolescence, and into young adulthood. These skills have an effect on the ability of young people to protect themselves from health threats, build competencies to adopt positive behaviours, and foster healthy relationships. Life skills have been tied to specific health choices, such as choosing not to use tobacco, eating a healthy diet, or making safer and informed choices about relationships. Different life skills are emphasised depending on the purpose and topic. For instance, critical thinking and decision-making skills are important for analysing and resisting peer and media influences to use tobacco; interpersonal communication skills are needed to negotiate alternatives to risky sexual behaviour. Young people can also acquire advocacy skills with which they can influence the broader policies and environments that affect their health, including efforts to create tobacco- and weapon-free zones, the addition of safe water and latrines to school grounds, or access to reproductive and sexual health services including availability of condoms for the prevention of HIV.

Skills-based health education is placed in a variety of ways in the school curriculum. Sometimes it is a core subject within the broader curriculum. Sometimes it is placed in the context of related health and social issues, within a carrier subject such as science. Or it may be offered as an extracurricular programme (see Section 5.3). Regardless of its placement, teachers and school personnel from a wide range of subjects and activities need to be involved in skills-based health education in order to reinforce learning across the broader school environment.

A note about life skills-based education and livelihood skills

The term **life skills-based education** is often used almost interchangeably with skills-based health education. The difference between the two approaches lies only in the content or topics that are covered. Skills-based health education focuses on “health.” Life skills-based education may focus on peace education, human rights, citizenship education, and other social issues as well as health. Both approaches address real-life applications of essential knowledge, attitudes, and skills, and both employ interactive teaching and learning methods.

The term **livelihood skills** refers to capabilities, resources, and opportunities for pursuing individual and household economic goals (Population Council, Kenya); in other words, income generation. Livelihood skills include technical and vocational abilities (carpentry, sewing, computer programming, etc.); skills for seeking jobs, such as interviewing strategies; and business management, entrepreneurial, and money management skills. Though livelihood skills are critical to survival, health, and development, the focus of this document lies elsewhere.

1.5. WHAT IS THE FOCUS OF THIS DOCUMENT?

The focus of this document is skills-based health education for teaching children and adolescents how to adopt or strengthen healthy lifestyles. It is concerned with the knowledge, attitudes, skills, and support that they need to act in healthy ways, develop healthy relationships, seek services, and create healthy environments.

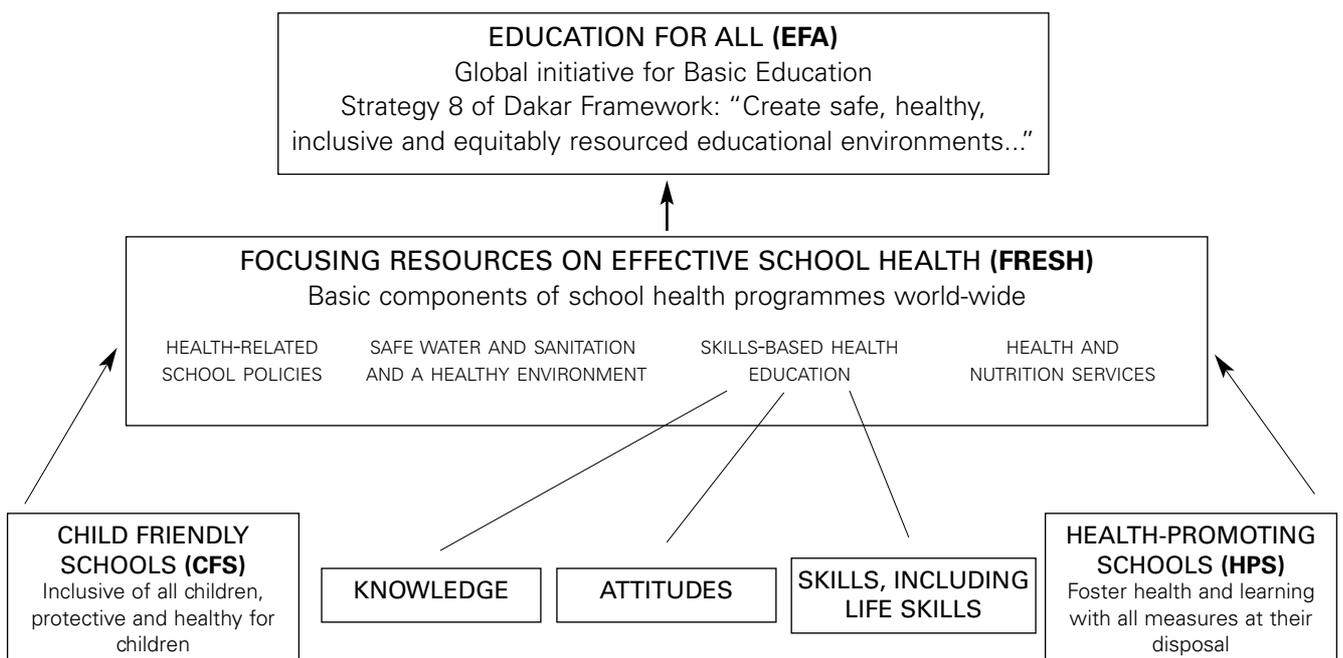
This document specifically:

- defines the term skills-based health education, including life skills;
- describes the theoretical foundation;
- reviews the educational approaches of skills-based health education;
- presents evaluation evidence and practical experiences to make the case for implementing skills-based health education as part of an effective school health programme;
- reviews criteria for effective programmes and preparation for those who deliver such programmes;
- describes available resources

School setting: Skills-based health education and life skills can and have been incorporated in many settings and for a wide range of target groups. In this document, we focus on school-based programmes. Education reform ensures a place for skills-based health education in the curriculum and in various extra-curricular efforts. Special programmes for students and parents, peer education and counselling programmes, and school/community programmes offer ways for students to apply and practise what they learn.

Student participation in active learning can strengthen student-teacher relationships, improve the classroom climate, accommodate a variety of learning styles, and provide alternative ways of learning. Skills-based health education can and should be used to address the health issues that children and young people can encounter in the school setting, including the use of alcohol, tobacco and other drugs; helminth and other worm infections; nutrition; reproductive and sexual health; and the prevention of violence and of HIV/AIDS.

Figure 1: Links between EFA, FRESH, Health-Promoting Schools (HPS), Child-Friendly Schools (CFS), Skills-Based Health Education (SBHE), Life Skills (LS)



2. UNDERSTANDING SKILLS-BASED HEALTH EDUCATION & LIFE SKILLS

Purpose: to define the content and methods of skills-based health education, with examples.

Skills-based health education is good quality education per se and good quality health education in particular. It relies on relevant and effective content *and* participatory or interactive¹ teaching and learning methods.

When planning skills-based health education, it is important to consider first the goals and objectives, then the content and methods (see Figure 2). The goals of skills-based health education describe in *general* terms a health or related social issue to be influenced in some particular way. The objectives describe in *specific* terms the behaviours or conditions (see Figures 3 and 4) that if positively influenced, will have a significant impact on the goals. Many factors influence behaviour and conditions; skills-based health education is one of them.

The content of skills-based health education is a clear delineation of specific knowledge, attitudes, and skills, including life skills, that young people will be helped to acquire so they might adopt behaviours or create the conditions described in the objectives. Once the content is delineated, methods are chosen that are most suitable to the content. For example, *lectures* are suitable methods for helping students acquire accurate knowledge; *discussions* are suitable for influencing attitudes; and *role plays* are suitable for developing skills. A wide range of teaching and learning methods can and should be used in enabling students to acquire knowledge, attitudes, and skills (see boxed example).

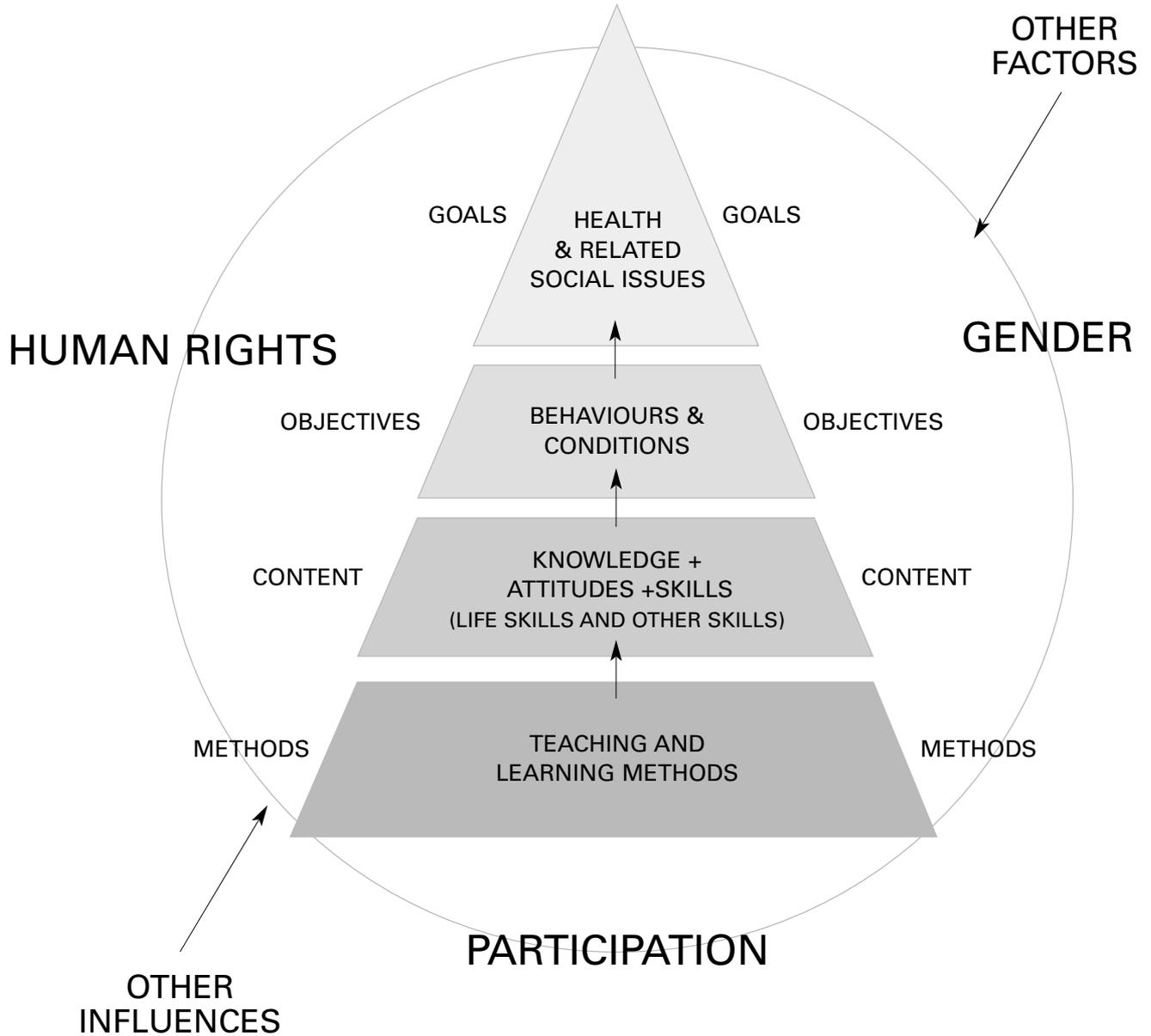
EXAMPLE

Goals and objectives determine the content and methods of skills-based health education. Let's suppose the goal is preventing health problems from the use of tobacco. Objectives for this goal might include reducing young people's use of tobacco products and changing conditions that affect tobacco use, such as the number of smoke-free environments and the cost and accessibility of cigarettes. Content might therefore address (1) knowledge of the health risks of smoking; (2) awareness of the insidious tactics employed by the tobacco industry to persuade young people to use tobacco and make them addicted; (3) attitudes that afford protection against harming one's health and the health of others; (4) critical thinking and decision-making skills to assist in choosing not to use tobacco; communication and refusal skills to withstand peer pressure; and skills to advocate for a smoke-free environment. Teaching methods for this content might include (1) a presentation that clearly and convincingly explains the harmful effects of tobacco and how companies use marketing to make tobacco use seem attractive; (2) a discussion and small group work using audio-visual materials to convey the dangers of smoking; (3) an exercise to research strategies that the tobacco industry uses to gain youth as replacement smokers; (4) role plays to practise refusal skills; and (5) a school-wide activity to gain support for a smoke-free school environment. By itself, skills-based health education has been shown to help many young people avoid health risks such as exposure to tobacco smoke. However, in many communities, social and economic policies and practices undermine the goals of skills-based health education or glorify risk-taking behaviour. National and local strategies that curtail the influence of such policies and practices are needed to achieve the full benefit of skills-based health education.

¹The words "participatory" and "interactive" are used interchangeably in this paper. They refer to teaching methods that actively engage students in the process of education.

Figure 2. Pyramid for Planning skills-based health education

PLANNING PYRAMID



2.1. CONTENT

In skills-based health education, **content** refers to the specific health knowledge and attitudes toward self and others, as well as the skills necessary to influence behaviour and conditions related to a particular health issue. Skills-based health education should enable a young person to apply knowledge and develop attitudes and skills to make positive decisions and take actions to promote and protect one’s health and the health of others.

2. UNDERSTANDING SKILLS-BASED HEALTH EDUCATION & LIFE SKILLS

Knowledge refers to a range of information and the understanding thereof. To impart this knowledge, teachers may combine instruction on facts with an explanation of how these facts relate to one another (Greene & Simons-Morton, 1984). For example, a teacher might describe how HIV infection is transmitted and then explain that engaging in sexual relations with an intravenous drug user elevates the risk of HIV infection.

Attitudes are personal biases, preferences, and subjective assessments that predispose one to act or respond in a predictable manner. Attitudes lead people to like or dislike something, or to consider things good or bad, important or unimportant, worth caring about or not worth caring about. For example, gender sensitivity, respect for others, or respecting one's body and believing that it is important to care for are attitudes that are important to preserving health and functioning well (adapted from Greene & Simons-Morton, 1984). For the purposes of this document, the domain of attitudes comprises a broad range of concepts, including values, beliefs, social norms, rights, intentions, and motivations.

Skills are grouped in this document into life skills (defined below) and other skills. In general, skills are abilities that enable people to carry out specific behaviours. The phrase **other skills** refers to practical health skills or techniques such as competencies in first aid (e.g., bandaging, resuscitation, sterilising utensils), in hygiene (e.g., hand washing, brushing teeth, preparing oral rehydration therapy), or sexual health (e.g., using condoms correctly).

Life skills are abilities for adaptive and positive behaviour that enable individuals to deal effectively with the demands and challenges of everyday life (WHO definition). In particular, life skills are psychosocial competencies and interpersonal skills that help people make informed decisions, solve problems, think critically and creatively, communicate effectively, build healthy relationships, empathise with others, and cope with managing their lives in a healthy and productive manner. Life skills may be directed toward personal actions or actions toward others, or may be applied to actions that alter the surrounding environment to make it conducive to health.

Various health, education, and youth organisations and adolescence researchers have defined and categorised key skills in different ways. Despite these differences, experts and practitioners agree that the term "life skills" typically includes the skills listed in the preceding definition. To these we have added advocacy skills, because they are important in personal and collective efforts to make a strong case for behaviours and conditions that are conducive to health. (For a case study on advocacy skills, see Section 2.2).

The process of categorizing various life skills may inadvertently suggest distinctions among them (see Figure 3). However, many life skills are interrelated, and several of them can be taught together in a learning activity.

Figure 3. Life skills for skills-based health education

COMMUNICATION AND INTERPERSONAL SKILLS	DECISION-MAKING AND CRITICAL THINKING SKILLS	COPING AND SELF-MANAGEMENT SKILLS
<ul style="list-style-type: none"> • Interpersonal Communication Skills <ul style="list-style-type: none"> - verbal/nonverbal communication - active listening - expressing feelings; giving feedback (without blaming) and receiving feedback • Negotiation/Refusal Skills <ul style="list-style-type: none"> - negotiation and conflict management - assertiveness skills - refusal skills • Empathy Building <ul style="list-style-type: none"> - ability to listen, understand another's needs and circumstances, and express that understanding • Cooperation and Teamwork <ul style="list-style-type: none"> - expressing respect for others' contributions and different styles - assessing one's own abilities and contributing to the group • Advocacy Skills <ul style="list-style-type: none"> - influencing skills and persuasion - networking and motivation skills 	<ul style="list-style-type: none"> • Decision-making/Problem-solving Skills <ul style="list-style-type: none"> - information-gathering skills - evaluating future consequences of present actions for self and others-determining alternative solutions to problems - analysis skills regarding the influence of values and of attitudes about self and others on motivation • Critical Thinking Skills <ul style="list-style-type: none"> - analysing peer and media influences - analysing attitudes, values, social norms, beliefs, and factors affecting them - identifying relevant information and sources of information 	<ul style="list-style-type: none"> • Skills for Increasing Personal Confidence and Abilities to Assume Control, Take Responsibility, Make a Difference, or Bring About Change <ul style="list-style-type: none"> - building self-esteem/confidence - creating self-awareness skills, including awareness of rights, influences, values, attitudes, rights, strengths, and weaknesses - setting goals - self-evaluation / self-assessment/self-monitoring skills • Skills for Managing Feelings <ul style="list-style-type: none"> - managing anger - dealing with grief and anxiety - coping with loss, abuse, and trauma • Skills for Managing Stress <ul style="list-style-type: none"> - time management - positive thinking - relaxation techniques

In efforts to achieve specific behavioural outcomes, programmes aimed at developing young people's life skills without a particular context such as a health behaviour or condition are less effective than programmes that overtly focus on applying life skills to specific health choices and behaviours (Kirby et al, 1994). To influence behaviour effectively, skills must be applied to a particular topic, such as a prevalent health issue. Not to be overlooked, however, is the importance of building life skills to equip young people in other aspects of their development as well, such as maintaining positive interpersonal relations with teachers, students, and family members.

2. UNDERSTANDING SKILLS-BASED HEALTH EDUCATION & LIFE SKILLS

Figure 4 shows how students can apply one or more life skills as they practise choosing positive behaviours and creating healthy conditions in response to various health concerns.

Figure 4. Life skills made specific to major health topics

HEALTH TOPICS	COMMUNICATION AND INTERPERSONAL SKILLS	DECISION-MAKING AND CRITICAL THINKING SKILLS	COPING AND SELF-MANAGEMENT SKILLS
ALCOHOL, TOBACCO, AND OTHER DRUGS	<ul style="list-style-type: none"> • Communication Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - inform others of the negative health and social consequences and personal reasons for refraining from alcohol, tobacco, and drug use - ask parents not to smoke in the car when they ride with them • Empathy Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - listen to and show understanding of the reasons a friend may choose to use drugs - suggest alternatives in an appealing and convincing manner • Advocacy Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - persuade the headmaster to adopt and enforce a policy for tobacco-free schools - generate local support for tobacco-free schools and public buildings • Negotiation/Refusal Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - resist a friend's repeated request to chew or smoke tobacco, without losing face or friends • Interpersonal Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - support persons who are trying to stop using tobacco and other drugs - express constructive positive intolerance for a friend's use of substances. <i>"It is not okay for you to do that..."</i> 	<ul style="list-style-type: none"> • Decision-making Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - gather information about consequences of alcohol and tobacco use - weigh the consequences against common reasons young people give for using alcohol or tobacco - identify their own reasons for not using alcohol or other drugs and explain those reasons to others - suggest a decision to drink non-alcoholic beverages at a party where alcohol is served - make and sustain a decision to stop using tobacco or other drugs and seek help to do so • Critical Thinking Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - analyse advertisements directed toward young people to use tobacco and see how they are playing upon the need to seem "cool," appeal to girls, or be attractive to boys - develop counter-messages that include the cost of buying cigarettes and how else that money could be used - assess how tobacco use takes advantage of poor people - analyse what may be driving them to use substances and aim to find a healthy alternative 	<ul style="list-style-type: none"> • Skills for Managing Stress: Students can observe and practise ways to: <ul style="list-style-type: none"> - analyse what contributes to stress - reduce stress through activities such as exercise, meditation, and time management - make friends with people who provide support and relaxation

Figure 4. Life skills made specific to major health topics (continued)

HEALTH TOPICS	COMMUNICATION AND INTERPERSONAL SKILLS	DECISION-MAKING AND CRITICAL THINKING SKILLS	COPING AND SELF-MANAGEMENT SKILLS
HEALTHY NUTRITION	<ul style="list-style-type: none"> • Communication Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - persuade parents and friends to make healthy food and menu choices • Refusal Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - counter social pressures to adopt unhealthy eating practices • Advocacy Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - present messages of healthy nutrition to others through posters, ads, performances, and presentations - gain support of influential adults such as headmasters, teachers, and local physicians to provide healthy foods in the school environment 	<ul style="list-style-type: none"> • Decision-making Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - choose nutritious foods and snacks over those less nutritious - convincingly demonstrate an understanding of the consequences of unbalanced nutrition (deficiency diseases) • Critical Thinking Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - evaluate nutrition claims from advertisements and nutrition-related news stories 	<ul style="list-style-type: none"> • Self-awareness and Self-management Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - recognise links between eating disorders and psychological and emotional factors - identify personal preferences among nutritious foods and snacks - develop a healthy body image
SEXUAL AND REPRODUCTIVE HEALTH AND HIV/AIDS PREVENTION	<ul style="list-style-type: none"> • Communication Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - effectively express a desire to not have sex - influence others to abstain from sex or practise safe sex using condoms if they cannot be influenced to abstain - demonstrate support for the prevention of discrimination related to HIV/AIDS • Advocacy Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - present arguments for access to sexual and reproductive health information, services, and counselling for young people • Negotiation/Refusal Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - refuse sexual intercourse or negotiate the use of condoms 	<ul style="list-style-type: none"> • Decision-making Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - seek and find reliable sources of information about human anatomy; puberty; conception and pregnancy; STIs, HIV/AIDS, and local prevalence rates; and available methods of contraception - analyse a variety of potential situations for sexual interaction and determine a variety of actions they may take and the consequences of such actions • Critical Thinking Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - analyse myths and misconceptions about HIV/AIDS, contraceptives, gender roles, and body image that are perpetuated by the media 	<ul style="list-style-type: none"> • Skills for Managing Stress: Students can observe and practise ways to: <ul style="list-style-type: none"> - seek services for help with reproductive and sexual health issues, e.g., contraception, condoms to prevent HIV or unplanned pregnancy, sexual abuse, exploitation, discrimination, (gender-based) violence, or other emotional trauma • Skills for Increasing Personal Confidence and Abilities to Assume Control, Take Responsibility, Make a Difference, or Bring About Change: Students can observe and practise ways to: <ul style="list-style-type: none"> - assert personal values when encountering peer and other pressures

Figure 4. Life skills made specific to major health topics (continued)

HEALTH TOPICS	COMMUNICATION AND INTERPERSONAL SKILLS	DECISION-MAKING AND CRITICAL THINKING SKILLS	COPING AND SELF-MANAGEMENT SKILLS
SEXUAL AND REPRODUCTIVE HEALTH AND HIV/AIDS PREVENTION	<ul style="list-style-type: none"> • Interpersonal Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - show interest and listen actively to others - be caring and compassionate, including when interacting with someone who is infected with HIV 	<ul style="list-style-type: none"> - analyse social-cultural influences regarding sexual behaviours 	
REDUCING HELMINTH (WORM) INFECTIONS	<ul style="list-style-type: none"> • Communication Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - communicate messages about worm infection to families, peers, and members of the community - encourage peers, siblings, and family members to take part in deworming activities and to avoid reinfection • Advocacy Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - advocate for an environment and behaviour that are not conducive to helminth infections - share positive results of deworming activities 	<ul style="list-style-type: none"> • Decision-making/problem-solving Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - identify and avoid behaviours and environmental conditions that are likely to cause infection, such as ingestion of or contact with contaminated soil, and adopt behaviours that are likely to prevent infection, such as keeping human faeces from polluting the ground or surface water - use safe water and uncontaminated food 	<ul style="list-style-type: none"> • Self-Monitoring Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - engage in behaviours that are not conducive to contracting helminth and worm infections, such as avoiding contaminated water
VIOLENCE PREVENTION OR PEACE EDUCATION	<ul style="list-style-type: none"> • Communication Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - state their position clearly and calmly, without blaming - listen to each other's point of view - communicate positive messages - use "I" statements and not accuse others • Negotiation Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - intervene and discourage others from conflict before it escalates • Advocacy Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - get involved in community activities that promote non-violent behaviour - join, support, and inform others about non-violent activities and organisations - advocate for programmes to buy back weapons or create weapon free zones - discourage viewing violent television movies and video games 	<ul style="list-style-type: none"> • Decision-making Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - understand the roles of aggressor, victim, and bystander • Critical Thinking Skills: Students can observe and practise ways to: <ul style="list-style-type: none"> - identify and avoid situations of conflict - evaluate both violent and non-violent solutions that appear to be successful as depicted in the media - analyse their own stereotypes, beliefs, and attributions that support violence - help reduce prejudice and increase tolerance for diversity 	<ul style="list-style-type: none"> • Skills for Managing Stress: Students can observe and practise ways to: <ul style="list-style-type: none"> - identify and implement peaceful ways of resolving conflict - resist pressure from peers and adults to engage in violent behaviour

Optimally, skills-based health education will be utilised across a range of content areas. Guidelines for addressing several of these content areas can be found in the WHO Information Series for School Health (see Appendix 1).

Skills-based health education and human rights

Skills-based health education supports the basic human rights included in the Convention on the Rights of the Child (CRC), especially those related to the highest attainable standard of health (Article 24) and the right to education for the development of children to their fullest potential (Articles 28 and 29). Children have universal and indivisible rights, including the right to survival; to protection from harmful influences, abuse, and exploitation; and to full participation in family, cultural, and social life. Furthermore, children have rights to information, education and services; to the highest attainable standard of physical and mental health; and to formal and non-formal education about population and health issues, including sexual and reproductive health issues (International Conference on Population and Development, 1999). States are accountable to respect, protect, and fulfil the rights of children. Education must address the best interests and ongoing development of the whole child in a non-discriminatory way and with respect for the views and participation of the child. Skills-based health education is a means to do so.

2.2. TEACHING AND LEARNING METHODS FOR SKILLS-BASED HEALTH EDUCATION

To contribute to skills-based health education goals and achieve the objectives of skill-based health education, teaching and learning methods must be relevant and effective. Effective skills-based health education replicates the natural processes by which children learn behaviour. These include modelling, observation, and social interactions. **Interactive or participatory teaching and learning methods are an essential part of skills-based health education.**

Skills are learned best when students have the opportunity to observe and actively practise them. Listening to a teacher describe skills or read or lecture about them does not necessarily enable young people to master them. Learning by doing is necessary. Teachers need to employ methods in the classroom that let young people observe the skills being practiced and then use the skills themselves. Researchers argue that if young people can practise the skills in the safety of a classroom environment, it is much more likely that they will be prepared to use them in and outside of school.

The role of the teacher in delivering skills-based health education is to facilitate participatory learning (that is, the natural process of learning) in addition to conducting lectures or employing other appropriate and efficient methods for achieving the learning objectives. Participatory learning utilises the experience, opinions, and knowledge of group members; provides a creative context for the exploration and development of possibilities and options; and affords a source of mutual comfort and security that aids the learning and decision-making process (CARICOM & UNICEF, 1999).

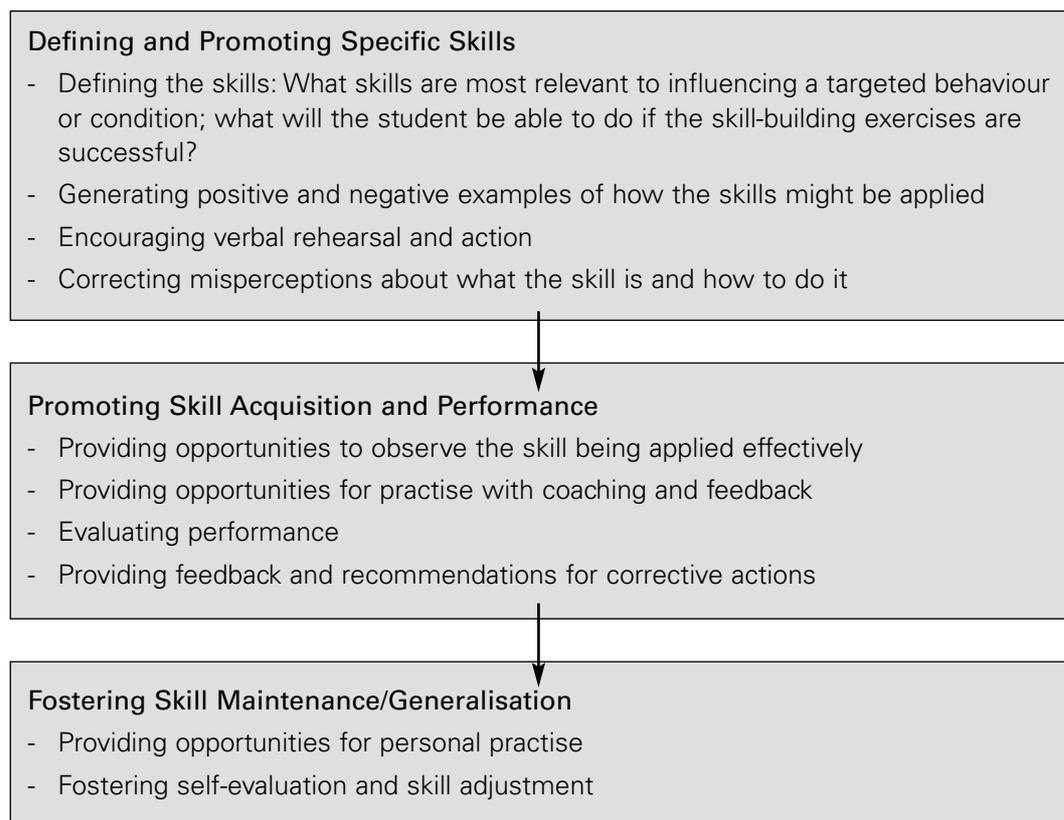
Social learning theory provides some of the theoretical foundation for why participatory teaching techniques work. Bandura's research shows that people learn what to do and how to act by observing others. Positive behaviours are reinforced by the positive or

negative consequences viewed or experienced directly by the learner. Retention of behaviours can be enhanced when people mentally rehearse or actually perform modelled behaviour patterns (Bandura, 1977).

Constructivist theory provides another rationale. Vygotsky argues that social interaction and the active engagement of the child in problem-solving with peers and adults is the foundation of the developing mind (Vygotsky, 1978). Many programmes capitalise on the power of peers to influence social norms and individual behaviours. Adults and young people tend to act in ways that they perceive to be normative or what most people their age are doing. If youngsters perceive (correctly or incorrectly) that fighting is the way most young people solve problems, then that becomes the norm or typical way most youngsters in a setting will respond. If, on the other hand, students sense that the norm is to talk problems through and that bystanders will intervene to stop a fight rather than encourage it, most students will gravitate to that norm of behaviour. Through cooperative work with peers to promote pro-social behaviours, the normative peer structure is changed to support healthy, positive behaviours; it also may move some of the high-risk peers who are more likely to engage in damaging behaviours toward the pro-social norms (Wodarski & Feit, 1997). Setting positive standards in the school environment is key; making students aware of those standards and then model them can lead more students to behave in health-promoting ways (adapted from Mangrulkar et al., 2001, p. 27).

Figure 5 describes a model of skills development that can serve as a guide for structuring classroom lessons.

Figure 5. Cycle of Skills Development



(The text in Figure 5 was adapted from Mangrulkar et al., 2001, p. 27.)

Studies of approaches to health education have shown that active participatory learning activities for students are the most effective method for developing knowledge, attitudes, and skills *together* for students to make healthy choices (e.g., Wilson et al., 1992; Tobler, 1998).

Specific advantages of active participatory teaching and learning methods, and working in groups, include the following:

- augment participants' perceptions of themselves and others
- promote cooperation rather than competition
- provide opportunities for group members and their trainers/teachers to recognise and value individual skills and enhance self-esteem
- enable participants to get to know each other better and extend relationships
- promote listening and communication skills
- facilitate dealing with sensitive issues
- appear to promote tolerance and understanding of individuals and their needs
- encourage innovation and creativity

(from: CARICOM, 2000; CARICOM & UNICEF, 1999)

Participatory teaching methods for building skills and influencing attitudes include the following:

- class discussions
- brainstorming
- demonstration and guided practice
- role play
- small groups
- educational games and simulations
- case studies
- story telling
- debates
- practising life skills specific to a particular context with others
- audio and visual activities, e.g., arts, music, theatre, dance
- decision mapping or problem trees

Effective programmes balance these participatory and active methods with information and attitudes related to the context (Kirby et al., 1994). Figure 6 describes content, benefits, and how-to processes for some major participatory teaching methods. In the following case study, young students used advocacy and action skills to change conditions in the environment and promote health.

CASE STUDY

Elementary school students in Hibbing, Minnesota, in the United States participated in the *Skills for Growing Up* programme developed by Lions-Quest, an initiative of Lions Clubs International/Lions Clubs International Foundation to teach life skills to youth. The students decided that the "Hey Man Cool" gum stick with a red tip that expelled puffs of sugar "smoke" could easily be mistaken for a real cigarette, and that the manufacturer was glamorizing smoking. They got two local candy stores to remove the candy from their shelves and then made their case to the manufacturer, the Philadelphia Chewing Gum

Corporation. The company agreed to change the packaging, remove the red tip, and modify the shape of the gum. Encouraged by their success, the teacher said that the students are now taking on a beef jerky company whose product resembles chewing tobacco.

(From <http://www.quest.edu/content/OurProgrammes/EvaluationReport/evalreport.html>)

Figure 6: Participatory Teaching Methods

Each of the teaching methods in Figure 6 can be used to teach life skills.

TEACHING METHOD	DESCRIPTION	BENEFITS	PROCESS
CLASS DISCUSSION (In Small or Large Groups)	The class examines a problem or topic of interest with the goal of better understanding an issue or skill, reaching the best solution, or developing new ideas and directions for the group.	Provides opportunities for students to learn from one another and practise turning to one another in solving problems. Enables students to deepen their understanding of the topic and personalise their connection to it. Helps develop skills in listening, assertiveness, and empathy.	<ul style="list-style-type: none"> • Decide how to arrange seating for discussion • Identify the goal of the discussion and communicate it clearly • Pose meaningful, open-ended questions • Keep track of discussion progress
BRAIN-STORMING	Students actively generate a broad variety of ideas about a particular topic or question in a given, often brief period of time. Quantity of ideas is the main objective of brainstorming. Evaluating or debating the ideas occurs later.	Allows students to generate ideas quickly and spontaneously. Helps students use their imagination and break loose from fixed patterns of response. Good discussion starter because the class can creatively generate ideas. It is essential to evaluate the pros and cons of each idea or rank ideas according to certain criteria.	<ul style="list-style-type: none"> • Designate a leader and a recorder • State the issue or problem and ask for ideas • Students may suggest any idea that comes to mind • Do not discuss the ideas when they are first suggested • Record ideas in a place where everyone can see them • After brainstorming, review the ideas and add, delete, categorise
ROLE PLAY	Role play is an informal dramatisation in which people act out a suggested situation.	Provides an excellent strategy for practising skills; experiencing how one might handle a potential situation in real life; increasing empathy for others and their point of view; and increasing insight into one's own feelings.	<ul style="list-style-type: none"> • Describe the situation to be role played • Select role players • Give instructions to role players • Start the role play • Discuss what happened

Figure 6: Participatory Teaching Methods (continued)

TEACHING METHOD	DESCRIPTION	BENEFITS	PROCESS
<p>SMALL GROUP/ BUZZ GROUP</p>	<p>For small group work, a large class is divided into smaller groups of six or less and given a short time to accomplish a task, carry out an action, or discuss a specific topic, problem, or question.</p>	<p>Useful when groups are large and time is limited. Maximises student input. Lets students get to know one another better and increases the likelihood that they will consider how another person thinks. Helps students hear and learn from their peers.</p>	<ul style="list-style-type: none"> • State the purpose of discussion and the amount of time available • Form small groups • Position seating so that members can hear each other easily • Ask group to appoint recorder • At the end have recorders describe the group's discussion
<p>GAMES AND SIMULATIONS</p>	<p>Students play games as activities that can be used for teaching content, critical thinking, problem-solving, and decision-making and for review and reinforcement. Simulations are activities structured to feel like the real experience.</p>	<p>Games and simulations promote fun, active learning, and rich discussion in the classroom as participants work hard to prove their points or earn points. They require the combined use of knowledge, attitudes, and skills and allow students to test out assumptions and abilities in a relatively safe environment.</p>	<p>Games:</p> <ul style="list-style-type: none"> • Remind students that the activity is meant to be enjoyable and that it does not matter who wins <p>Simulations:</p> <ul style="list-style-type: none"> • Work best when they are brief and discussed immediately • Students should be asked to imagine themselves in a situation or should play a structured game or activity to experience a feeling that might occur in another setting
<p>SITUATION ANALYSIS AND CASE STUDIES</p>	<p>Situation analysis activities allow students to think about, analyse, and discuss situations they might encounter. Case studies are real-life stories that describe in detail what happened to a community, family, school, or individual.</p>	<p>Situation analysis allows students to explore problems and dilemmas and safely test solutions; it provides opportunities to work together, share ideas, and learn that people sometimes see things differently. Case studies are powerful catalysts for thought and discussion. Students consider the forces that converge to make an individual or group act in one way or another, and then evaluate the consequences. By engaging in this thinking process, students can improve their own decision-making skills. Case studies can be tied to specific activities to help students practise healthy responses before they find themselves confronted with a health risk.</p>	<ul style="list-style-type: none"> • Guiding questions are useful to spur thinking and discussion • Facilitator must be adept at teasing out the key points and step back and pose some 'bigger' overarching questions • Situation analyses and case studies need adequate time for processing and creative thinking • Teacher must act as the facilitator and coach rather than the sole source of 'answers' and knowledge

Figure 6: Participatory Teaching Methods (continued)

TEACHING METHOD	DESCRIPTION	BENEFITS	PROCESS
DEBATE²	In a debate, a particular problem or issue is presented to the class, and students must take a position on resolving the problem or issue. The class can debate as a whole or in small groups.	Provides opportunity to address a particular issue in-depth and creatively. Health issues lend themselves well: students can debate, for instance, whether smoking should be banned in public places in a community. Allows students to defend a position that may mean a lot to them. Offers a chance to practise higher thinking skills.	<ul style="list-style-type: none"> • Allow students to take positions of their choosing. If too many students take the same position, ask for volunteers to take the opposing point of view. • Provide students with time to research their topic. • Do not allow students to dominate at the expense of other speakers. • Make certain that students show respect for the opinions and thoughts of other debaters. • Maintain control in the classroom and keep the debate on topic.
STORY TELLING³	The instructor or students tell or read a story to a group. Pictures, comics and photonovels, filmstrips, and slides can supplement. Students are encouraged to think about and discuss important (health-related) points or methods raised by the story after it is told.	Can help students think about local problems and develop critical thinking skills. Students can engage their creative skills in helping to write stories, or a group can work interactively to tell stories. Story telling lends itself to drawing analogies or making comparisons, helping people to discover healthy solutions.	<ul style="list-style-type: none"> • Keep the story simple and clear. Make one or two main points. • Be sure the story (and pictures, if included) relate to the lives of the students. • Make the story dramatic enough to be interesting. Try to include situations of happiness, sadness, excitement, courage, serious thought, decisions, and problem-solving behaviours.

Source: Health and Family Life Education (HFLE) Life Skills Training, Barbados, March/April 2001, compiled by HHD/EDC, Newton, Mass.

²Source: Meeks, L. & Heit, P. (1992). *Comprehensive School Health Education*. Blacklick, OH: Meeks Heit Publishing.

³Source: Werner, D. & Bower, B. (1982). *Helping Health Workers Learn*. Palo Alto, CA: Hesperian Foundation.

Purpose: to summarise the theories and principles that serve as a foundation for skills-based health education, and to highlight how they are applied.

A significant body of theory and research provides a rationale for the benefits and uses of skills-based health education. This section outlines a selection of these theories, with brief annotations highlighting their implications for skills-based health education planning. The theories share many common themes and have all contributed to the development of skills-based health education and life skills.

Behavioural science, and the disciplines of education and child development, placed in the context of human rights principles, constitute a primary source of these foundation theories and principles. Those who work in these disciplines have provided insights - acquired through decades of research and experience - into the way human beings, specifically children and adolescents, grow and learn; acquire knowledge, attitudes, and skills; and behave. Research and experience have also revealed the many spheres of influence that affect the way children and adolescents grow in diverse settings, from family and peer groups to school and community.

Most of the theories outlined below are drawn from Western or North American social scientists and may or may not be equally relevant to other cultures and practices. Therefore, programme designers, together with local social and behavioural scientists, paediatricians, anthropologists, educators, and others who study child and adolescent development, may want to consider the relevance of these ideas and their own cultural basis for programme design.

3.1. CHILD AND ADOLESCENT DEVELOPMENT THEORIES

An understanding of the complex biological, social, and cognitive changes, gender awareness, and moral development that occurs from childhood through adolescence lies at the core of most theories of human development.

The onset of puberty constitutes a fundamental biological change from childhood to early adolescence. An important component of social cognition in the transition from adolescence to adulthood is the process of understanding oneself, others, and relationships. The ability to understand causal relationships develops in early adolescence, and problem-solving becomes more sophisticated. The adolescent is able to conceptualise simultaneously about many variables, think abstractly, and create rules for problem-solving (Piaget, 1972). Social interactions become increasingly complex at this time. Adolescents spend more time with peers; increase their interactions with opposite-sex peers; and spend less time at home and with family members. Moral development occurs during this period as well; adolescents begin to rationalise the different opinions and messages they receive from various sources, and begin to develop values and rules for balancing the conflicting interests of self and others.

→ Implications for skills-based health education planning:

(1) In the school setting, late childhood and early adolescence (ages 6–15) are critical moments of opportunity for building skills and positive habits. During this time,

⁴Most of this chapter represents a summary of "Chapter II: The Theoretical Foundations of the Life Skills Approach," from Mangrulkar, L., Vince Whitman, C., & Posner, M. (2001), *Life Skills Approach to Child and Adolescent Healthy Human Development*, Washington, DC: Pan American Health Organisation.

children are developing the ability to think abstractly, to understand consequences, to relate to their peers in new ways, and to solve problems as they experience more independence from parents and develop greater control over their own lives.

(2) The wider social context of early and middle adolescence provides varied situations in which to practise new skills and develop positive habits with peers and other individuals outside the family.

(3) Developing attitudes, values, skills, and competencies is recognised as critical to the development of a child's sense of self as an autonomous individual and to the overall learning process in school.

(4) Within this age span, the skills of young people of the same age and different ages can vary dramatically. Activities need to be developmentally appropriate.

3.2. MULTIPLE INTELLIGENCES

This theory, developed by Howard Gardner (1993), proposes the existence of eight human intelligences that take into account the wide variety of human capacities. They include linguistic, logical/mathematical, musical, spatial, bodily/kinaesthetic, naturalist, interpersonal, and intrapersonal intelligences. The theory argues that all human beings are born with the eight intelligences, but they are developed to a different degree in each person and that in developing skills or solving problems, individuals use their intelligences in different ways.

—> Implications for skills-based health education planning:

(1) A broader vision of human intelligence points toward using a variety of instructional methods to engage different learning styles and strengths.

(2) The capacity of managing emotions and the ability to understand one's feelings and the feelings of others are critical to human development, and adolescents can learn these capacities just as well as they learn reading and mathematics.

(3) Students have few opportunities outside of school to participate in instruction and learning for these other capacities, such as social skills. Therefore, it is important to use the school setting to teach more than traditional subject matter.

3.3. SOCIAL LEARNING THEORY OR SOCIAL COGNITIVE THEORY

This theory is based largely upon the work of Albert Bandura (1977), whose research led him to conclude that children learn to behave both through formal instruction and through observation. Formal instruction includes how parents, teachers, and other authorities and role models tell children to behave; observation includes how young people see adults and peers behaving. Children's behaviour is reinforced or modified by the consequences of their actions and the responses of others to their behaviours.

—> Implications for skills-based health education planning:

(1) Skills teaching needs to replicate the natural processes by which children learn behaviour: modelling, observation, and social interaction.

(2) Reinforcement is important in learning and shaping behaviour. Positive reinforcement is applied for the correct demonstration of behaviours and skills; negative or corrective reinforcement is applied for behaviours or skills that need to be adjusted to build more positive actions.

(3) Teachers and other adults are important role models, standard setters, and sources of influence.

3.4. PROBLEM-BEHAVIOUR THEORY

Jessor & Jessor (1977) recognise that adolescent behaviour (including risk behaviour) is the product of complex interactions between people and their environment. Problem-behaviour theory is concerned with the relationships among three categories of psychosocial variables. The first category, the personality system, involves values, expectations, beliefs, and attitudes toward self and society. The second category, the perceived environmental system, comprises perceptions of friends' and parents' attitudes toward behaviours and physical agents in the environment, such as substances and weapons. The third category, the behavioural system, comprises socially acceptable and unacceptable behaviours. More than one problem behaviour may converge in the same individuals, such as a combination of alcohol and tobacco or other drug use and sexually transmitted disease.

—> Implications for skills-based health education planning:

(1) Behaviours are influenced by an individual's values, beliefs, and attitudes and by the perceptions of friends and family about these behaviours. Therefore, skills in critical thinking (including the ability to evaluate oneself and the values of the social environment), effective communication, and negotiation are important aspects of skills-based health education and life skills. Building these types of interactions into activities, with opportunities to practise the skills, is an important part of the learning process.

(2) Many health and social issues, and their underlying factors, are linked. Interventions on one issue can be linked to and benefit another.

(3) Interventions need to address personal, environmental, and behavioural systems together.

3.5. SOCIAL INFLUENCE THEORY AND SOCIAL INOCULATION THEORY

These two theories are closely related. Social influence theory is based on the work of Bandura (see above) and on social inoculation theory by researchers such as McGuire (1964, 1968), and was first used in smoking prevention programmes by Evans (1976; et al., 1978). Social influence theory recognises that children and adolescents will come under pressure to engage in risk behaviours, such as tobacco use or premature or

unprotected sex. Social influence and inoculation programmes anticipate these pressures and teach young people both about the pressures and about ways to resist them before youth are exposed. Usually these programmes are targeted at very specific risks, tying peer resistance skills to particular risk behaviours and knowledge. Social resistance training is usually a central component of social skills and life skills programmes.

—> **Implications for skills-based health education planning:**

(1) Peer and social pressures to engage in unhealthy behaviours can be dissipated by addressing them *before* the child or adolescent is exposed to the pressures, thus pointing toward early prevention rather than later intervention.

(2) Making young people aware of these pressures ahead of time gives them a chance to recognise in advance the kinds of situations in which they may find themselves.

(3) Teaching children *resistance skills* is more effective for reducing problem behaviours than just providing information or provoking fear of the results of the behaviour.

3.6. COGNITIVE PROBLEM SOLVING

This competence-building model of primary prevention theorises that teaching social-cognitive problem-solving skills to children at an early age can improve interpersonal relationships and impulse control, promote self-protecting and mutually beneficial solutions among peers, and reduce or prevent negative “health-compromising” behaviours. Poor problem-solving skills are related to poor social behaviours, indicating the need to include problem-solving and other skills in skills-based health education.

—> **Implications for skills-based health education planning:**

(1) Teaching interpersonal problem-solving skills at early stages in the developmental process (childhood, early adolescence) develops a strong foundation for later learning.

(2) Focusing on skills for self-awareness and self-management, as in anger management or impulse control, as well as generating *alternative solutions* to interpersonal problems, can reduce or prevent problem behaviours. Focusing on the ability to conceptualise or think ahead to the consequences of different behaviours or solutions can help children make positive choices.

3.7. RESILIENCE THEORY

This theory explains the process by which some people are more likely to engage in health-promoting rather than health-compromising behaviours. It examines the interaction among factors in a young person’s life that protect and nurture, including conditions in the family, school, and community, allowing a positive adaptation in young people who are at risk. The importance of this theory is its emphasis on the need to modify and promote mechanisms to protect children’s healthy development. Resilience theory argues that there are internal and external factors that interact among themselves and allow people to overcome adversity. Internal protective factors include self-esteem and self-confidence, internal

locus of control, and a sense of life purpose. External factors are primarily social supports from family and community. These include a caring family that sets clear, nonpunitive limits and standards; the absence of alcohol abuse and violence in the home; strong bonds with and attachment to the school community; academic success; and relationships with peers who practise positive behaviours (Kirby 2001; Infante, 2001; Luthar, 2000; Kirby 1999; Kass, 1998; Blum & Reinhard, 1997; Luthar & Ziegler, 1991; Rutter, 1987). According to Bernard (1991), the characteristics that set resilient young people apart are social competence, problem-solving skills, autonomy, and a sense of purpose. Today, there seems to be agreement on the sets of factors that are present in resilient behaviours. Research is focusing on identifying the types of interactions among these factors that allow resilient adaptation to take place despite adverse conditions.

—→ Implications for skills-based health education planning:

(1) Social-cognitive skills, social competence, and problem-solving skills can serve as *mediators* for behaviour.

(2) The specific skills addressed by skills-based health education, and life skills-based education for other learning areas, are part of the internal factors that help young people respond to adversity and are the traits that characterise resilient young people.

(3) It is important that both teachers and parents learn these same skills and provide nurturing family and school environments, modelling what they hope young people will be able to do.

(4) Resilience focuses on the child, the family, and the community, allowing the teacher or caregiver to be the facilitator of the resilient process.

While skills may protect young people, many larger factors in the environment play a role and may also have to be addressed if healthy behaviour is to be achieved.

3.8. THEORY OF REASONED ACTION AND THE HEALTH BELIEF MODEL

The Theory of Reasoned Action and the Health Belief Model contain similar concepts. Based on the research of Fishbein and Ajzen (1975), the Theory of Reasoned Action views an individual's intention to perform a behaviour as a combination of his *attitude* toward performing the behaviour and *subjective normative beliefs* about what others think he should do. The Health Belief Model, first developed by Rosenstock (1966; Rosenstock et al., 1988; Sheehan & Abraham, 1996) recognises that perceptions - rather than actual facts - are important to weighing up benefits and barriers affecting health behaviour, along with the perceived susceptibility and perceived severity of the health threat or consequences. Modifying factors include demographic variables and cues to action which can come from people, policies or conducive environments.

—→ Implications for skills-based health education planning:

(1) If a person perceives that the outcome from performing a behaviour is positive, she will have a positive attitude toward performing that behaviour. The opposite can be said if the behaviour is thought to be negative.

2) If relevant others (such as parents, teachers, peers) see performing a behaviour as positive and the individual is motivated to meet the expectations of relevant others, then a positive individual behaviour is expected. The same is true for negative behaviour norms.

3.9. STAGES OF CHANGE THEORY OR TRANSTHEORETICAL MODEL

This theory, based on a model developed by Prochaska (1979; & DiClemente, 1982), describes stages that identify where a person is regarding her change of behaviour. The six main stages are precontemplation (no desire to change behaviour), contemplation (intent to change behaviour), preparation (intent to make a behaviour change within the next month), action (between 0 and 6 months of making a behaviour change), maintenance (maintaining behaviour change after 6 months for up to several years), and termination (permanently adopted a desirable behaviour).

—> Implications for skills-based health education planning:

(1) It is important to identify and understand the stages where students are in terms of their knowledge, attitudes, motivation, and experiences in the real world, and to match activities and expectations to these.

(2) Interventions that address a stage not relevant to students are unlikely to succeed. For instance, a tobacco-cessation programme for people who mostly do not smoke or who smoke but have no desire to change is not likely to lead to quitting smoking.

For more information, see Chapter II in *Life Skills Approach to Child and Adolescent Healthy Development*, by Mangrulkar, L., Vince Whitman, C., and Posner, M., published by the Pan American Health Organisation in 2001. Available at <http://www.paho.org/English/HPP/HPF/ADOL/Lifeskills.pdf>

Purpose: to outline the body of research evidence and accumulated experience on the effectiveness of skills-based health education.

4.1. MAJOR RESEARCH EVIDENCE CONCERNING THE EFFECTIVENESS OF SKILLS-BASED HEALTH EDUCATION⁵

Education for health for young people has been referred to as health education, skills-based health education, and a life skills approach. Evaluation research over the past decade has revealed more about strategies for producing the desired knowledge, attitude, skill, and behavioural outcomes that decrease risk behaviours and improve health. Three findings are important for policymakers and programme planners:

(1) Health education that concentrates on developing **skills** for making healthy choices in life, *in addition to* imparting health-related knowledge, attitudes, values, services, and support, is more likely to produce the desired outcome.

(2) Skill development is more likely to result in the desired healthy behaviour when practising the skill is tied to the content of a specific health behaviour or health decision.

(3) The most effective method of skill development is learning by doing - involving people in active, participatory learning experiences rather than passive ones.

(UNESCO/UNICEF/WHO/The World Bank, 2000; Tobler, 1998 Draft; WHO, 1997; WHO/UNFPA/UNICEF, 1995; Burt, 1998; Vince Whitman et al., 2001)

Research shows that skills-based health education promotes healthy lifestyles and reduces risk behaviours. A meta-analysis of 207 school-based drug prevention programmes grouped approaches to prevention into nine categories: knowledge only; affective only; knowledge and affective; decisions, values, and attitudes; generic skills training; social influences; comprehensive life skills; "other" programmes; and health education K-12. The author found that "the most effective programmes teach comprehensive life skills" (as defined in sections 1.4. and 2.1. of this document). Programmes were also grouped according to whether or not they used interactive methods. The study concluded that "the most successful of the interactive programmes are the comprehensive life skills-based education programmes that incorporate the refusal skills offered in the social influences programmes and add skills such as assertiveness, coping, communication skills, etc." (Tobler, 1992). Meta-analyses by Kirby (1997, 1999, 2001) confirmed that active learning methods, along with other factors, were effective in reaching students and led to positive behavioural results. Studies in developing countries have also established the effectiveness of interactive and participatory teaching methods for skills-based health education (e.g., Wilson et al., 1992). These findings together provide a clear basis for establishing a focus on this approach to health education.

Skills-based health education has been shown by research to:

- reduce the chances of young people engaging in **delinquent behaviour** (Elias, 1991), **interpersonal violence** (Tolan & Guerra, 1994), and **criminal behaviour** (Englander-Goldern et al, 1989)
- delay the onset age of using **alcohol, tobacco, and other drugs** (Griffin & Svendsen, 1992; Caplan et al., 1992; Werner 1991; Errecart et al., 1991; Hansen, Johnson, Flay, Graham, & Sobel, 1988; Botvin et al., 1984, 1980)

⁵Parts of this chapter are drawn from Vince Whitman, C., Aldinger, C., Levinger, B., & Birdthistle, I. (2000). *Education For All 2000 Assessment. Thematic Studies: School Health and Nutrition*. Paris: UNESCO.

Examples:

- >Australia, Chile, Norway, and Swaziland collaborated in a pilot study on the efficacy of the social influences approach in school-based alcohol education. The data show that peer-led education appears to be effective in reducing alcohol use across a variety of settings and cultures (Perry & Grant, 1991).
- >In South Africa, a smoking prevention programme, derived from social cognitive theory, was implemented in schools in the Cape Town area. During the intervention, children increased their self-confidence and decreased the use of tobacco compared to children in the control schools. This evaluation led to a recommendation that the Department of Education and Training consider making the programme part of the formal school curriculum (Hunter et al., 1991).
- >In the United States, a study of nearly 6,000 students from 56 schools implemented a Life Skills Training (LST) programme, based on a person-environment interactive model that assumes that there are multiple pathways to tobacco, alcohol, and drug use. The results of the three-year intervention study showed that LST had a significant impact on reducing cigarette, marijuana, and alcohol use. Results of the six-year follow-up indicated that the effects of the programme lasted until the end of the twelfth grade (CDC, 1999).

- reduce **high risk sexual activity** that can result in pregnancy or STI or HIV infections (Kirby, 1997 and 1994; WHO/GPA, 1994; Postrado & Nicholson, 1992; Scripture Union, n.d., Zabin et al., 1986; Schinke, Blythe and Gilchrest, 1981)

Examples:

- >In Uganda, an HIV/AIDS prevention programme in primary schools emphasised improving access to information, peer interaction, and quality of performance of the existing school health education system. After two years of interventions, the percentage of students who stated they had been sexually active fell from 42.9% to 11.1%. Social interaction methods were found to be effective. Students in the intervention group tended to speak to peers and teachers more often about sexual matters. Reasons for abstaining from sex were associated with the rational decision-making model rather than with the punishment model (Shuey et al., 1999).
- >Kirby and DiClemente (1994) found that negotiation skills enhance students' ability to delay sex or to use condoms. Wilson and colleagues (1992) concluded that interactive teaching methods are "better than lectures at increasing condom use and confidence in using condoms and at reducing the number of sexual partners." Their evaluation found that female student teachers in Zimbabwe who participated in a skills-based AIDS intervention were more knowledgeable about condoms and their correct use, had a higher sense of self-efficacy, perceived fewer barriers, and reported fewer sexual partners four months after the intervention than their colleagues who participated in a lecture.

- prevent **peer rejection** (Mize and Ladd, 1990) and **bullying** (Olewus, 1990)
- teach **anger control** (Deffenbacher, Oetting, Huff, and Thwaites, 1995; Deffenbacher, Lynch, Oetting, and Kemper, 1996; Feindler, et al 1986)
- promote positive **social adjustment** (Elias, Gara, Schulyer, Brandon-Muller, and Sayette, 1991) and reduce **emotional disorders** (McConaughy, Kay and Fitzgerald, 1998)
- improve health-related behaviours and **self-esteem** (Young, Kelley, and Denny, 1997)
- improve **academic performance** (Elias, Gara, Schulyer, Brandon-Muller, and Sayette, 1991)

A matrix of evaluation studies in Appendix 3 summarises the evidence. The matrix lists selected studies that used skills-based health education and achieved changes in knowledge, attitudes, skills, or behaviour. Studies that show impact on behaviour tend to include more comprehensive interventions that include but go beyond skills-based health education. The next section describes key success factors in school-based programmes and lists barriers to success by category.

4.2. WHICH FACTORS CONTRIBUTE TO EFFECTIVE PROGRAMMES?

Skills-based health education will be most effective in influencing behaviour when applied as part of a comprehensive, multi-strategy approach that delivers consistent messages over time. Strategies need to be tailored to discrete aspects and stages of behaviour. A narrow focus on skills-based health education is unlikely to sustain changed behaviour in the long term. More powerful and sustained outcomes tend to be achieved when skills-based health education is coordinated with policies, services, family and community partnerships, and mass media and other strategies. For instance, research shows that a curriculum combined with youth community service reduces risk behaviours such as fighting, early sexual behaviour, and substance use more effectively than a curriculum alone (O'Donnell et al., 1998).

Indeed, the FRESH (Focusing Resources on Effective School Health) initiative emerged in response to the need for more comprehensive programming rather than singular approaches for which the expectations are often unreasonably high. For more information on FRESH, see Sections 1.1. and 5.1.2. The success factors described in Figure 7 are derived from research and experience in developing and more developed nations. Chapter 5 of this document outlines ways to translate these evaluation results into effective programmes.

Figure 7: Critical success factors in school-based approaches

Gaining commitment
<p><i>Intense advocacy is required from the earliest planning stages to influence key national leadership; to mobilise the community to place skills-based health education on its agenda; and to hold the community accountable for implementing national and international agreements. Advocating with accurate and timely data can convince national leaders and communities that prevention from an early age is important. It can also help ensure that programmes focus on the actual health needs, experience, motivation, and strengths of the target population, rather than on problems as perceived by others.^{6,7} Communicating the evidence, listening and responding to community concerns, and valuing community opinions can help garner commitment, while effective resource mobilisation will underscore the success of such efforts.^{8,9}</i></p> <p>On the school level, effective skills-based health education programmes rely on the larger vision of health promotion, which incorporates health into education reform. They also rely on the extent to which the school itself makes a priority of promoting health, that is, whether it links its own health policies and services to skills-based health education and provides a healthy psychosocial and physical school environment.</p>

⁶UNICEF. (2000). *Involving People, Evolving Behaviour*. Edited by McKee, N., Manoncourt, E., Saik Yoon, C., & Carnegie, R.

⁷Webb, D. & Elliott, L., in collaboration with UK Department for International Development and UNAIDS. (2000). *Learning to Live - Monitoring and evaluating HIV/AIDS programmes for young people*. Save the Children Fund.

⁸UNESCO, PROAP Regional Clearinghouse on Population Education and Communication, UNFPA. (2001). *Communication and Advocacy Strategies: Adolescent Reproductive and Sexual Health: Booklet 3, Lessons Learned and Guidelines*. Bangkok, Thailand: UNESCO, UNFPA.

⁹South Africa Ministry of Health and Ministry of Education. (1998). *Life Skills Programme Project Report 1997/98*.

Figure 7: Critical success factors in school-based approaches (continued)

Theoretical underpinnings
<p><i>“Effective programmes are based upon theoretical approaches that have been demonstrated to be effective in influencing health-related risky behaviours”¹⁰ (see examples in Chapter 3). Common elements exist across these theories, including the importance of personalising information and probability of risks, increasing motivation and readiness for change/action, understanding and influencing peers and social norms, enhancing personal skills and attitudes and ability to take action, and developing enabling environments through supportive policies and service delivery.¹¹ Social learning theories suggest that performing a behaviour will be affected by an understanding of what needs to be done (knowledge), a belief in the anticipated benefit (motivation), a belief that particular skills will be effective (outcome expectancy), and a belief that one can effectively use these skills (self-efficacy)¹²</i></p>
Content of programmes
<p><i>The information, attitudes, and skills that comprise the programme content should be selected for their relevance to specific health-related risk and protective behaviours; for example, resisting peer pressure to smoke or use drugs, delaying the initiation of intercourse or using contraception, or identifying a trusted adult for support during depression. Programmes that address a balance of knowledge, attitudes, and skills - such as communication, negotiation, and refusal skills - have been most successful in affecting behaviour. Programmes with heavy emphasis on (biological) information have had more limited impact on enhancing attitudes and skills and reducing risk behaviours.¹³ Effective programmes focus narrowly on a small number of specific behavioural goals and give a clear health content message by continually reinforcing a positive and health-promoting stance on these behaviours.¹⁴ General programmes and those that have attempted to cover a broad array of topics, values, and skills without linking them are generally not recommended where prevention of a specific risk behaviour is the goal.¹⁵</i></p>
Methods
<p><i>Effective programmes utilise a variety of participatory teaching methods, address social pressures and modelling of skills, and provide basic, accurate information. Effective participatory teaching methods actively involve the students and target particular health issues.¹⁶ For examples of participatory teaching methods, see Section 2.2 of this document. Programmes with a heavy emphasis on information can improve knowledge, but are generally not effective in enhancing attitudes, skills, or actual behaviour.¹⁷ However, effective programmes do need to provide some basic, accurate information that students can use to assess risks and avoid risky behaviours.¹⁸</i></p>

¹⁰Kirby, D. (2001). *Emerging Answers: Research Findings on Programmes to Reduce Teen Pregnancy*. Washington, D.C.: National Campaign to Reduce Teen Pregnancy.

¹¹UNICEF. (2000). *Involving People, Evolving Behaviour*. Edited by McKee, N., Manoncourt, E., Saik Yoon, C., & Carnegie, R.

¹²Kirby, D. (2001). *Emerging Answers: Research Findings on Programmes to Reduce Teen Pregnancy*. Washington, D.C.: National Campaign to Reduce Teen Pregnancy (p.29).

¹³Wilson, D., Mparadzi, A., & Lavelle, E. (1992). An experimental comparison of two AIDS prevention interventions among young Zimbabweans. *Journal of Social Psychology*, 132(3), 415 - 417.

¹⁴Kirby, D. (2001). *Emerging Answers*.

¹⁵Kann, L., Collins, J. L., Pateman, B. C., Small, M. L., Ross, J. G., & Kolbe, L. J. (1995). The School Health Policies and Programmes Study (SHPPS): Rationale for a Nationwide Status Report on School Health. *Journal of School Health*, 65, 291 - 294.

¹⁶Kirby, D. (2001). *Emerging Answers*.

¹⁷Wilson, D., Mparadzi, A., & Lavelle, E. (1992). An experimental comparison of two AIDS prevention interventions among young Zimbabweans. *Journal of Social Psychology*, 132(3), 415 - 417.

¹⁸Kirby, D. (2001). *Emerging Answers: Research Findings on Programmes to Reduce Teen Pregnancy*. Washington, D.C.: National Campaign to Reduce Teen Pregnancy (p.30).

Figure 7: Critical success factors in school-based approaches (continued)

Timing and sequence
<p><i>Effective education programmes are intensive and begin prior to the onset of risk behaviours.</i>^{19,20} As a guide, at least 8 hours of intensive training or at least 15 hours of classroom sessions per year will be required to provide adequate exposure and practise for students to acquire skills. Subsequent booster sessions are needed to sustain outcomes.^{21,22,23,24} A planned and sequenced curriculum across primary and secondary school is recommended. The age and stage of the learner need to be considered. Concepts should progress from simple to complex, with later lessons reinforcing and building on earlier learning. Education and other prevention efforts need to be constant over time to ensure that successive cohorts of children and young people are protected.</p>
Multi-strategy for maximum outcomes
<p><i>Programmes need to be coordinated with other consistent strategies over time, such as policies, health and community services, community development, and media approaches. Coordination within and among donor agencies and between regional and national programmes is also important. Because the determinants of behaviour are varied and complex, and the reach of any one programme (e.g., in schools) will be limited, a narrow focus is unlikely to yield sustained impact on behaviour in the long term. Only coordinated multi-strategy approaches can achieve the intensity of efforts that yields sustained behaviour change in the long term.</i>^{25,26}</p>
Teacher training and professional development
<p><i>Teachers or peer leaders of effective programmes believe in the programme and receive adequate training. Training needs to give teachers and peers information about the programme as well as practise in using the teaching strategies in the curricula.</i>²⁷ Research shows that teacher training for the implementation of a comprehensive secondary school health education curriculum positively affects teachers' preparedness for teaching skills-based health education and has positive effects both on curriculum implementation and on student outcomes.^{28,29}</p>

¹⁹Kirby, D. & DiClemente, R. J. (1994). School-based interventions to prevent unprotected sex and HIV among adolescents. In R. J. DiClemente & J. L. Peterson (Eds.), *Preventing AIDS: Theories and methods of behavioural intentions* (pp. 117 - 139). New York: Plenum Press.

²⁰Botvin, G. J. (2001). Life Skills Training: Fact Sheet. Available from <http://www.lifeskillstraining.com/facts.html>

²¹Jemmott, J. B., Jemmott, L. S., & Fong, G. T. (1992). Reductions in HIV risk-associated sexual behaviours among black male adolescents: Effects of an AIDS prevention intervention. *American Journal of Public Health*, 82(3), 372 - 377.

²²Kirby, D. & DiClemente, R. J. (1994). School-based interventions to prevent unprotected sex and HIV among adolescents.

²³Wilson, D., Mparadzi, A., & Lavelle, E. (1992). An experimental comparison of two AIDS prevention interventions among young Zimbabweans. *Journal of Social Psychology*, 132(3), 415 - 417.

²⁴Botvin, G. J. (2001). Life Skills Training: Fact Sheet. Available from <http://www.lifeskillstraining.com/facts.html>

²⁵UNESCO, PROAP Regional Clearinghouse on Population Education and Communication, UNFPA. (2001). *Communication and Advocacy Strategies: Adolescent Reproductive and Sexual Health: Booklet 3, Lessons Learned and Guidelines*. Bangkok, Thailand: UNESCO, UNFPA.

²⁶South Africa Ministry of Health and Ministry of Education. (1998). *Life Skills Programme Project Report 1997/98*.

²⁷Kirby, D. (2001). *Emerging Answers: Research Findings on Programmes to Reduce Teen Pregnancy*. Washington, D.C.: National Campaign to Reduce Teen Pregnancy.

²⁸Kann, L., Collins, J. L., Paterman, B. C., Small, M. L., Ross, J. G., & Kolbe, L. J. (1995). The School Health Policies and Programmes Study (SHPPS): Rationale for a Nationwide Status Report on School Health. *Journal of School Health*, 65, 291 - 294.

²⁹Ross, J. G., Luepker, R. V., Nelson, G. D., Saavedra, P., & Hubbard, B. M. (1991). Teenage Health Teaching Modules: Impact of Teacher Training on Implementation and Student Outcomes. *Journal of School Health*, 61(1), 31 - 34.

Figure 7: Critical success factors in school-based approaches (continued)

<i>Relevance</i>
<p><i>Programmes must be relevant to the reality and developmental levels of young people and must address risks that have the potential to cause most harm to the individual and society. Issues that attract media attention and public concern may not be the most prevalent or harmful. Issues of gender and violence should be integrated, along with other cofactors in the lives of young people. Reinforcing clear values against risk behaviour and strengthening individual values and group norms need to be central to prevention programmes. The programme goals, teaching methods, and materials need to be appropriate to the age, experience, and culture of children and young people and the communities they live in, and need to recognise what the learner already knows, feels, and can do.³⁰</i></p>
Participation
<p><i>Develop mechanisms to allow involvement of students, parents, and the wider community in the programme at all stages. A collaborative approach can reinforce desired behaviour through providing a supportive environment for school programmes. The participation of learners, parents, community workers, peer educators, and others in the design and implementation of school health programmes can help ensure that the needs and concerns of all these constituencies are met in culturally and socially appropriate ways. Participants whose concerns are addressed are more likely to demonstrate commitment to and ownership of the programme, which in turn enhances sustainability and effectiveness.^{31,32}</i></p>

More detailed information on effective programmes is available from:

UNICEF at: <http://www.unicef.org/programme/lifeskills/index.html>

WHO at: <http://www.who.int/school-youth-health>

Life Skills Training Center, Inc. at: <http://www.lifeskillstraining.org>

4.3. WHICH FACTORS CAN CREATE BARRIERS TO EFFECTIVE SKILLS-BASED HEALTH EDUCATION?

While it is important to capitalise on the success factors of effective programmes, it is also helpful to be aware of, and to try to avoid, the barriers to effective skills-based health education.

Barriers of *focus* tend to include the following:

- infusion of health issues across a range of subjects without providing a solid foundation within one subject, where knowledge, attitudes, and skills can be linked and developed in a sequential, reinforcing strategy
- inadequate orientation and training of administrators, teachers, and other support staff

³⁰Kirby, D. (2001). *Emerging Answers*:

³¹UNICEF (2001). *The Participation Rights of Adolescents: A Strategic Approach*. Prepared by R. Rajani.

³²Jemmott, J. B., Jemmott, L. S., & Fong, G. T. (1998). Abstinence and safer sex HIV risk-reduction interventions for African American Adolescents: A randomized controlled trial. *JAMA*, 279(19) (May 20, 1998), 1529 - 1536.

- general programmes that are less directed toward specific contexts or risk behaviours. For example, such programmes may use a model in which generic decision-making steps are presented but are not applied to a specific context, or are applied across a range of topics that are not necessarily linked.
- efforts to cover a broad array of topics, values, and skills while failing to emphasise particular facts, values, norms, and skills that students need to reduce risk or promote specific behaviour. For example, a programme may cover the physiology of reproductive health and the value of positive personal relationships but omit content on sustaining decisions to avoid unprotected sex; building skills to avoid risky situations, negotiating with a partner not to have sex, using a condom, or resisting peer pressure to use alcohol or drugs.
- presentations that are information-heavy, particularly with physiological information, with little or no attention to feelings, relationships, skills, and local situations
- too little concentrated time on the learning task

Barriers of *coordination and consistency* include the following:

- weak leadership, lack of genuine commitment and coordination from ministries of health and education and from school officials; for example, lack of well-defined national strategies for the promotion, support, coordination, and management of school-based programmes and insufficient staff in the ministries of education and health designated to the task of strengthening skills-based health education and life skills programmes
- insufficient infrastructure for teacher training
- lack of quality teaching materials and participatory methods
- insufficient coordination in terms of time frames and plans, leading to isolated and vertical programmes
- competition with other health topics or programmes within the school environment or inconsistent messages and learning experiences

Barriers of *intensity and scale* include the following:

- failure to plan for expansion or to go beyond the pilot stage
- inadequate funding
- inadequate attention to related strategies that maximise success, such as effectively implemented policies, access to related health services, and links with the community and other sectors. For example, effective school-based alcohol abuse prevention strategies may be linked to policies in the community that restrict access to alcohol to minors and links to community-school partnerships that help enforce such policies.
- inadequate mechanisms for supervising, monitoring, and evaluating programmes, including a lack of detailed documentation.

(The preceding information on barriers to effective skills-based health education is adapted in part from Mangrulkar et al., 2001, p. 41, and from a UNAIDS Inter-Agency working group, 2001.)

Applying proven methods of success and using available guidelines and tools, such as the *WHO Information Series on School Health*, listed in Annex 1, can help address many of these challenges.

Purpose: to focus on a set of key actions that can significantly improve the quality and scale of skills-based health education programmes.

Very substantial evidence exists to support the benefits of skills-based health education. However, too few schools implement programmes of good quality, and too few programmes are implemented on a national scale.

The following chart lists priority actions that are recommended for shifting efforts away from ineffective strategies and toward approaches that have the focus and intensity which typify successful programmes. (For the research that forms the basis for these recommendations, please refer to Chapter 4 of this document.)

<p>Away from... 1. small-scale pilot projects...</p>	<p>Toward...Going to scale</p> <ul style="list-style-type: none"> • programing for a national scale
<p>Away from... 2. education programmes developed and delivered in isolation from other health related efforts...</p>	<p>Toward... A comprehensive approach</p> <ul style="list-style-type: none"> • comprehensive and effective school health programmes that combine skills-based health education with supporting policies at the school and/or national level, clean water and sanitation as a first step in a healthy environment, related health services, and school-community partnerships
<p>Away from... 3. attempts to infuse health topics thinly across many subjects...</p>	<p>Toward...Effective placement within curriculum</p> <ul style="list-style-type: none"> • addressing a limited number of high-priority health issues and teaching the necessary knowledge, attitudes, and skills together in one existing subject (sometimes called a carrier subject) in the context of other related issues and processes
<p>Away from... 4. creating new teaching and learning materials from scratch</p>	<p>Toward...Using existing materials better</p> <ul style="list-style-type: none"> • better distribution and adaptation of the many quality materials that demonstrate research and evaluation evidence of effectiveness
<p>Away from... 5. generic life-skills programmes that are not attached to specific objectives and goals</p>	<p>Toward...Linking content to behavioural objectives and changes in health-related conditions</p> <ul style="list-style-type: none"> • applying skills-based teaching and learning methods for the development of knowledge, attitudes, and skills needed to achieve objectives in terms of behaviours and conditions that will lead to health and correlated social goals
<p>Away from... 6. delivery by unprepared adults ...</p>	<p>Toward...Consistent, ongoing professional development for teachers and support teams</p> <ul style="list-style-type: none"> • the use of key staff units identified within ministries, schools, and communities dedicated to ongoing teacher training, support for implementation, and collaborative strategies such as partnerships with young people

5.1 GOING TO SCALE

“Going to scale” means implementing interventions nation-wide. It involves considering a variety of expansion models and agencies for reaching the greatest number of schools and students. Such considerations should be made from the beginning of the planning process, once the importance and feasibility of skills-based health education are understood. Expanding the reach of good-quality programmes on national and local levels then becomes a priority. Since ample evidence supports the effectiveness of skills-based health education, there is less need for further pilot projects than for nation-wide coverage, which may coordinate several models, facilitators, and agencies.

Education agencies that are striving to go to scale may be able to adapt certain activities already in use, thereby expanding community-based programmes for young people. Smith and Colvin (2000) distinguish four major approaches for scaling up young adult programmes. (1) Planned Expansion means a steady process of expanding the number of sites and youth served by a particular programme once it has been pilot tested. (2) Association consists of expanding programme size and coverage through a network of organisations. (3) Grafting means adding a new initiative to an existing programme. (4) Explosion involves sudden implementation of a youth programme at a large scale.

The following lessons were learned from scaling up young adult reproductive health programmes:

- **Programmes should prepare for scaling up by focusing on institutionalisation.** Support such as training curricula and a cadre of trained and committed service providers is essential to institutionalisation. Changes in undergraduate- and graduate-level training in colleges and universities may be required.
- **Policy shapes programme development.** Policy structures can support programme efforts. However, momentum for scaling up can be gathered even without a supportive political environment, especially when the issues can gain visibility through allied groups. While certain programmes must engage the policy level more than others, and pilot projects can stimulate policy development, even government programmes may be vulnerable in a negative policy environment.
- **Activists and programme planners should build on existing institutions and infrastructure** when scaling up. NGOs, which are often the first to initiate young adult reproductive health programmes, can complement and reinforce government initiatives. Programmes can take advantage of existing infrastructure by forming and deepening collaborations with partner organisations. Programmes with strong ties at the local level are better able to survive change, so building a social marketing strategy is important for creating and maintaining a community constituency.
- **Committed leaders are needed to support, guide, and sponsor** the scaling-up process. A successful scale-up effort requires a major commitment of time and energy on the part of leaders as well as a formal governance structure.
- **Make scaling up participatory, and build in flexibility.** Programmes aimed at young people depend on their input for success.
- **Anticipate obstacles and challenges.** The environment in which a programme develops and the availability of resources may influence its shape and the effort to scale up. Programme developers and policy advocates in particular need to be sensitive to these issues. This includes developing long range financing strategies.
- **Data, research, monitoring and evaluation systems are critical** to scaling up effective programmes. Data and research are especially important for designing programmes, scaling them up, advocacy and securing acceptance and support for programmes.

(These recommendations are adapted from Smith & Colvin, 2000, and from Stage Five: Going to Scale, <http://eric-web.tc.columbia.edu/families/TWC/stg5idx.html>, December 18, 2001.)

Going to scale and creating a sustainable change in teaching practise in regard to skills-based health education are described in the example that follows.

Example: Systems-level actions and support for sustainable change in teaching practice

Evaluation of professional education has shown that initial training must be followed by ongoing coaching and technical assistance to produce an impact on teachers in the classroom. The lack of administrative support at the school and classroom levels, along with a lack of ongoing support from expert teachers on substantive issues, sometimes precludes sustainable change.

The following points on achieving sustainable change in classroom teaching emerged from UNICEF's Mekong project in East Asia.

- From the beginning, plan to go to scale, rather than having small pilot projects.
- From the beginning, plan for a series of linked training workshops; avoid single, unrelated training sessions.
- Model the interactive methods in all aspects of the training, and build in opportunities for teachers to practise new skills within and after the training.
- Encourage professional peer-education support groups and coaching for mentoring among teachers.
- Ensure ongoing, long-term implementation support from experts or experienced teachers.
- Work with administrators and school communities to advocate and encourage support for teachers to implement the new methods effectively.

(UNICEF/EAPRO, 1998).

5.2. SKILLS-BASED HEALTH EDUCATION AS PART OF COMPREHENSIVE SCHOOL HEALTH

Skills-based health education is more effective when it is taught as part of a comprehensive approach to school health than in isolation. The frameworks of FRESH and Health-Promoting Schools (see Figure 1) offer approaches for implementing skills-based health education as part of effective school health programmes.

5.2.1 THE FRESH FRAMEWORK

Focusing Resources on Effective School Health (FRESH), initiated by WHO, UNESCO, UNICEF, and the World Bank in 2000, is a framework for action that proposes four components as a starting point for developing an effective school health programme as part of broader efforts to design health-promoting, child-friendly schools. If all schools were to implement these four components, there would be a significant, immediate benefit in the health of students and staff and a basis for future expansion. The aim is to focus on interventions that are feasible to put in place.

The four FRESH components, listed below, should be made available together, in all schools:

- **Health-related school policies.** Health policies in schools can help ensure a safe and secure physical and psychosocial environment; address issues such as abuse of students, sexual harassment, and school violence; guarantee the further education of pregnant schoolgirls and young mothers; and reinforce health education for teachers and students.
- **Provision of safe water and sanitation - the essential first steps toward a healthy learning environment.** It is a realistic goal in most countries to ensure that all schools have access to clean water and sanitation. By providing these, schools can reinforce health and hygiene messages and act as an example both to students and to the wider community. Separate facilities for girls, particularly adolescent girls, contribute significantly to reducing dropout.
- **Skills-based health education.** This approach to health, hygiene, and nutrition education focuses on developing the knowledge, attitudes, values, and life skills that young people need to make and act on the most appropriate and positive health-related decisions. Health in this context extends beyond physical health to include psychosocial and environmental issues. Individuals who possess these skills are more likely to adopt and sustain a healthy lifestyle during their school years and throughout the rest of their lives.
- **School-based health and nutrition services.** Health and nutrition services can be effectively delivered by or through schools provided that the services are simple, safe, and familiar and that they address issues that are prevalent and recognised as important within the community. For example, micronutrient deficiencies and worm infections may be effectively addressed with infrequent oral treatment; and short-term hunger - an important constraint on learning - can be addressed by changing the timing of meals or providing a snack. If schools cannot provide services on school grounds they can refer to nearby services in the community.

Several strategies can support the implementation of the four FRESH components:

- Effective partnerships between teachers and health workers and between the education and health sectors
- Effective community partnerships
- Pupil awareness and participation

(This is summarised from UNESCO/UNICEF/WHO/World Bank, 2000, a tri-lingual brochure explaining FRESH.)

5.2.2. HEALTH-PROMOTING SCHOOLS

Skills-based health education is one important component of a Health-Promoting School. Through its Global School Health Initiative, WHO encourages the creation of Health-Promoting Schools worldwide, a concept fully embraced by UNICEF and other international agencies. Health-Promoting Schools foster health and learning with all measures at their disposal and by engaging health and education officials, teachers, students, parents, health care providers, and community leaders in efforts to improve the health of students, schoolpersonnel, families, and community members. Health-Promoting Schools strive to blend a healthy environment, skills-based health education, and school health services with school/community projects and outreach, health promotion programmes for staff, nutrition and food safety programmes, physical

education and recreation, reproductive and sexual health, and the promotion of mental health, with opportunities for counselling and social support (WHO, 1998).

5.2.3 CHILD FRIENDLY SCHOOLS

WHO promotes the development of Health-Promoting Schools as a step toward achieving the broader concept of UNICEF's Child Friendly School. UNICEF'S dedication to Child Friendly Schools encourages and supports healthy, well-nourished children who are ready to learn and who are supported by their family and community, as well as quality teaching and learning processes that are child-centred and include life skills. Supported by quality learning environments with adequate facilities, policies, and services, Child Friendly Schools are inclusive of all children, protective and healthy for children, and, in all aspects, gender sensitive. They address quality of learning with respect to the learners' focus, experiences, and needs; the relevance of curriculum content and processes; the quality of the classroom and broader school environment; the appropriateness of assessment in literacy, numeracy, knowledge, attitudes, life skills, and other areas; and the achievement of learning outcomes.

5.3. EFFECTIVE PLACEMENT WITHIN THE CURRICULUM

There are three primary ways for implementing skills-based health education within schools:

- **A core health education subject** – Skills-based health education can be a core (or separate) subject in the broader school curriculum.
- **Carrier subject** – Skills-based health education is sometimes placed in the context of related health and social issues within an existing, so-called carrier subject that is relevant to the issues, such as science, civic education, social studies, or population studies.
- **Infusion across many subjects** – Health topics can be included in all or many existing subjects by regular classroom teachers.

Figure 8 describes the benefits and disadvantages of all three approaches, though localities may vary in their needs.

Figure 8. Pros and Cons of ways to place skills-based health education within the curriculum

1. **Core health-education subject:** Skills-based health education (e.g., Health Education or Family Life Education) is taught as a core subject for addressing important issues – This is a good long term option, requiring strong commitment over time.

PROS	CONS
<ul style="list-style-type: none"> - Likely to be taught by teachers who are focused on health and who are more likely to be specifically trained in health education and life skills. - Likely to allow congruence between the content and teaching methods. - More likely to command the attention of students and teachers than when presented as a sidebar to another course lesson. - Tends to have high teacher support owing to specific focus on health and teacher’s sense of professional responsibility to health education and life skills development. - Allows health concepts to be sequenced smoothly from primary levels to secondary levels, to reinforce previous learning experiences, and to make links for new learning. - Time is specifically allotted to health and related issues, better ensuring the effective planning, implementation, and evaluation of skills-based health education. - Teachers can incorporate skills and materials from other subjects, creating support and involvement from other teachers. - Easier to examine the subject than if infused, and therefore teachers are more likely to be highly motivated to teach it well. 	<ul style="list-style-type: none"> - Possible that the subject is attributed very low status and seen as unimportant. - Difficulty of finding adequate time in the curriculum for the subject.

Figure 8. Pros and Cons of ways to place skills-based health education within the curriculum (continued)

2. Carrier subject: Skills-based health education is placed in an existing subject designed for another purpose but relevant to the issues, such as civic/social studies or population education. – This is a good short-term solution.

PROS	CONS
<ul style="list-style-type: none"> - Teacher support tends to be better than for infusion across all subjects. - Teachers of the carrier subject are likely to link the relevance of the topic to other subjects. - Training of teachers is faster and less expensive than via infusion. - It is faster and costs less to integrate skills-based health education into materials of one principal subject than to infuse across all. - The carrier subject can be reinforced by infusion through other subjects. 	<ul style="list-style-type: none"> - The selection of carrier subject may be inappropriate; for example, biology may not be a suitable carrier unless the social and personal issues and skills in biology can be addressed. - Teachers may or may not be knowledgeable about or comfortable with health content. - Health topics may receive less time than needed if overshadowed by the carrier topic.

3. Infusion across subjects: Regular classroom teachers integrate aspects of skills-based health education across many existing subjects. – This approach is not recommended as it does not yield good results on its own.

PROS	CONS
<ul style="list-style-type: none"> - Lends itself to a whole-school approach. - Many teachers are involved, even those not usually involved in the effort to implement skills-based health education. - Potential for reinforcement. 	<ul style="list-style-type: none"> - The issues can be lost among the higher-status elements of other subjects. - Too little time is dedicated to health content and skill development. - Teachers may maintain a heavy information bias in content and methods used to teach the content, as is the case with most subjects. - Teachers are usually not adequately trained. - The task of accessing all teachers and influencing all texts is very costly and time-consuming. - Some teachers do not see the relevance of the issue to their subject. - Potential for reinforcement seldom realised owing to other barriers.

Figure 8. Pros and Cons of ways to place skills-based health education within the curriculum (continued)

4. **Combination of approaches:** Another option is the combined use of a carrier subject in the short term with a separate subject in the long term. – This is a very long term option.

PROS	CONS
<ul style="list-style-type: none"> - Learning and changes can be addressed comprehensively through the carrier subject by trained teachers, and then can be reinforced across the other subject area. - A more intensive approach and outcome should be achieved. - Enables students who need knowledge and skills now to acquire them while a separate subject is being developed. 	<ul style="list-style-type: none"> - There is too much to achieve all at once; this approach needs to be carefully planned. - May require additional time.

Whichever option is chosen, it is important to understand that the effort to influence behaviours and conditions associated with school-based priority health, education, and development issues is a long-term and significant commitment. Skills-based health education works best to affect behaviour where reinforcing strategies are in place. Every effort should be made to combine skills-based health education with complementary strategies such as policy development, health services, and a supportive psychosocial environment. Given the factors vying for the attention of young people, it is unreasonable to believe that a single positive strategy might prevail over the many competing influences. Helping to ensure that teachers model health-promoting behaviours and that the school environment supports these behaviours is important. Skills-based health education should be considered but one of the four basic FRESH components of an effective school health programme, and such programmes themselves are most effective when complemented by community, national, and international strategies to support their health, education, and development goals.

THE EVIDENCE AGAINST INFUSION

- Experience with infused skills-based health education in the United States has shown that when teachers teach general life-skills programmes, they often do not cover, in depth, the specific health issues that adolescents face. Evaluations of programmes in the United States which emphasised generic decision-making skills, general communication, and assertiveness found no effect on adolescent health, especially sexual behaviour (Kann et al., 1995).
- A study by the Centers for Disease Control (CDC) in the United States (Kann et al., 1995) showed that compared to “health educators”, “infusion teachers” teaching HIV/AIDS prevention were less likely to be trained and were trained on fewer of the relevant topics; were less likely to cover the necessary topics, especially the more sensitive and relevant topics regarding prevention; were more likely to cover the science and biology of HIV/AIDS than prevention elements; and were less likely to include family and community elements in their programmes. They spent less time on the subject, were less likely to utilise recommended resources (including the formal

curriculum); used fewer interactive methodologies, and covered fewer of the skills and offered less practise of skills than “health educators.”

- More specific to developing countries, a UNICEF-supported review of skills-based HIV/AIDS prevention programmes in East and South Africa (Gachuhi, 1999) found that infusion approaches tended not to have the expected impact, often because teachers are usually not sufficiently trained and do not implement the programme properly; teachers especially overlook sensitive issues and realistic situations that would personalise the risks that young people face. Not having a specific allocation in the timetable was also a barrier to effective implementation.
- Uganda and Mozambique are moving away from an infusion approach in favour of more specific approaches such as a carrier subject, after finding that the infusion approach did not have an impact on the sexual behaviour and skills of adolescents for many of the same reasons stated above (UNICEF, 2000, personal communications).
- Reviews in Zimbabwe question the integration approach. Teacher training appeared to be inadequate, and the quality of implementation suffered as a result (Ndlovu & Kaim, 1999; Kaim et al., 1997).

NON-FORMAL MODELS

In many countries, the formal curriculum time is overburdened and alternatives have been developed which do not rely on formal curriculum time, for example, non-formal or extra-curricular programmes. Both the in-school and non-school population can be reached with these activities. They may operate at or near schools, or separate from schools, and tend not to rely on teachers to deliver them, for example, programmes operated by non-government workers, peer educators, community groups, youth organisations (e.g., Girl Guides, Boys Scouts), or faith-based organisations. The Ministry of Education is often responsible for both formal and non-formal mechanisms for reaching children and young people so that these different mechanisms can be coordinated for maximum quality and coverage. The case study below presents an example of a successful programme that taught life skills as part of a non-formal school subject.

CASE STUDY

SHAPE is a non-formal school subject in Myanmar, taught in grades 2 through 9, which uses student-centred participatory teaching and learning methods and encourages students to practise what they have learned in the classroom at home and in their communities. SHAPE aims to equip young people with the knowledge, attitudes, and skills they need to promote healthy living through the active participation and involvement of teachers, students, school principals, education officials, parents, and other community members. The content of the programme focuses on a range of health and social issues relevant to children and young people, including personal health and hygiene, growth and development, nutrition, alcohol and drugs, and HIV/AIDS. At least half of the content is dedicated to activities designed to develop life skills, such as communication, cooperation, coping with emotions and stress, decision-making, problem-solving, and counselling, and these life skills are then applied in a specific way to each of the health and social issues. In addition, peer education, child-to-parent dissemination of information, and collaboration between schools and communities are important SHAPE strategies.

This programme has successfully encouraged children to share what they have learned in the classroom with their parents and other family members and to improve health conditions in their community. For instance, in one small village, children told their families what they had learned about the need for iodised salt. Impressed by their children's commitment to learning, parents got together and put enough pressure on the shopkeeper to change the type of salt he sold, and the whole community benefited from the availability and use of iodised salt. In another township, children told their families what they had learned about the importance of using safe water and sanitary latrines. After this information spread in the community, families and community members got together and built enough latrines to greatly improve the quality of sanitation in the community.

(This case study is based on information provided by Tin Mar Aung, UNICEF Myanmar.)

5.4. USING EXISTING MATERIALS BETTER

It is often possible to work with existing resources rather than starting anew to create appropriate materials for skills-based health education.

The following issues might be considered for selecting existing materials.

- Do the materials have goals that clearly describe health and related social issues to be influenced in a particular way? Do the objectives clearly describe behaviours or conditions that can be influenced to significantly impact the goals? Are these relevant to our students' needs?
- Who is the target audience?
- What time investment is suggested (number and length of sessions)?
- Are the materials suitable for the available settings?
- Is the language used most appropriate for the target group/users of the materials?
- Have the materials been evaluated, and if so, with what audience and setting? What is the evidence of effectiveness? What is the similarity between the "proven programme" and the intended audience and cultural setting?
- How well is knowledge relevant to the health issue addressed? Is the information clear? Does it provide accurate, up-to-date knowledge on the health issue?
- How relevant are the attitudes to the health issue addressed?
- How relevant are skills to the behaviours that are intended to be influenced?
- How appropriate are the methods for achieving the educational objectives (e.g., increasing knowledge, fostering health-supporting attitudes, building skills)?
- Are the materials gender-sensitive in content, methods, and language?
- Are the materials relevant to student needs and interests?
- How easy will it be for teachers, parents, and students to adapt and implement the materials?
- Do the materials include sufficient learning experiences to achieve the objectives?

Existing materials may be available from local or regional UN agencies such as UNICEF or WHO and from governmental and non-governmental agencies, educational institutions, and the private sector. Many materials are available from these agencies on the World Wide Web; for example, www.who.int, www.unicef.org, www.unesco.org, www.ei-ie.org, www.cdc.gov, www.unfpa.org, and www.edc.org.

5.5. LINKING CONTENT TO BEHAVIOURAL OUTCOMES

Programmes aimed at helping young people to develop life skills without a particular context are less effective in achieving specific behavioural outcomes. It is critical that programme planners set objectives and select content on the basis of what is most relevant to influencing the behaviours and conditions that are associated with priority health issues (see Figure II in Chapter 2).

What: The central question is what behaviours or conditions must be sustained or changed to influence the health issues. Then, what knowledge, attitudes, and skills will be the most useful to address, given the behaviours and conditions to be changed? The answers to these “whats” are then used to develop programme objectives. Setting objectives for preventing or reducing risk behaviours and risk conditions and for promoting protective behaviours and conditions is important. Such objectives are required for clearly delineating the programme content, including knowledge, attitudes, and skills that are important to achieve the behavioural and conditional objectives. The physical, mental, emotional, and social dimensions of knowledge, attitudes, and skills need to be explored to facilitate informed decision making, the ability to practise healthy behaviours, and the creation of conditions that are conducive to health. Local factors and conditions that affect the ability of the individual to take action must also be considered; for example, using a condom properly may not be a feasible protective practise if condoms are not available.

The situation assessment information should reveal the issues most relevant to the health and development of the young people who will participate in the programme. Using this information to identify the direct and indirect factors affecting morbidity (and mortality to a lesser extent) can be particularly helpful in the process of setting priorities. Issues that emerge for school-age children and young people throughout the world are family issues; youth and interpersonal violence and conflict and seeking peace; alcohol, tobacco, and other drug use; unintentional injuries; depression and mental health; diet and physical activity; and hygiene and infectious disease, unwanted pregnancy, HIV/AIDS/STIs and malaria. Aspects of these issues vary in relevance depending on the age of the young person.

When: The needs and developmental abilities of young people vary with their age; thus programmes must take these factors into account. This is commonly referred to as “developmentally appropriate programing.” For example, concepts in school curricula should be sequenced smoothly from primary levels to secondary levels to reinforce previous learning experiences and make links for new learning; this process is sometimes referred to as a “spiral curriculum.” For sensitive issues such as HIV/AIDS, sexual and reproductive health, education should begin as interest begins to increase but *before* the target group has become involved in the risk behaviours. The building blocks for dealing with such sensitive issues should be in place at the very beginning of children’s education. Such building blocks include self esteem, positive values of cooperation and teamwork, the protection and promotion of health, and pro-social behaviour. However, to

help young people develop positive behaviour and avoid risks, these topics must be taught in a way that is increasingly specific to actual situations in their lives.

Figure 9 describes important knowledge, attitudes, and skills objectives for HIV/AIDS and other health issues for three developmental stages: early childhood, preadolescence and adolescence. This overview is only illustrative; local conditions and factors should always be considered in designing a programme. For similar information regarding other health and social issues, please refer to the WHO documents in Appendix 1.

Figure 9. Examples of Skills-Based Health Education Objectives

EARLY CHILDHOOD		
KNOWLEDGE	ATTITUDES	SKILLS
Participants will know: <ul style="list-style-type: none"> • second-hand smoke can be harmful • the benefits of eating a range of nutritious foods (or balanced diet), and where these foods can be found locally • violent behaviour is learned and can be unlearned • how HIV is transmitted and not transmitted 	Participants will demonstrate: <ul style="list-style-type: none"> • respect for themselves and others • understanding of gender roles and sexual differences • belief in a positive future • empathy with others • understanding of duty in regard to self and others • willingness to explore attitudes, values, and beliefs • recognition of behaviour that is deemed appropriate within the context of social and cultural norms • support for equity, human rights, and honesty 	Participants will be able to: <ul style="list-style-type: none"> • demonstrate practical and positive methods for dealing with emotions and stress • demonstrate fundamental skills for healthy interpersonal communication

Figure 9. Examples of Skills-Based Health Education Objectives (continued)

PRE-ADOLESCENCE		
KNOWLEDGE	ATTITUDES, VALUES, BELIEFS	SKILLS
<p>Participants will learn:</p> <ul style="list-style-type: none"> • about bodily changes that occur during puberty – and that they are natural and healthy events in the lives of young persons • about how Helminth and other infections can be prevented by using safe water and taking other precautions • the effects of tobacco, alcohol, and other drugs on body systems • ways to identify nutritious foods that are available locally 	<p>Participants will demonstrate:</p> <ul style="list-style-type: none"> • commitment to setting ethical, moral, and behavioural standards for themselves • positive self-image by defining positive personal qualities and accepting positively the bodily changes that occur during puberty • portrayal of human sexuality as a healthy and normal part of life • confidence to change unhealthy habits • willingness to take responsibility for their own behaviour • an understanding of their own values and standards • concern for social issues and their relevance to social, cultural, familial, and personal ideals • a sense of care and social support for those in their community or nation who need assistance • respect for the knowledge, attitudes, beliefs, and values of their society, culture, family, and peers 	<p>Participants will be able to:</p> <ul style="list-style-type: none"> • communicate messages about HIV prevention, healthy eating, and tobacco control to families, peers, and members of the community • actively seek out information and services related to sexuality, substance use, or other issues • recognise and manage peer and social influences on their personal value system • use critical thinking skills to analyse complex situations and a variety of alternatives • use problem-solving skills to identify a range of decisions and their consequences in relation to health issues that are experienced by young persons • discuss sexual behaviour and other personal issues with confidence and positive self-esteem, with responsible adults and peers • use negotiation skills to resist peer pressure to use alcohol, tobacco, or drugs or to get involved sexually

Figure 9. Examples of Skills-Based Health Education Objectives (continued)

ADOLESCENCE		
KNOWLEDGE	ATTITUDES	SKILLS
Participants will know: <ul style="list-style-type: none"> • which behaviours place individuals at increased risk for contracting HIV or malaria infection • what preventive measures can reduce risk of HIV, STI, worm and malaria infection, and unintended pregnancies • how to obtain testing and counselling to determine HIV/STI status as well as help with eating disorders and drinking problems • how to use contraceptives appropriately • how to prepare a balanced meal • what are the roles of aggressor, victim, and bystander 	Participants will demonstrate: <ul style="list-style-type: none"> • understanding of discrepancies in moral codes in their society • a realistic risk perception • positive attitude toward alternatives to intercourse • responsibility for personal, familial, and community health • support for school and community resources that will provide information and services about risk prevention interventions • encouragement of peers, siblings, and family members to take part in prevention activities • encouragement of others to change unhealthy habits 	Participants will be able to: <ul style="list-style-type: none"> • assess risk and negotiate for less risky alternatives • appropriately use health products • seek out and identify sources of help with substance use problems, including sources of clean needles or needle exchange • advocate for tobacco- and drug-free schools and generate local support

(The preceding skills-based health-education objectives were adapted from documents in the WHO Information Series on School Health.)

5.6. PROFESSIONAL DEVELOPMENT FOR TEACHERS AND SUPPORT TEAMS³³

Various individuals involved in skills-based health education must be trained to ensure successful implementation of such programmes. Trained educators are more likely than those who are not specifically trained in this learning area to implement programmes as intended, that is, to teach all of the required content and to use effective, high-quality teaching and learning methods (Kann et al., 1995). Skills-based health education teachers must possess a mix of professional and personal qualities. Some individuals bring these qualities to the job; others must receive training to acquire them. When properly trained, students themselves (peers), community agency workers, guidance officers or counsellors, social workers, and psychologists or other health care providers, as well as teachers, can facilitate skills-based health education.

What follows is an overview of the attitudes and attributes, professional skills, and competencies teachers need to develop to teach skills-based health education, along with some suggestions for training design of these requirements.

³³Parts of this section are adapted from Chapter 3, "Programme Providers and Training," in Mangrulkar, L., Vince Whitman, C., & Posner, M. (2001). *Life Skills Approach to Child and Adolescent Healthy Human Development*. Washington, D.C.: Pan American Health Organization.

5.6.1 ATTITUDES AND ATTRIBUTES

The following descriptors identify the best programme facilitators.

- role models for healthy behaviours
- credible and respected
- skilled and competent
- able to access resources and leadership and institutional support

5.6.2. PROFESSIONAL SKILLS AND COMPETENCIES

Teachers and other facilitators of learning involved with skills-based health education need to employ interactive teaching methods. For this reason, they need to possess or develop the following characteristics:

- Ability to play different roles - to support, focus, or direct the group as required (Tobler, 1992)
- Ability to act as a guide as opposed to dominating the group (Tobler, 1992)
- Respect for the adolescent and his or her freedom of choice and individual self-determination (Tobler, 1992)
- Warmth, supportiveness, and enthusiasm (Ladd and Mize, 1983)
- Ability to deal with sensitive issues, such as hygiene, sexual and reproductive health, HIV/AIDS prevention, dating, friendships, substance abuse, and difficult decisions about the future. These are topics that a teacher or facilitator needs to be prepared to discuss, either by answering questions or knowing where to go for more information. This requires training in content about adolescent stages of development, body image, sexuality, and available community resources.
- Appropriate personal and professional attitudes and practices. Teachers and facilitators are often expected to work with adolescents to develop skills that they themselves may not possess, such as, assertiveness, stress management, and problem-solving. Furthermore, teachers and facilitators may need help with their own sexual health issues, HIV/AIDS coping strategies, substance abuse problems, or violence in the home. Studies on health-promotion programmes for teachers have shown that training can result in specific health benefits to providers as well as improved attendance, morale, and quality of learning (Allegrante, J, 1998). Some parent-focused interventions have addressed this concern by helping parents (as programme providers) to develop skills in their children (Shure & Spivack, 1979) while also helping parents improve their own problem-solving, parenting, and stress-management skills.
- Practice what you preach. Teachers and other facilitators need extensive opportunities to practise student participatory learning methods such as open discussion, role-plays and cooperative group work. They should also model the behaviours which their training advocates.
- Accurate knowledge of, and adequate personal comfort with, the range of issues being addressed; and the ability to refer to other sources of expertise where necessary.

Many adults will need to unlearn authoritarian approaches to learning in order to become effective programme providers. The case study that follows the next section describes the positive impact of skills-based health education training on teachers and students in 85 schools in the United States.

5.6.3. TRAINING DESIGN

Access to good-quality training and support is essential to the development of the characteristics described in the preceding section.

Teachers and other facilitators ideally should receive quality training in both pre-service and in-service contexts. Training needs to expose teachers to, and allow them to gain experience in, participatory teaching and learning methods, with administrative support at the school level, and ongoing support from experts to foster and sustain participatory teaching and learning methods. Training for skills-based health education should mirror the teaching and learning principles of the programmes that are to be implemented. Training should incorporate active teaching and learning methodologies that take account of what is known about adult learning styles. In reality, teachers in many countries receive neither quality pre-service training nor ongoing in-service training, and there may be little support for addressing sensitive and complex topics that require specific skills.

Whether or not teachers have had the benefit of quality preparation in the past, quality training can support the development of positive attributes and substantially improve the competencies required for skills-based teaching. The strategies utilised by skills-based teaching are familiar within traditions of learning that have existed for generations in local cultures. These traditions include learning in groups, from elders across generations, through women's networks, through peers groups, and among girls and boys together; information and culture have been passed down in these ways through history.

Key elements of effective training for teachers and other facilitators include the following:

- establishing an adequate knowledge base about the issues to be addressed and networks of experts to draw on for further information
- establishing an effective, safe, and supportive training and programme environment
- inspiring broad participation and genuine interaction
- applying participatory teaching methods; for example, building competence in group process, role plays, dramatisations, debates, small group work, and open discussions
- modelling the skills addressed in the curriculum
- focusing on the whole child and adolescent, not just, for instance, on the effect of one particular health issue
- analysing adult perceptions of adolescents and adolescence, adult stereotypes and myths, and clarification of adult values around issues relevant to young people
- building skills in conjunction with providing information
- addressing sensitive issues in adolescents
- providing constructive criticism and positive reinforcement and feedback
- accessing and assessing the quality of teaching and learning resources
- accessing and assessing referral and support networks and community liaisons, and facilitating local participation
- fitting training to the skills level of the providers (Gingiss, 1992)
- providing ample opportunity for trainees to demonstrate and practise their new skills and for ongoing coaching, including continued training and booster sessions (Hansen, 1992; Botvin, 1986)
- allowing active participation of trainees in making decisions about programme adoption
- pairing experienced skills-based health education providers with new trainees (Dusenbury & Falco, 1995)

CASE STUDY

Developers of Teenage Health Teaching Modules (THTM), a skills-based health education curriculum in the United States, effectively trained programme providers in the following:

- establishing a programme environment in which open communication and positive peer interaction are valued and constructive problem solving occurs
- using participatory teaching strategies
- modelling skills and applying them to particular behaviours, including how to give encouragement and praise to reinforce positive social norms (O'Donnell, 1998)
- teaching complex social skills;
- providing resources for health information and referral
- dealing with sensitive issues (Blaber, 1999)

A study involving 85 schools found that pre-implementation training in THTM positively affected teachers' preparedness to teach THTM and student outcomes. Trained teachers implemented the curriculum with a significantly higher degree of fidelity than untrained teachers. Teacher training also had positive effects on student outcomes. Students' knowledge and attitude scores were significantly higher for classes taught by trained teachers than by untrained teachers. At the senior high school level, trained teachers also accounted for curbing self-reported use of illegal drugs (Ross et al., 1991).

Purpose: to identify key steps for effective planning and advocating for skills-based health education, and to clarify elements of design and evaluation.

A document recently produced by the World Health Organization, called *Local Action: Creating Health-Promoting Schools*, contains tools that can guide you or your school health team through the planning steps described in this chapter.

6.1. SITUATION ANALYSIS

A situation analysis is conducted to ensure that interventions are relevant to local conditions and cultures. It consists of needs and resource assessments and data collection, conducted before interventions are planned and implemented. Needs assessments involve the collection of accurate and current data that yield insight into the health issues and behaviours in a community. Resource assessments yield knowledge of the available capacities and resources in schools and communities.

The following types of information might be considered:

- health status, including local public health data on morbidity and mortality
- health priorities of children and adolescents
- behaviours and health conditions that are influencing priority health issues
- knowledge, attitudes, beliefs, values, skills, and services related to priority health issues for young people and their associated behaviours and conditions
- relevant policies
- available human, financial, and material resources and existing programmes that address health and social issues

Gathering evidence from credible sources can provide valuable information about what young people know, think, feel, and do and what health conditions affect them. Many sources of information can be utilised in this process, including the following:

- focus groups or in-depth interviews with the actual target audience or a similar group of learners
- related literature and research
- survey results
- professional expertise
- parents, care givers, and community groups
- epidemiological data from health departments and local clinics

The points of view of different stakeholders need to be shared and considered together, and ultimately agreement has to be reached. Where agreement proves elusive, it is the needs of the learners that ultimately must be central to decisions about what to include.

For further information, please refer to Appendix 2.

6.2. PARTICIPATION AND OWNERSHIP OF ALL STAKEHOLDERS

Schools may involve members of the school and community in planning goals and objectives for interventions. Such involvement can help ensure that the interventions will address the needs of learners and will be maintained over time.

School teams may include headmasters, teachers, students, school-based service providers such as nurses or counsellors, parents, and support staff. Members of school teams should represent a variety of backgrounds and viewpoints, be committed to the idea of health promotion, be interested in skills-based health education, work well in a team, and be able and willing to make a commitment of time. The team members work together to maintain and promote the health of all people who are working and learning at school, and to plan skills-based health education.

Community advisors can complement the school team and provide ongoing advice and support from the community. Partners from the community sector may include local government officials, religious leaders, media and business representatives, community residents and youth agency members, health and social service providers, and representatives of non-governmental agencies.

Together, school teams and community advisors assess needs and develop programme goals and objectives, and may work together in implementing and evaluating the interventions.

6.3. PROGRAMME GOALS AND OBJECTIVES

With the results of the situation analysis in hand, especially the identified needs and available resources, the school teams of students, teachers, and families, with support from other community advisors, can play an active role in defining the goals and objectives of the programme.

A goal describes in broad terms what it is hoped the intervention will achieve in the long term. A **goal** is a fairly grand statement, targeting a change in health status, such as reductions in teenage suicides or unwanted teen pregnancies. Many strategies are required to achieve outcomes at this level.

Outcome objectives target risk behaviours or conditions related to the goal. For example, if the goal is reduced teenage suicide or unwanted teen pregnancy, target behaviours or conditions might include delaying the initiation of sexual intercourse and increasing the number of teachers who serve as trusted adults to whom students can go when feeling depressed.

Sub-Objectives (process objectives) define in specific, measurable, and attainable terms what is to be accomplished to help achieve the outcome objectives. For skills-based health education, this means describing the activities and interventions that are to be implemented over a given period of time to influence knowledge, attitudes, skills, and other factors associated with the outcome objectives and, ultimately, the goal. For example, sub-objectives could include increasing knowledge about which factors constitute depression, or developing skills for negotiating alternatives to sex.

Figure 10. Outcome expectations for three levels of programing

LEVEL	TARGET	EXAMPLES OF STRATEGIES REQUIRED TO ACHIEVE TARGET GOALS
<p>GOAL Many strategies are required to achieve outcomes at this level.</p>	<p>Change in health outcome or health status: Reduction in HIV, STI, and teen pregnancy rates, reduction in teen suicide, reduction in drunk driving car crashes, increase in teens' eating according to national nutrition guidelines; etc.</p>	<p>Skills-based health education plus... Public and school-level policy, regulations and legal incentives, mass media campaigns, access to friendly services and needed supplies, school-community partnerships, etc.</p>
<p>OBJECTIVE Several strategies are needed to achieve these more complex and broader outcomes.</p>	<p>Reduce risk behaviours: Delay sex; increase contraceptive use; decrease consumption of alcohol, tobacco, and other drugs by young people; increase eating of balanced meals; decrease bullying at school, etc.</p>	<p>Skills-based health education plus... School policies, links to health and social services, a health-supporting school environment, school-community partnerships, etc.</p>
<p>SUB-OBJECTIVE Educational strategies are required to achieve these relatively specific, immediate outcomes.</p>	<p>Enhance knowledge, attitudes, and skills: Increased knowledge of transmission and prevention of HIV; peaceful solutions for resolving conflicts; components of a healthy diet; effects of alcohol, tobacco, and other drugs Enhanced attitudes regarding self-image and reduction of stigmatisation Improved skills, demonstrated via classroom activities, in abilities related to assertion, negotiation, decision-making, and values clarification applied to a specific issue such as HIV/AIDS, violence, or alcohol, tobacco, and drug use</p>	<p>Skills-based health education*, by well prepared and supportive teachers and facilitators.</p> <p><small>*Although it is possible to achieve sub-objectives with skills-based health education alone, it is always advisable to reinforce it with other strategies to maximize outcomes - such as supportive school policies, school health services and a supportive environment.</small></p>

6.4. ADVOCATING FOR YOUR PROGRAMME

A first step in putting a programme into action is gathering support and resources. To gain support, it may be necessary to advocate for the programme.

Policymakers need good reasons to increase support for any health or education effort. They must be able to justify their decisions. Advocacy is the art of influencing others to support an idea, principle, or programme.

An advocate for skills-based health education must convince school policy and decision-makers and communities that school-based efforts in support of it are appropriate and doable and that these efforts can help reach generally supported goals for young people. The goal is to convince decision-makers to take actions that invest in and strengthen school health programmes. Arguments about the importance and effectiveness of skills-based health education can be used as part of this advocacy effort (see Chapter 4 and this section, below).

Convincing people may be easier when the following two questions have been answered first: What factors cause one person to say yes to another person? and What techniques produce this result? While we cannot force people to think or act in a certain way, our ideas and knowledge can shape the environment of their thinking.

For example, the following six principles of persuasion can make a person want to say yes to another person:

- Commitment and consistency: Even small acts can gain commitment, and when people commit, they tend to behave in ways that are consistent with that commitment.
- Social proof: People often use information about how others behave to decide what to do.
- Scarcity: People are more likely to act if the opportunity to do so is available only once and there is a loss associated with not acting.
- Reciprocation: People usually try to repay, in kind, what another has given.
- Authority: People with titles and significant knowledge can exert a lot of influence.
- Liking: People prefer to say yes to requests from those they know or like. (Cialdini, 1993)

Applying these principles to advocating for skills-based health education requires that a presenter deliver a message to an audience. An effective presenter needs to be trustworthy, confident, clear, and attentive to the needs of the audience. It is important to find out whether, and at what level, the audience understands the issue, and whether they can do something about it. Effective messages to audiences have certain common qualities: They are simple, emphasising three key points and actions that the presenter wants to get across. They balance facts with emotion and human stories. They avoid jargon and complex data, and use specific examples, analogies, metaphors, one-liners, vivid language, and images that the audience can easily identify with (Vince Whitman, 2001).

Appendix 2 provides references to handbooks that can be useful in planning advocacy efforts.

In advocating for skills-based health education and life skills, it is not always obvious which arguments or approaches work best with which audiences. What seems obvious or appeals to health and education planners at first may not be the most persuasive argument for others. For example, the chief of police for a college campus in the United States reported that the college president and trustees were not persuaded to take action when presented with statistics on high rates of student drinking, vandalism, sexual assaults, and related car crashes. What did make a difference was the chief's report that

a very large number of students were dropping out on account of alcohol-related problems, creating an economic loss for the university (Mangrulkar et al., 2001).

Experience from the Field

Existing skills-based health education and life skills programmes in Latin American and Caribbean countries have yielded the following key lessons in advocacy, which can be helpful in guiding new initiatives:

- Strong advocacy requires clear arguments and a clear understanding of the life skills approach, adapted to a particular audience and setting.
- Data on local needs as well as the situation of children and adolescents (e.g., from Demographic Health Surveys) can be a powerful basis for advocacy and critical for determining programme objectives.
- Buy-in and involvement of local programme providers, from the initial needs assessment stage, is key to programme effectiveness and sustainability.
- Programme providers themselves have health needs that should be taken into account in programme implementation and can potentially be addressed through life skills programmes.
- Schools-based health education can serve as a unifying framework for the many competing and duplicative adolescent health programmes in a given setting.
- Support and technical assistance for curriculum development, which can involve either adapting pieces of existing curricula or developing original curricula, are needed at the regional or country level.
- Planning for all stages, from needs assessment through programme institutionalisation, is a key to sustainability.

6.5. EVALUATING SKILLS-BASED HEALTH EDUCATION

Evaluation is important to consider from the outset and throughout your programme. When you assess needs at the very beginning (conduct a situation analysis), set objectives, and plan activities (devise an action plan), you are laying the groundwork for evaluation. At the same time, you need a formal evaluation plan to track progress, and you need to be certain that your evaluation design is feasible to implement.

Comprehensive evaluation designs include both process evaluation and outcome evaluation. During the course of the implementation, process evaluation monitors the progress and provides feedback so that you can make adjustments or correct your programme where needed. Outcome evaluation assesses the results and impact of the interventions and determines if and to what extent the interventions were effective in achieving the desired objectives. The cycle then starts again, with the question of what further change or maintenance is desirable as a new goal.

6.5.1. PROCESS EVALUATION

Process evaluation answers questions about how the programme was conducted rather than what the programme achieved per se, and it monitors whether the programme has been implemented as planned. Two important dimensions are coverage and quality of the programme. Coverage assesses the extent to which the programme actually reaches the intended audience. Quality refers to the adequacy of training and satisfaction of stakeholders with training and delivery of the programme, but quality assurance should go much further. Process evaluation may include formative evaluation about teaching and training materials and sessions. This can provide insight for improving the programme and its outcomes. Process evaluation may also monitor changes in intermediate factors such as communication patterns, relationships, sources of information, social norms or norms among peers, changes in programme providers, and changes in connection to community, family, parent, or school.

Process evaluation is important for ensuring that the implementation is the same in all programme sites, and importantly, for providing evidence that the outcomes observed can truly be linked to the interventions, rather than to some other influence. Figure 11 provides samples of process indicators at the programme level.

Figure 11. Sample areas of questioning for process evaluation

Coverage: Is the intended audience being reached? Who is not reached?

- a) Is the programme being offered in all intended settings? E.g., schools?
 - % of schools offering programmes, formal and non-formal
- b) Is the programme reaching the intended audience of facilitators/teachers?
 - % of all teachers/facilitators trained
- c) Is the programme reaching the intended audience of children and young people?
 - % girls/boys (rural/urban; ethnic groups, other...)

Quality: Are facilitators/teachers implementing the programme according to quality standards?

Possible Programme Quality Standards

- Does the programme address relevant health and social issues?
- Are there objectives to influence behaviour?
- Is there a mix of knowledge, attitudes, and skills?
- Are participatory teaching and learning methods used?
- Is the programme participant-centred and gender-sensitive?
- Are policies in place to support the programme (e.g., teacher preparation, in-service and ongoing support)?
- Are related support services accessible to the audience/participants?
- Are stakeholders consulted? Involved?
- Are facilitators/teachers trained for this purpose?
- Are facilitators/teachers supported in the implementation phase?
- Are facilitators/teachers satisfied with the implementation of the programme?
- Are participants satisfied with the implementation of the programme?
- Is the programme of sufficient duration to achieve the desired objectives?
- Are relevant educational materials utilised (accurate, gender-sensitive, age-appropriate, accessible, language-appropriate, durable...)?

Figure 11. Sample areas of questioning for process evaluation (continued)

- Is the programme based on relevant, current, accurate information and methods?
- Are programme impact and process monitoring and evaluation in place?
- How much does the programme cost?

It is advisable to continue some level of process evaluation or monitoring throughout the life of a programme, even after it is mainstreamed, so that you can assess whether or not it remains on track, whether changes are needed over time, and whether the programme quality is maintained over time.

6.5.2. OUTCOME EVALUATION³⁴

Outcome evaluation assesses whether or not the programme has reached its objectives and whether what has been done has made a difference, especially in terms of affecting targeted behaviours and conditions and the knowledge, attitudes, and skills that are intended to influence them. Outcome evaluation is conducted to determine any impact or changes that have occurred over the time of an intervention. The first steps begin well before the intervention, including establishing some baseline or benchmark for comparison, and should continue well after implementation.

This kind of evaluation needs to be quite detailed, rigorous, and scientific and seeks to assess the size of the effect or change, often to “prove” that the strategies applied really work. Programmes that have already proven to be effective in achieving the desired skills or behaviour do not need a detailed outcome evaluation every time they are being implemented. Where resources such as time, personnel, and budget for evaluation may be scarce, it may be sufficient, and more feasible, to conduct a process rather than an outcome evaluation. Too often, programmes rush to study their impact on youth without fully understanding whether or how well implementation of the interventions occurred. However, establishing effectiveness is essential before attempting to scale up, and information from the process evaluation can be extremely useful in identifying possible barriers to replicating the intervention elsewhere or at greater scale.

Outcome evaluation questions include the following:

- To what degree have objectives been accomplished?
- To what extent have knowledge, attitudes, skills, and behaviour of students and staff been affected?
- Which specific interventions or components of our programmes work best? Which elements did not work?

The outcome indicators selected for the programme depend on the desired goals of the programme. Skills-based health education that is well implemented should be expected to affect changes in behaviours and conditions and related knowledge, attitudes, beliefs, and skills.

The impact of skills-based health education can be assessed at different levels, and it is essential that expectations set for the programme are a reasonable match for the strategies utilised. When implemented alone, skills-based health education is most likely to achieve outcomes at the first level (immediate); however, when implemented with

³⁴The terms “impact” and “outcome” sometimes refer to the shorter - and long-term changes, respectively. In this document, “outcome” includes both meanings.

increasingly more coordinated strategies, outcomes at level 2 (medium term) and 3 (longer term) can be expected. The three levels are as follows:

1. Immediate outcomes: development of knowledge, attitudes, and skills. This level is the main interest of facilitators or teachers in the classroom, although they will also have an interest in medium-term outcomes related to behaviour and conditions that are intended to be influenced.
2. Medium-term outcomes: changes or maintenance of targeted behaviour and conditions that will impact on goals. This level is the main interest of the skills-based health education coordinators or managers, although they will also have an interest in immediate outcomes.
3. Longer-term outcomes: reaching the programme goals, changes in health status, or social outcomes. This level is the main interest of policy- and decision-makers in government, although they will also have an interest in medium-term and immediate outcomes.

Figure 12 provides examples of questions at all three levels.

Figure 12. Sample areas of questioning for three levels of outcomes related to HIV/AIDS/STI prevention

LEVEL OF EVALUATION	OUTCOMES
<p>Level 1. Immediate Outcomes: Knowledge, attitudes, and skills (session or classroom level)</p> <p>- Assessed by the facilitator/teacher when the educational activities are completed, or very soon after.</p> <p>*The term "attitudes" is used here to encompass a wide range of beliefs; feelings about self (e.g., confidence) and others (e.g., discrimination); values; thoughts; and social, religious, and cultural tenets, morals, and ethics.</p>	<p>Learning Outcomes Knowledge: Have students learned that ...</p> <ul style="list-style-type: none"> • HIV is a virus some people have acquired? • HIV is difficult to contract and cannot be transmitted by casual contact? • people can be HIV-infected for years without showing symptoms of this infection? <p>Have they learned ...</p> <ul style="list-style-type: none"> • how HIV is transmitted and not transmitted? • the difference between HIV and AIDS? • which behaviours place individuals at increased risk for contracting HIV infection? • what preventive measures can reduce risk of HIV, STI, and unintended pregnancies? • how to obtain testing and counselling to determine HIV status? <p>Attitudes:* Do students demonstrate ...</p> <ul style="list-style-type: none"> • acceptance, not fear, of people with HIV and AIDS? • understanding of gender roles and sexual differences? • empathy with others? • understanding of duty in regard to self and others? • commitment to setting ethical, moral, and behavioural standards for themselves? • a positive self-image by defining positive personal qualities and accepting positively the bodily changes that occur during puberty? • willingness to take responsibility for their own behaviour?

Figure 12 provides examples of questions at all three levels (continued)

LEVEL OF EVALUATION	OUTCOMES
<p>*The term "skills" is used here to refer to life skills, psychosocial and interpersonal skills that can be applied to AIDS prevention and related issues. These skills are important because they can facilitate and may lead to behaviour change when supported in comprehensive ways.</p>	<ul style="list-style-type: none"> • an understanding of how their family values support behaviours or beliefs that can prevent HIV infection? • concern for social issues and their relevance to social, cultural, familial, and personal ideals? • understanding of discrepancies in moral codes in their society? • a realistic risk perception? • encouragement of peers, siblings, and family members to take part in HIV prevention activities? <p>Skills*: Are students confident they are able to ...</p> <ul style="list-style-type: none"> • acquire practical and positive methods for dealing with emotions and stress? • actively seek out information and services related to reproductive and sexual health services, and substance use that are relevant to their health and well-being, including identifying a responsible adult or peer? • use critical thinking skills to analyse complex situations that require decisions from a variety of alternatives? • use problem-solving skills to identify a range of decisions and their consequences in relation to health issues that are experienced by young people? • discuss sexual behaviour and other personal issues with confidence and positive self-esteem? • communicate clearly and effectively a desire to delay initiation of intercourse (e.g., negotiation, assertiveness)? • assess risk and negotiate for less risky alternatives? • appropriately use health products (e.g., condoms)? <p>(Examples in this section are adapted from WHO, 1999, pp. 19-21.)</p>
<p>Level 2 Medium-term Outcomes: Behavioural Level</p> <p>- Assessed a short time after intervention.</p> <p>- It is assumed that achievement of the outcomes of Level 1 will lead to achievements at this level.</p>	<p>Behavioural Outcomes</p> <ul style="list-style-type: none"> • Was a condom used at last sex? • Has the number of sex partners decreased? • Is age at first sex increasing? (Is the partner low risk? What is the age difference between partners?) • Is intravenous drug use decreasing? • Are more intravenous drug users cleaning needles? • Are fewer intravenous drug users sharing needles? • Are participants (and others) affected by HIV/AIDS treated as well as others are treated? • Are more pregnant girls/young women who are at risk receiving prenatal testing and treatment?

Figure 12 provides examples of questions at all three levels (continued)

LEVEL OF EVALUATION	OUTCOMES
<p>Level 3. Long-term Outcomes: Social Health Epidemiology Level Long-term health and social outcomes.</p>	<p>Health and Social Outcomes</p> <ul style="list-style-type: none"> • Are STIs decreasing? (Is the average duration of STI decreasing? Are health services accessed more/earlier)? • Is age of first pregnancy rising? • Is age of first marriage rising? • Are rates of HIV infection decreasing? • Are those affected by HIV/AIDS healthier? Living longer than before? • Is mental health improved (e.g., self-esteem, self-confidence, outlook, connectedness/sense of community)? • Is drug addiction decreasing? • Are more children who are affected by HIV/AIDS staying at school?

The following case study points to the common practices and shortcomings of evaluation designs.

CASE STUDY

For twelve school health evaluation studies in Europe, the outcome evaluations included measures of behaviour, knowledge, and attitudes. In recent years, more studies included measurement of normative beliefs (social influence), self-efficacy expectations, and expectations regarding future performance (intention). Most evaluations used self-reported data (questionnaires). Physical examinations and biomedical measures were used as a reliability check for self-reported data. Most of the interventions to which these measures were applied targeted secondary school students, and all were in the form of classroom-based activities, sometimes combined with parental involvement or community interventions. The health issues addressed included smoking, drug use, obesity, dental health, AIDS, and general health. Most programmes produced changes in knowledge and some behavioural effects, but long-term effects were not assessed or could not be found in most studies. Analysis across these studies suggests that improvements could be made in evaluation by developing more rigorous evaluation designs; increasing the number of subjects in the studies; including long-term outcome assessment tools such as behavioural measures; establishing clear measurement procedures; and ensuring the inclusion of process measures, such as the monitoring of classroom factors and assessing whether the programme was implemented as intended.

(From Peters, L. & Paulussen, T. (1994). *School health - a review of the effectiveness of health education and health promotion*. Utrecht: Dutch Centre for Health Promotion and Health Education. As cited by Hubley, J. (2000). *School Health Promotion in Developing Countries: A literature review*. Leeds, UK: John Hubley.)

For further information on evaluation design, please refer to Appendix 2.

6.5.3. ASSESSING SKILLS-BASED HEALTH EDUCATION AND LIFE SKILLS IN THE CLASSROOM

This section illustrates that assessing skills-based health education can be a normal part of what education systems do, and that life skills can be assessed in the classroom.

The preceding section focused on measuring behavioural outcomes as an outcome or result of programmes over time. While large-scale surveys may be useful for measuring these medium-term outcomes across schools, regions, or countries, other levels of evaluation can offer more detail. In the school setting, assessment is a regular part of following student progress through education systems, and many techniques implemented at the classroom level can complement larger-scale surveys. Just as the skill of high jumping in a physical education class or bandaging in a first aid class can be assessed against criteria, so too can life skills such as assertion, negotiation, or cooperation be assessed. In addition, by matching a detailed level of feedback on knowledge, attitudes, and skills with data on behaviour patterns, it is possible to gain a better understanding of which aspects of the programme are working well and which could be improved.

The classroom is an ideal setting for skills-based health education, including life skills. It offers a relatively safe environment in which the application of information and the development of attitudes and skills can be explored, observed, and assessed using role plays, discussions, simulations, and other exercises.

PAPER-AND-PENCIL ASSESSMENTS

Knowledge, attitude, and skill levels can be self-assessed (by peers or students) or assessed by teachers, other facilitators, parents, and other community members. Paper-and-pencil assessments include worksheets, tests, quizzes, and homework assignments. They may include **forced-choice** items like the following:

- multiple choice
- matching
- alternate choice
- true-false
- multiple responses
- fill-in-the-blanks
- scales

(From UNICEF/CARICOM, 2001.)

Ranked or forced-choice questions require the student to rank or choose statements according to appeal or some other priority. An item could ask for a simple ranking from high to low (e.g., How important do you think it is to have drug-free environments at school?) Scales require students to choose from a point on a scale that corresponds to the student's answer to a question. A student may be asked to answer yes or no to a question (a two-point scale) or indicate the degree of agreement (a five-point scale). (From Annette Wiltshire for the Trainer of Trainers Workshop Facilitators Programme, CARICOM HFLE Project, May 2000.)

Formalised paper-and-pencil assessments include the Social Skills Rating System (SSRS) (Gresham and Elliot, 1990), which is one of many different rating scales that have been used to assess students' social skills, including cooperation, assertion, empathy, and self-control, by self-report as well as through teachers and parents. Social and emotional adjustment can be measured through many different scales, including the Survey of

Adaptational Tasks of Middle School (Elias et al., 1992). This survey asks teachers, parents, and students about adjustment in middle school (generally ages 10 to 14 in the United States). Another scale is the Self-Perception Profile for Children, which measures children's perceptions of personal competency (Harter, 1985). In the area of violence prevention, a number of self-report measures assess the attitudes and knowledge of adolescents about violence. For example, the Beliefs Supporting Aggression Scale (Slaby & Guerra, 1988) measures normative beliefs about aggression, and the Attitude Towards Conflict scale (Lam, 1989) measures how young people feel about different methods for resolving conflicts.

In addition to forced-choice assessments, paper-and-pencil assessment may include essays or short written responses. Through **essays** students relate what they know about content and demonstrate their ability to think and reason, by making an argument, coming to conclusions, or problem-solving. Essays are also useful for assessing strength and clarity of written communication skills. Short written responses are like mini-essays, in which students respond to requests such as "In one or two sentences describe..." or "Briefly respond to the following..." Responses are used to assess student understanding of content, and to some degree provide insight about thinking and reasoning skills (UNICEF/CARICOM, 2001).

ALTERNATIVE ASSESSMENT METHODS

Pen-and-paper methods are not always useful for assessing the affective domain, such as feelings, attitudes, beliefs, and values or skills like assertiveness, refusal skills, locus of control, decision making, and problem-solving. Creative ways of assessing skills include a range of collaborative methods, such as peer feedback on a performance, group assessment of a demonstration or of a role play against a set of predetermined criteria, or community-based projects or internships.

In some cases a multifaceted assessment system, composed of a variety of assessment methods, might be appropriate, especially for assessing skills, which by definition are best understood by demonstration. A multi-faceted assessment may include the following:

- Exhibitions
- Laboratory performance
- Essays
- Journals
- Short answer items
- Multiple choice items
- Projects
- Portfolios
- Interviews
- Papers
- Concept mapping
- Systematic observation
- Long-term investigation
- Manipulative skills

(From VISMT-Vermont Institute of Science, Mathematics and Technology, cited in UNICEF/CARICOM, 2001.)

Some alternative methods of evaluating combined learning outcomes around knowledge, attitudes, and skills are briefly described below.

Observation - Teachers directly observe their students every day in a variety of settings, under all types of conditions. Observation permits immediate, on-the-spot assessment of behaviour, such as cooperation. Daily observation (e.g., a teacher log) over an extended period permits more direct, more reliable references about patterns of behaviour than data from a single administration of a written instrument; however, it is more time-consuming. Observations produce most consistent assessments where standards-based or criterion-based checklists or feedback forms accompany the observations.

Interview - The informal interview is a variation of teacher observation. The teacher asks the student a series of probing questions to assess what the student knows and understands and how the student feels and behaves in regard to relevant health issues. For this face-to-face encounter, the teacher needs to have carefully developed questions in a structured or unstructured format. For dealing with sensitive content such as sexual behaviour, drug taking, or other risk behaviour, experience shows that someone other than the regular teacher, preferably someone from outside the school, can conduct a more effective interview. The interviewer needs to ensure that the answers will be kept confidential.

Peer observations - Students can learn to observe and give feedback to fellow students as they make presentations or engage in role plays or discussions. Peer observers must know what is expected of them as observers and what is expected of students they are observing.

Student self-assessment - This assessment comes directly from the student. As students carry out the self-assessment process, they reflect on their work and develop new learning goals.

Oral presentations and reports - Through oral presentations, students can organise what they know about content and demonstrate their ability to think and reason. This format also enables students to demonstrate various aspects of their communication skills. To some degree, plays, skits, role plays, speeches, and debates can be considered variations of oral presentations and reports.

Portfolio - A portfolio is a collection or showcase of examples of a person's best work in a particular field. Portfolios have the advantage of containing students' work (product) over a period of time and their reflections (process) about doing the work. Portfolios can provide evidence of students' increased knowledge and skills and can document their progress as a learner.

Unobtrusive Technique - This is a related observational technique that may include a review of school records, library checkouts, attendance records, student copybooks/notebooks, and physical evidence such as voluntary seating arrangements. It requires ingenuity and creativity on the part of the teacher.

(From UNICEF/CARICOM, 2001, and Annette Wiltshire for the Trainer of Trainers Workshop Facilitators Programme, CARICOM HFLE Project, May 2000.)

The following documents can be downloaded or ordered from the World Health Organisation, Department of Noncommunicable Disease Prevention and Health Promotion, 20 Avenue Appia, 1211 Geneva 27, Switzerland, ph. +41-22-791-2582 or 3581; or on-line at <http://www.who.int/school-youth-health/>

Local Action: Creating Health-Promoting Schools, WHO/SCHOOL/98.7, published in 2000 jointly by WHO, UNESCO, and EDC, helps individuals working at the local level to plan, implement, and evaluate efforts to improve health through schools. It provides practical guidance, tools, and tips from schools around the world. It offers suggestions about how school administrators, teachers, students, parents, and community members can work together to implement the four components of an effective school health programme: (1) school health policies; (2) safe water and sanitation as first steps in creating a healthy school environment, (3) skills-based health education, and (4) school health and nutrition services, as called for by WHO, UNICEF, UNESCO, and the World Bank in their joint initiative to Focus Resources on Effective School Health (FRESH).

Preventing HIV/AIDS/STI and Related Discrimination: An important responsibility of a Health-Promoting School, WHO/SCHOOL/98.6, published in 1999 jointly by WHO, UNESCO, UNAIDS, and Education International to help individuals advocate for and implement HIV/AIDS/STI prevention through schools. It describes strong arguments for addressing HIV/AIDS/STI prevention through schools; concepts and qualities of a Health-Promoting School; and specific ways in which schools can use their full organisational capacity to prevent HIV infection. The document describes how each of the four components of FRESH can be used to prevent HIV/AIDS/STI.

Tobacco Use Prevention: An important responsibility of a Health-Promoting school, WHO/SCHOOL/98.5, published in 1999 jointly by WHO, UNESCO, and Education International to help individuals advocate for and implement tobacco use prevention efforts through schools. It describes strong arguments for addressing tobacco use prevention through schools; concepts and qualities of a Health-Promoting School; and specific ways in which schools can use their full organisational capacity to prevent tobacco use. The document describes how each of the four components of FRESH can be used to prevent tobacco use.

Violence Prevention: An important element of a Health-Promoting School, WHO/School/98.3, published in 1999 jointly by WHO, UNESCO, and Education International to help individuals advocate for and implement violence prevention efforts through schools. It describes strong arguments for initiating efforts to address violence prevention through schools; concepts and qualities of a Health-Promoting School; and specific ways in which schools can begin to use their organisational capacity to prevent violence.

Healthy Nutrition: An essential element of a Health-Promoting School, WHO/SCHOOL/98.4, published in 1998 jointly by WHO, FAO, and Education International to help individuals advocate for and implement efforts to promote healthy nutrition through schools. It describes strong arguments for initiating efforts to address nutrition and healthy eating behaviour; concepts and qualities of a Health-Promoting School; and specific ways in which schools can use their organisational capacity to improve nutrition among young people, school personnel, and families. The document describes how each of the four components of FRESH can be used to improve dietary practices.

Strengthening Interventions to Reduce Helminth Infections: An entry point for the development of Health-Promoting Schools, WHO/SCHOOL/96.1, published in 1996 by WHO to help ministries of health and education establish policies, provide skills-based health education, create a healthy environment, and provide school health services that reduce helminth infections among students, their families, and the community. The document describes how each of the four components of FRESH can be used to prevent helminth infections.

Creating an Environment for Emotional and Social Well-being: An important responsibility of a Health-Promoting and Child Friendly School, to be published in 2003 jointly by WHO and UNICEF to help school personnel assess the extent to which their school environment supports emotional and social well-being. The document contains a checklist and scoring instructions to help school personnel identify environmental qualities that support emotional and social well-being among students and school personnel. The document helps school personnel to determine the extent to which those qualities exist in their own school.

Sun Protection: An essential element of a Health-Promoting School, WHO/NPH/02.6, published in 2002 jointly by WHO/PHE, WHO/NPH, and UNESCO to help school personnel assess the extent to which their school environment informs students and staff about the harmful effects of the sun and enables them to protect themselves from these effects.

Alcohol Abuse Prevention: An important element of a Health-Promoting School, to be published in 2003 jointly by WHO/MNH and WHO/NPH to help schools use the four basic components of FRESH to prevent the abuse of alcohol by students.

Active Living: An essential element of a Health-Promoting School, to be published in 2003 by WHO to help individuals advocate for and implement efforts to promote active living (physical activity, sports and recreation) through schools. It describes strong arguments for addressing active living; concepts and qualities of a Health-Promoting School; and specific ways in which schools can use their full organisational capacity to promote active living among students and school personnel.

Model School Tobacco Control Intervention, to be published in 2004 jointly by WHO/NPH and WHO/TFI to help schools implement school tobacco control programmes that are sharply distinguished from tobacco industry programmes and that engage youth in global, national, and local efforts to prevent tobacco use. The document places strong emphasis on actions that students can take to support the WHO Framework Convention on Tobacco Control.

Creating a Health Supportive School Environment: An important responsibility of a Health-Promoting School, to be published in 2003 jointly by WHO/PHE and WHO/NPH to help school officials create a safe and secure environment for students and school personnel, and to engage students in efforts to create a safer and healthier environment for all.

Family Life, Reproductive Health and Population Education: Important responsibilities of a Health-Promoting School, to be published in 2003 jointly by WHO/NPH, WHO/RHR, UNESCO, and EDC to help school officials address the controversies and problems inherent in school-based efforts that deal with these issues. It will help officials work with community members to decide on the most appropriate ways to educate students about these issues.

ADVOCACY

Communication and Advocacy Strategies: Adolescent Reproductive and Sexual Health. Booklet 2: Advocacy and IEC Programmes and Strategies. Booklet 3: Lessons Learned and Guidelines (2001), co-published by UNESCO and UNFPA, available from UNESCO Principal Regional Office for Asia and the Pacific, P.O. Box 967, Prakanong Post Office, Bangkok 10110, Thailand. Booklet 2 includes advocacy strategies such as generating interest and commitment of decision-makers, winning the support of various sectors, and developing recommendations and other documents. Booklet 3 summarises lessons learned for advocacy and communications as well as a discussion of factors that help and hinder in advocacy.

After Cairo: A Handbook on Advocacy for Women Leaders (1994), available from the Centre for Development and Population Activities (CEDPA), 1717 Massachusetts Ave. NW, Suite 200, Washington, DC 20036, USA. This handbook describes how to plan and implement strategies for advocacy in the following chapters: "Planning for Advocacy," "Taking Your Message to the Public," "Forging Alliances," "Advocating for Resources," and "Advocacy Profiles."

TB Advocacy: A Practical Guide (1998), WHO/TB/98.239, available from the Global Tuberculosis Programme at the World Health Organisation, Geneva, Switzerland. Even though it is written from the perspective of a different topic, this practical handbook contains useful step-by-step information for planning advocacy efforts: documenting the conditions, packaging the message, working with the media, and mobilising others.

Why should we invest in adolescents, by Martha Burt (1996), published by the Pan American Health Organisation (PAHO) (1998), Washington, DC. This document, which focuses on Latin America and the Caribbean, makes a case for the importance of investing health and other supportive resources in the lives of adolescents in order to strengthen future health outcomes and productivity. It provides a framework for working with adults, reviews the circumstances and needs of Latin American and Caribbean youth, discusses expected payoffs from investing in activities that promote adolescent health, and offers recommendations for shaping and targeting investments in adolescents.

Communications Briefings: 101 Ways to Influence People on the Job (1998), published by Briefings Publishing Group, 1101 King Street, Suite 110, Alexandria, VA 22314, USA. This is a practical guide on how to influence people. It gives guidance on the role of the influencer, messages, and audience, and includes tactics for how to persuade others, especially in workplace settings.

Influence: The Psychology of Persuasion (1993), by Robert B. Cialdini, published by William Morrow, New York City. This book explains the six psychological principles that drive our powerful impulse to comply to the pressures of others and shows how we can put the principles to work in our own interest and defend ourselves against manipulation.

PLANNING AND EVALUATION

Coming of Age: From Facts to Action for Adolescent Sexual & Reproductive Health, WHO/FRH/ADH/97.18, WHO (1997), available from Adolescent Health & Development Programme, Family & Reproductive Health, World Health Organisation, Geneva, Switzerland. This manual includes steps for planning, conducting, and using a situation

analysis specifically for adolescent sexual and reproductive health. Steps for conducting the analysis include collecting existing information; collecting new information; managing collected information; analysing collected information and data; and drawing conclusions.

Tips for Developing Life Skills Curricula for HIV Prevention Among African Youth: A Synthesis of Emerging Lessons. Technical Paper No. 115 (2002), published by the U.S. Agency for International Development, Bureau for Africa, Office of Sustainable Development. For information or copies, contact the Africa Bureau Information Center, 1331 Pennsylvania Avenue NW, Suite 1425, Washington, DC 20004-1703; or e-mail to abic@dis.csdi.org. This document offers practical guidance for people who are planning, implementing, or strengthening skills-based health education and life skills curricula for young people in sub-Saharan Africa. Section I provides background information on the issues of adolescent sexuality and vulnerability as well as implementation of HIV prevention with young people. Section II offers practical tips for implementing life skills programmes for young people, divided into "Tips for Planners," "Tips for Curriculum Designers," "Tips for Teacher Trainers and Head Teachers," and "Tips for Administrators." Section III is a bibliography of the documents reviewed, and Annex A contains a list of example life skills curricula and contact information.

Getting to Scale in Young Adult Reproductive Health Programmes (2000), published by FOCUS on Young Adults, available through Pathfinder International, 9 Galen Street, Watertown, MA 02472, phone: 1-617-924-7200; fax: 1-617-924-2833; <http://www.pathfind.org/focus.htm>. This document describes four models of scaling up and presents four specific examples from different countries as well as key ideas and lessons learned. This is complemented by a section with practical tools that includes ten worksheets to help managers scale up young adult reproductive health programmes.

Learning to Live: Monitoring and Evaluating HIV/AIDS Programmes for Young People, by Webb, D. & Elliott, L. in collaboration with the UK Department for International Development and UNAIDS, published by Save the Children Fund, UK (2000). Available from: Save the Children UK, 17 Grove Lane, London SE5 8RD UK; phone: 00 44 20 7703 5400; fax: 00 44 20 7793 7626. This is a practical guide to developing, monitoring, and evaluating practise in HIV/AIDS-related programmes for young people, based on the experiences of projects around the world. It focuses on recent learning from work with young people in: peer education; school-based education; and clinic-based service delivery working especially vulnerable children and children affected by HIV/AIDS. Offers examples of good practise throughout.

A Guide to Monitoring and Evaluating Adolescent Reproductive Health Programmes(2000), published by FOCUS on Young Adults, available through Pathfinder International, 9 Galen Street, Watertown, MA 02472, phone: 1-617-924-7200; fax 1-617-924-2833; <http://www.pathfind.org/focus.htm>. Also available in Spanish: FOCUS on Young Adults/Pan American Health Organization (2002). Manual de monitoreo y evaluación. Washington, DC. The document can be viewed at <http://www.paho.org/Spanish/HPP/HPPF/ADOL/monitoreo.htm>. This 450-page document is a how-to of monitoring and evaluation. It explains how to develop and monitor an evaluation plan and covers indicators, evaluation design and sampling, and data collection and analysis. It also contains 15 different instruments and questionnaires that can be adapted to particular monitoring and evaluation needs.

APPENDIX 3: SELECTED SKILLS-BASED HEALTH EDUCATION INTERVENTIONS

TARGET/COUNTRY/ REFERENCE	INTERVENTION METHODOLOGY	EVALUATION METHOD	IMPACT ACHIEVED
<p>Adolescents attending ten secondary schools in two districts in Namibia</p> <p>Fitzgerald, A. M., Stanton, B. F., Terreri, N., Shipena, H., Li, X., Kahihuata, J., Ricardo I.B., Galbraith, J. S., and DeJaeger, A. M. (1999). Use of Western-based HIV risk-reduction interventions targeting adolescents in an African setting. <i>Journal of Adolescent Health</i> 25, 52-61. Reference ID: 8586.</p>	<p>Content: The programme consisted of 14 two-hour sessions over seven weeks which focused on basic facts about reproduction and risk behaviours such as alcohol, drug abuse, and violence.</p> <p>Skills: The sessions were derived from protective motivation theory and emphasised communication and decision-making skills.</p> <p>Participatory methods: The sessions were facilitated during after-school hours by a volunteer teacher and an out-of-school youth (either a student teacher or a youth who had completed grade 12) in a classroom to groups of 15 to 20 mixed-gender students.</p>	<p>Pupils were asked to volunteer for study. Eighty percent agreed; 515 youth (median age 17 years; median grade 11) were given a baseline self-completed questionnaire and randomly assigned to the control or intervention group. A follow-up questionnaire was given immediately after the intervention. The questionnaire measured knowledge, attitudes, intentions, and HIV risk behaviours. Following the post-intervention questionnaire, controls were given the intervention.</p>	<p>Knowledge increased significantly among intervention compared to control youth (88% versus 82%; correct responses, $p < 0.0001$). At post-intervention follow-up, more intervention than control youth believed that they could be intimate without having sex ($p < 0.05$), could have a girlfriend or boyfriend for a long time without having sex ($p < 0.01$), could explain the process of impregnation ($p < 0.05$), knew how to use a condom ($p < 0.0001$) and could ask for condoms in a clinic ($p < 0.05$). Fewer intervention than control youth believed that if a girl refused to have sex with her boyfriend it was permissible for him to strike her ($p < 0.01$) and that condoms took away a boy's pleasure. More intervention than control youth anticipated using a condom when they did have sex ($p < 0.05$), and fewer expected to drink alcohol ($p < 0.05$). Finally, after intervention, there was a trend for increased condom use (but not significant). There were significant gender-related differences at baseline, although the intervention method had similar impact on both sexes.</p>

TARGET/COUNTRY/ REFERENCE	INTERVENTION METHODOLOGY	EVALUATION METHOD	IMPACT ACHIEVED
<p>80,000 pupils in 800 secondary schools in KwaZulu, South Africa</p> <p>Harvey, B., Stuart, J., & Swan, T. (2000). Evaluation of a drama-in-education programme to increase AIDS awareness in South African high schools: A randomised community intervention trial. <i>Int. J. STD AIDS</i> 11, 105-111. Reference ID: 8726.</p>	<p>Content: HIV/AIDS prevention</p> <p>Skills: Communication and decision-making skills.</p> <p>Participatory methods: During the first phase, teams composed of qualified teachers/actors and nurses presented a play incorporating issues surrounding HIV and AIDS. The second stage involved team members running drama workshops in the schools, with teachers and students using participatory techniques such as role play. The programme ended with a "school open day" focusing on HIV and AIDS through drama, song, dance, poetry, and posters all prepared and presented by the students.</p>	<p>Two schools separated by more than 10 km in each of five districts (four rural and one urban) were selected to be intervention (receiving the drama programme) and control schools (receiving a 10 page booklet on AIDS). A self-completed questionnaire was given to the same standard 8 class pupils before (n=1080) and 6 months later after the intervention (n=699) –mean age 18,3 in range 13-25 years. The questionnaire included sections on knowledge about HIV/AIDS, attitudes relating to personal susceptibility, immediacy of threat and perceived severity, attitudes toward people with AIDS, self-efficacy and reported behaviour, including whether have had sex, condom use, and number of partners.</p>	<p>There was a greater increase (p<0.0002) in mean percentage score on attitudes relating to HIV/AIDS; increased from 38.1 (n=491) to 50.5 (n=305) in intervention schools compared with the control schools (50.0, n=585 to 51.8, n=394). There was also a greater increase (p<0.0000) in mean percentage score on attitudes with the intervention schools (38.1, n=491 before and 50.5, n=305 afterwards) compared with the control schools (40.5, n=586 and 40.3, n=392). There was a slightly higher behaviour change among the sexually active students in the intervention group, but the increase was significant only for increased condom use (p<0.01). There was no evidence of an increase in sexual activity as a result of the educational programme. The main limitations in this study, which the authors noted, were the lack of linking of pre- and post-test (because the questionnaires were anonymous), the use of outcomes based on self-reporting, and the loss of pupils from the original pre-test sample. However, it is important to note that the achievements measured had been sustained over the six-month period between pre-and post-test, showing that the intervention had achieved more than merely short-term improvements.</p>

TARGET/COUNTRY/ REFERENCE	INTERVENTION METHODOLOGY	EVALUATION METHOD	IMPACT ACHIEVED
<p>Primary schools in Soroti district of Uganda</p> <p>Shuey, D. A., Babishangire, B. B., Omiat, S., & Bagarukayo, H. (1999). Increased sexual abstinence among in-school adolescents as a result of school health education in Soroti district, Uganda. <i>Health Education Research: Theory and Practice</i> 14, 411-419. Reference ID: 8437.</p>	<p>Content: School health curriculum with AIDS prevention.</p> <p>Skills: Decision-making skills.</p> <p>Participatory methods: Formation and meetings of school health clubs, application of child-to-child health education techniques (peer education), and competitions in plays, essays, poems, and songs on health-related issues.</p>	<p>A cross-sectional sample of ten students (five boys/five girls) per school, average age 14 years, in their final year of primary school, was drawn from 38 randomly selected schools. They were given a self-completed questionnaire in English (but questions were explained in local language). The questionnaire was given to a similar sample of children after two years of interventions.</p>	<p>The percentage of students who stated they had been sexually active fell from 42.9% (123 of 287) to 11.1% (31 of 280) in the intervention group ($p < 0.001$), while no significant change was recorded in a control group. The changes remained significant when segregated by gender or rural and urban location. Students in the intervention group tended to speak to peers and teachers more often about sexual matters ($p = 0.34$). Increases in reasons given by students for abstaining from sex over the study period were associated with a rational decision-making model rather than fear of punishment. The project had aimed to achieve sustainability through working through the existing structures and only employed one additional full-time person.</p>
<p>Egyptian primary school children</p> <p>Kotb, M., Al-Teheawy, M., El-Setouhy, M., & Hussein, H. (1998). Evaluation of a school-based health education model in schistosomiasis: A randomized community trial. <i>Eastern Mediterranean Health Journal</i> 4, 265-275. Reference ID: 8384.</p>	<p>Content: Health education consisted of three modules presented over three days, covering the risks from contaminated water, the life cycle of schistosomiasis, and the nature and importance of preventive health behaviours.</p> <p>Skills: Skills for preventive health behaviour, including screening.</p> <p>Participatory Methods: The methods included health talks, stories, case histories, role-plays, and drama.</p>	<p>A randomized community trial of three pairs of comparable schools in rural areas was implemented. One school in each pair received screening, treatment, and health education, whereas the other received treatment and screening only. A baseline study was carried out on 422 and 378 children from three intervention and three control schools, respectively. The first post-intervention survey was carried out one month after the health education programme on 212 children in the intervention schools. A second post-intervention survey was carried one year after the intervention with 394 and 360 children in the intervention and control schools.</p>	<p>The study revealed a significant improvement in knowledge and attitudes as well as a reduction of schistosomiasis one year post-intervention in the intervention schools of pairs 1 and 2 ($p < 0.05$). However, the improvements in knowledge in the intervention school of pair 3 were not accompanied by significant changes in attitude or schistosomiasis infection.</p>

TARGET/COUNTRY/ REFERENCE	INTERVENTION METHODOLOGY	EVALUATION METHOD	IMPACT ACHIEVED
<p>Schoolchildren in Brazil</p> <p>Albandar, J. M., Buischi, Y. A., Oliveira, L. B., & Axelsson, P. (1995). Lack of effect of oral hygiene training on periodontal disease progression over 3 years in adolescents. <i>Journal of Periodontology</i> 66, 255-260. Reference ID: 6135.</p>	<p>Content: Two oral hygiene training programmes for the control of plaque and the prevention of gingival inflammation in adolescents were evaluated. The first group received a comprehensive programme based on individual needs that included information sessions pertaining to the etiology and prevention of dental diseases.</p> <p>Skills: Self-diagnosis and oral hygiene skills.</p> <p>Participatory methods: Skills training. In addition, an information session was arranged for parents and teachers of these children.</p>	<p>A population of 227 Brazilian schoolchildren was examined clinically at baseline and annually over the next three years (1984-1987) to assess plaque and gingival bleeding. The data were analysed by a multi-level variance component analysis and divided into three groups: controls (n=76), test 1 (n=79), test 2 (n=72); 4% of the sample left the programme.</p>	<p>All children showed a perpetual improvement in their oral hygiene and gingival state during the course of the study. The improvements observed in the comprehensive group were significantly better than those of the control group. Results from the less comprehensive group did not differ significantly from those of the control group. Longer exposure to the programmes appeared to produce more improvement; children with higher plaque and gingivitis scores prior to the programme showed less favourable results; girls exhibited better results than boys. The impact at the end of three years was greater than after one year, showing importance of duration. More impact was obtained with girls.</p>
<p>Primary school children in Tanzania</p> <p>Nyandindi, U., Milen, A., Palin-Palokas, T., & Robison, V. Impact of oral health education on primary school children before and after teachers' training in Tanzania. <i>Health Promotion International</i> 11(3):193-201, 1996. Reference ID: 4160.</p>	<p>Content: Modified oral health education and teacher training workshops were carried out in one district by a dental team in liaison with school administrators.</p> <p>Skills: Tooth-brushing skills; making dietary choices.</p> <p>Participatory methods: Pupils actively studied the concepts and practical skills for dietary choices and tooth brushing.</p>	<p>The impact of the sessions was assessed in terms of changes in the pupils' oral health knowledge, attitudes, and practices. Three random samples, each with 300 pupils, including conventional and modified session groups and a reference group not given oral health education at school, were interviewed and examined</p>	<p>The group that received modified oral health education had better knowledge of oral health (p<0.001), reported reduced consumption of sugary foods (p<0.01) and increased self-reported tooth brushing frequency (p<0.001), and had better "mswaki" (chewing stick)-making skills (p<0.001) and slightly improved oral hygiene; in comparison with the referents. The group with conventional oral health education had better oral health knowledge, but their practices were no better than the referents'.</p>

TARGET/COUNTRY/ REFERENCE	INTERVENTION METHODOLOGY	EVALUATION METHOD	IMPACT ACHIEVED
<p>Female student teachers in Zimbabwe</p> <p>Wilson, D., Mparadzi, A., & Lavelle, E. (1992). An experimental comparison of two AIDS prevention interventions among young Zimbabweans. <i>Journal of Social Psychology</i>, 132(3), 415–417.</p>	<p>Skills-based AIDS intervention</p> <p>Content: HIV/AIDS and sexual health.</p> <p>Skills: Focus on relationship skills and condom use.</p> <p>Participatory methods: One group experienced a passive lecture on the topic, and the other experienced interactive group work.</p>	<p>Comparison between lecture and interactive group on knowledge and skills before and after the interventions.</p>	<p>Female student teachers who participated in skills-based AIDS intervention were more knowledgeable about condoms and their correct use, had a higher sense of self-efficacy, perceived fewer barriers, and reported fewer sexual partners four months after the intervention than their colleagues who participated in a lecture. The researchers concluded that interactive teaching methods are “better than lectures at increasing condom use and confidence in using condoms and at reducing the number of sexual partners.”</p>
<p>6,000 students from 56 schools in the United States</p> <p>Several studies by Botvin, G. J.; See http://www.lifeskillstraining.com/LST1.html and http://www.cdc.gov/nccd/php/dash/rtc/eval6.htm</p> <p>Contact information: National Health Promotion Associates, Inc., 141 S. Central Ave. Suite 208, Hartsdale, NY 10530; USA tel. +1-914-421-2525 or 1-800-293-4969; fax +1-914-683-6998</p>	<p>Content: Substance abuse prevention/competency enhancement programme designed to focus primarily on the major social and psychological factors promoting substance abuse. It consists of 15 classes that can be implemented in the first year of middle school. It also includes ten and five booster sessions for the following two consecutive years, respectively.</p> <p>Skills: Skills include resisting social (peer) pressure to smoke, drink, and use drugs; coping with social anxiety and anger; decision-making skills; communication skills; and social skills.</p> <p>Participatory methods: The curriculum is based on a person-environment interactionist model that assumes there are multiple pathways leading to</p>	<p>Students were randomly assigned either to receive the Life Skills Training (LST) programme (treatment condition) or the control condition. The study began when the students were in the seventh grade and continued in the eighth and ninth grades with LST booster sessions. Tobacco, alcohol, and other drug use, as well as other factors associated with substance abuse risk, were assessed by questionnaire at the beginning of the semester, before programme implementation, and at the end of the semester. Breath samples were collected to increase the reliability of self-reports. Programme implementation was monitored by project staff in randomly selected classes taught by the teachers in the intervention group. In the third-year intervention study, data were analysed to determine differences in cigarette,</p>	<p>The results of the third-year intervention study showed that LST had a significant impact on reducing cigarette, marijuana, and alcohol use for those students whose teachers taught at least 60% of the programme. Results of the six-year follow-up indicated that the effects of the programme lasted until the end of twelfth grade. Specifically, there were 44% fewer LST students than controls who used tobacco, alcohol, and marijuana one or more times per month, and 66% fewer LST students who reported using all three substances one or more times per week. The strongest prevention effects were produced for the students who received the most complete implementation of the LST programme, including the two booster sessions. Other significant findings include the following: LST reduced the</p>

TARGET/COUNTRY/ REFERENCE	INTERVENTION METHODOLOGY	EVALUATION METHOD	IMPACT ACHIEVED
	<p>tobacco, alcohol, and drug use. The curriculum impacts social risk factors, including media influence and peer pressure, as well as personal risk factors such as anxiety and low self-esteem.</p> <p>It includes skills training and practise of the skills mentioned above.</p>	<p>alcohol, and drug use prevalence between treatment and control groups. Later, data were analysed to determine the long-term effectiveness of the prevention</p>	<p>use of inhalants, narcotics and hallucinogens. LST increased levels of assertiveness, self-mastery, personal control, self-confidence, and self-satisfaction</p>
<p>Students in grades K-6 in the United States</p> <p>http://www.ed.gov/pubs/EP/TW/eptw9/eptw9d.html</p> <p>Contact information: The American Health Foundation, 800 Second Avenue, New York, NY 10017; USA tel. +1-212-551-2507 or 551-2509.</p>	<p>Content: The Know Your Body (KYB) School Health Promotion Programme consists of five basic components: (1) skills-based health education curriculum, (2) teacher/coordinator training, (3) biomedical screening, (4) extracurricular activities, and (5) programme evaluation. Through its substance abuse, healthy relationship, and skills modules, the programme can help reduce drug use and violence. As part of the training, programme coordinators learn how to improve their school food service as well as how to achieve a smoke-free campus, thereby creating an environment conducive to learning.</p> <p>Skills: The programme stresses individual responsibility for health and provides the basis for making health-promoting and disease-preventing decisions. Skills are related to age-appropriate outcomes, such as making healthy breakfast and snack choices and asking adults not to smoke in the presence of the young people.</p> <p>Participatory methods: Age-appropriate skill modules, including student activity books and puppet sets.</p>	<p>Several longitudinal evaluations have demonstrated the effect of the KYB programme. It was also named as one of the "Educational Programmes That Work" by the U.S. Department of Education in 1995.</p>	<p>Evaluation results have demonstrated that the KYB programme has a significant positive effect on students' health-related knowledge, behaviour, and biomedical risk factors such as serum cholesterol levels, blood pressure, cardiovascular endurance, smoking, and diet.</p>

TARGET/COUNTRY/ REFERENCE	INTERVENTION METHODOLOGY	EVALUATION METHOD	IMPACT ACHIEVED
<p>Students in grades 9 and 10 in the United States</p> <p>http://www.ed.gov/pubs/EP/TW/eptw9.eptw9g.html Contact information: Stanford Centre for Research in Disease Prevention Stanford University School of Medicine 1000 Welch Road Palo Alto, CA 94304-1885; USA tel. +1-415-723-1000</p>	<p>Content: The Stanford Heart Health Curriculum is a multi-factor cardiovascular disease risk reduction/prevention curriculum for adolescents. Lifestyle factors such as cigarette smoking, diet, physical activity, stress, and personal problem-solving are targeted.</p> <p>Skills: The curriculum is guided by social cognitive theory and emphasises self-regulatory skill development, building perceptions of self-efficacy, and social pressure resistance training. Each module provides students with information on the health effects, normative information on the prevalence of unhealthy behaviours, and cognitive and behavioural skills that enable them to change personal behaviour; specific skills for resisting social influences to adopt unhealthful habits; and practise in using skills to improve performance.</p> <p>Participatory methods: The curriculum features guided role-playing simulations, an introductory video-drama focused on personal choices and consequences, discussion sessions, and personal-change student notebooks.</p>	<p>This programme was named one of the "Educational Programmes That Work" by the U.S. Department of Education in 1995.</p>	<p>Students participating in the programme make significantly greater gains in knowledge of cardiovascular disease risk factors on programme-developed and validated criterion-referenced tests; show beneficial physiological/ anthropometric effects in terms of resting heart rate, triceps skinfold thickness, and subscapular skinfold thickness; and are more likely to report that they would choose heart-healthy snack items than a comparison group. A higher proportion of baseline "non-exercisers" participating in the programme were classified as regular aerobic exercisers two months after completion of the curriculum; more baseline "experimental smokers" participating in the programme reported quitting at follow-up; and fewer reported graduating to regular smoking than their comparison group counterparts.</p>

TARGET/COUNTRY/ REFERENCE	INTERVENTION METHODOLOGY	EVALUATION METHOD	IMPACT ACHIEVED
<p>Students in grades 6-8 in the United States</p> <p>http://www.projectalert.best.org</p> <p>Contact information: BEST Foundation For a Drug-Free Tomorrow 725 S Figueroa Street, Suite 970 Los Angeles, CA 90017; USA tel. +1-213-623-0580; fax +1-213-623-0585</p>	<p>Content: Two-year drug prevention curriculum for students in grades 6, 7, and 8, called Project Alert. The 14 lessons are designed to prevent or curb drug use initiation and the transition to regular use. The curriculum focuses on the substances that adolescents use first and most widely: alcohol, tobacco, marijuana, and inhalants.</p> <p>Skills: Skills include resistance skills such as resistance to pro-drug pressures and communicating with parents.</p> <p>Participatory methods: Project ALERT uses participatory activities and videos to help students establish non-drug norms, develop reasons not to use drugs, and resist pressures to use drugs. Skills-building activities utilise the modelling, practise, and feedback strategy. Guided classroom discussions and small group activities stimulate peer interaction and challenge students, while intensive role-playing encourages students to practise and master resistance skills. Parent-involved homework assignments extend the learning process.</p>	<p>The original programme was tested in 30 middle schools from communities in California and Oregon that included different geographic areas, income and population density levels, and racial/ethnic groups. One of the leading U.S. research institutes on drug policy has longitudinally field-tested the Project ALERT curriculum, and undertook a rigorous scientific evaluation. Longitudinal testing included 6,000 students from 30 junior high schools. Project ALERT was designated as an “Exemplary Programme” by the U.S. Department of Education in 2001.</p>	<p>Project ALERT is highly effective with middle-school adolescents aged 11 to 14 from widely divergent backgrounds and communities. It has been successful with high- and low-risk youth from urban, rural, and suburban communities, with youth from different socioeconomic levels, and with Caucasians, African Americans, Latinos, and Asian Americans. The longitudinal evaluation showed that Project ALERT:</p> <ul style="list-style-type: none"> – reduces the initiation of marijuana and tobacco use by 30% – reduces heavy smoking among experimenters by 50 to 60% – is effective for both high- and low-risk students, including minorities – performs equally well in a variety of socioeconomic settings

TARGET/COUNTRY/ REFERENCE	INTERVENTION METHODOLOGY	EVALUATION METHOD	IMPACT ACHIEVED
<p>Preschool through junior high school students in the United States</p> <p>http://www.cfchildren.org/violence.htm Contact information: Committee for Children 2203 Airport Way South, Suite 500 Seattle, WA 98134, USA; tel. +1-206-343-1223 or 1-800-634-4449 Fax +1-206-343-1445</p>	<p>Content: Second Step is a school-based social skills curriculum that teaches children to change the attitudes and behaviours that contribute to violence. It also includes school and family members as part of a comprehensive approach to reducing violence.</p> <p>Skills: The curriculum teaches social skills to reduce impulsive and aggressive behaviour in children and increase their level of social competence. The same three skills are addressed in an age-appropriate way at each grade level: empathy, impulse control, and anger management.</p> <p>Participatory methods: The main lesson format is a photo lesson card. Lesson techniques include discussion, teacher modelling of the skills, and role plays. Lessons are divided into foundation lessons and two levels of skill building that include discussions and live-action video. These three levels allow for a comprehensive, multi-year training in pro-social skills.</p>	<p>A one-year evaluation involved 12 schools that were randomly assigned either to an experimental group or to a control group. Investigators examined the impact of the programme on aggression and positive social behaviour among elementary school students. Second Step was designated as an "Exemplary Programme" by the U.S. Department of Education in 2001.</p>	<p>Behavioural observation indicated that physical aggression decreased from autumn to spring among students who were in the Second Step programme, and increased in students in the control classes. Friendly behaviour, including pro-social and neutral interactions, increased from autumn to spring in the Second Step classes but did not change in the control classes. Six months later, students who had received the programme maintained the higher levels of positive interaction.</p> <p>The investigators concluded that Second Step leads to moderate decreases in aggression and increases in neutral and pro-social behaviour in school. Without the Second Step curriculum, student behaviour worsened, becoming more physically and verbally aggressive over the course of the school year.</p> <p>Formative assessments on Second Step have shown positive changes in student attitudes regarding aggression in middle school and junior high school as well as improvements in social skills and knowledge in grades pre/K-9 students.</p>
<p>Students aged 10-15 in Colombia</p> <p>Maria Luisa Vazquez Navarrete. (1999). Regional Study on School Health and Nutrition in Latin America and the Caribbean. Life Skills Training in Columbia: A case study. Washington,</p>	<p>Content: This programme, carried out by the NGO Fe y Alegria on behalf of the Ministry of Health, focuses on the cultural roots of violence and unhealthy behaviour.</p> <p>Skills: The life skills training modules address such skills as coping with emotions,</p>	<p>No formal evaluation has been carried out as of this writing, but interviews with programme participants revealed the following indicators of success:</p> <ul style="list-style-type: none"> • positive changes in student behaviour • increased problem-solving • increased coping with emotions 	<p>Parents noticed positive changes in their children that in turn had a positive influence on family relationships. A child was able to stop a fight between his or her parents using the expressions from the workshop. The levels of aggressiveness in class decreased. The children</p>

TARGET/COUNTRY/ REFERENCE	INTERVENTION METHODOLOGY	EVALUATION METHOD	IMPACT ACHIEVED
DC: World Bank/ Pan-American Organization.	<p>problem-solving, and effective communication.</p> <p>Participatory methods: Participatory methodology is employed at every level; this includes workshops with parents.</p>	<ul style="list-style-type: none"> • changes in teachers' attitudes and behaviours • spontaneous demand for life skills training • Increased coping with difficult situations <p>involvement of teachers, students, school principals, education officials, parents, and other community members (evaluation details were not provided)</p>	<p>have learned to speak in public and to express their emotions. Teachers increased their capacity to listen and became more sensitive toward the students. Students who did not participate in the training requested to be trained in life skills. After a massacre, life skills workshops helped cope with the difficult situation.</p>
<p>Primary and secondary school students in Myanmar</p> <p>Report provided by UNICEF Myanmar</p>	<p>Content: SHAPE (School-based Healthy Living and HIV/AIDS Prevention Education) is a school subject taught in grades 2 through 9 using a spiral curriculum that provides continuity. The curriculum aims to equip people with knowledge and skills to promote healthy living and prevent the transmission of HIV/AIDS.</p> <p>Skills: Life skills such as communication, cooperation, coping with emotions and stress, decision-making, and problem-solving as well as counselling are promoted.</p> <p>Participatory methods: SHAPE uses student-centred participatory teaching and learning methods, which encourage students to practise what they have learned in the classroom and at home. Peer education, child-to-parent dissemination of information, and collaboration between schools and communities are important strategies in the SHAPE programme. Review meetings, presumably with the involvement of teachers, students, school principals, education officials, parents, and other community members (evaluation details were not provided)</p>	<p>Review meetings, presumably with the involvement of teachers, students, school principals, education officials, parents, and other community members (evaluation details were not provided)</p>	<p>The successes of SHAPE affected whole communities. In one case, a whole community is now consuming iodised salt as a result of what students learned from SHAPE and shared with their parents, who in turn got together and convinced the shop-keeper to change the type of salt he sold. In another community, an AIDS orphan was recognised as a full-fledged member of the village after students learned and shared the truth about AIDS. These examples illustrate the long-term impact that SHAPE can have, and show that one or two people changing their behaviour as a result of what they have learned can affect the behaviour of the greater community over time. The immediate challenge is to understand what conditions encourage "positive deviance" and to replicate these conditions.</p>

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The World Health Organization's

INFORMATION SERIES ON SCHOOL HEALTH DOCUMENT 10

Creating an Environment for Emotional and Social Well-Being

An important responsibility of a Health-Promoting and Child Friendly School

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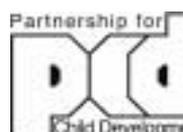
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The principles and policies of each of the above agencies are governed by the relevant decisions of its governing body and each agency implements the interventions described in this document in accordance with these principles and policies and within the scope of its mandate.

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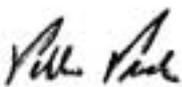
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"The children of today are the adults of tomorrow. They deserve to inherit a safer, fairer and healthier world. There is no task more important than safeguarding their environment." This message is emphasized by the theme of World Health Day 2003, "Shape the Future of Life: Healthy Environments for Children". The organizations publishing this document wish to call attention to and support this message. We offer this publication as a useful tool to help shape a healthy, safe and friendly environment for all who live, learn and work in schools.

"Creating an Environment for Emotional and Social Well-being: An Important Responsibility of a Health-Promoting and Child-Friendly School" focuses on the psycho-social environment of the school. It is complemented by the document "The Physical Environment: An Essential Component of a Health-Promoting School". Together, these documents can help schools provide an environment that is consistent with the World Health Organization's definition of health, "... a state of complete physical, mental and social well-being and not merely the absence of disease or infirmity."

This document and its Psycho-social Environment Profile are designed to help school personnel assess qualities of the school environment that support social and emotional well-being. It is intended to be a starting point, leading to awareness, discussion, and action by school personnel, students and parents. It will help them recognize and sustain those aspects of the school environment that support social and emotional well-being and improve those aspects that do not. It also will help school personnel consider ways to support positive changes in the school environment with school health policies, skills-based health education and school health services – core components of an effective school health programme as called for in the joint international initiative to Focus Resources for Effective School Health (FRESH).

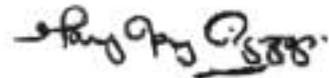
The extent to which each nation's schools provide a safe and supportive environment for all will play a significant role in determining whether the next generation is educated and healthy in body, mind and spirit. The implementation of an effective school health programme, including an environment that supports social and emotional well-being, is a viable means to simultaneously address the inseparable goals of Health for All and Education for All.



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WHY DID WHO PREPARE THIS DOCUMENT?

There is increasing recognition that health and educational outcomes are inextricably linked, and that the school can be an ideal setting through which to strive for both. A number of international efforts have been developed in the past decade to improve both learning and health through schools. Four important examples include WHO's Global School Health Initiative and its concept of a Health-Promoting School; UNICEF's framework of rights-based, child-friendly educational systems and schools; Education for All (EFA); and the recent inter-agency initiative by WHO, UNICEF, UNESCO, Education International, Education Development Center, Partnership for Child Development and the World Bank, Focusing Resources for Effective School Health (FRESH). The characteristics of each of these efforts are summarized in Box 1 below.

One characteristic shared by each of the above initiatives is the importance of a healthy psycho-social environment in schools. For example, the *Dakar Framework for Action (Education for All: Meeting our Collective Commitments)* promotes a school environment that not only encourages learning but is welcoming, gender-sensitive, healthy and safe. It recommends that schools implement "policies and codes of conduct that enhance the psycho-social and emotional health of teachers and learners." FRESH promotes a positive psycho-social environment that discourages all types of school violence such as the abuse of students, sexual harassment and bullying.

WHO developed this document and its accompanying Psycho-social Environment (PSE) Profile to help teachers, students and parents create a positive psycho-social climate in their school as a means to improve school quality and the mental and physical well-being of young people. While this document may be useful to district- and national-level staff who make decisions on behalf of local schools, it is primarily intended for school administrators, teachers, community leaders and members of school health teams.

Since the school environment is one of many determinants of school quality, the PSE Profile will be most effective if used as part of a broader school effort to create a Health-Promoting and Child Friendly School, meet the goals of Education for All, or implement the four components of FRESH. For example, WHO recommends that the PSE Profile be used in conjunction with the tools in *Local Action: Creating Health-Promoting Schools (WHO/School/00.3)* as part of a comprehensive effort to promote health throughout the school (See Annex for more details).

WHAT IS A HEALTHY PSYCHO-SOCIAL ENVIRONMENT?

A school's environment can enhance social and emotional well-being, and learning when it:

- is warm, friendly and rewards learning
- promotes cooperation rather than competition
- facilitates supportive, open communications
- views the provision of creative opportunities as important
- prevents physical punishment, bullying, harassment and violence, by encouraging the development of procedures and policies that do not support physical punishment and that promote non-violent interaction on the playground, in class and among staff and students.
- promotes the rights of boys and girls through equal opportunities and democratic procedures.

A healthy psycho-social environment simultaneously provides support to teachers, students and their families.

BOX 1:

INTERNATIONAL MOVEMENTS TO PROMOTE HEALTH AND EDUCATION THROUGH SCHOOLS

The World Health Organization (WHO), through its Global School Health Initiative, promotes the concept of a **Health-Promoting School**. A Health-Promoting School can be characterized as a school that is constantly strengthening its capacity as a healthy setting for living, learning and working. It does this by:

- Fostering health and learning with all the measures at its disposal;
- Engaging health and education officials, teachers, teachers' unions, students, parents, health providers and community leaders in efforts to make the school a healthy place;
- Striving to provide a healthy environment, school health education and school health services along with school/community projects and outreach, health promotion programmes for staff, nutrition and food safety programmes, opportunities for physical education and recreation, and programmes for counselling, social support and mental health promotion;
- Implementing policies and practices that respect an individual's well-being and dignity, provide multiple opportunities for success, and acknowledge good efforts and intentions as well as personal achievements;
- Striving to improve the health of school personnel, families and community members as well as students.

UNICEF has developed a framework of **rights-based, child-friendly educational systems and schools** that are characterized as "healthy for children, effective with children, protective of children, and involved with families and communities – and children" (Shaeffer, S, 1999). Within this framework:

- The school is a significant personal and social environment in the lives of its students. A child-friendly school ensures every child an environment that is physically safe, emotionally secure and psychologically enabling;
- Teachers are the single most important factor in creating an effective and inclusive classroom.
- Children are natural learners, but this capacity to learn can be undermined and sometimes destroyed. A child-friendly school recognizes, encourages and supports children's growing capacities as learners by providing a school culture, teaching behaviours and curriculum content that are focused on learning and the learner.
- The ability of a school to be and to call itself child-friendly is directly linked to the support, participation and collaboration it receives from families.
- Child-friendly schools aim to develop a learning environment in which boys and girls are motivated and able to learn, and staff members are friendly and welcoming to children and attend to all their health and safety needs.

The 1990s was the decade of Education for All (EFA). The World Declaration on Education for All (Jomtien 1990) envisioned that "Every person – child, youth and adult – shall be able to benefit from educational opportunities designed to meet their basic learning needs." The global community reunited in Dakar, April 2000, to assess progress of the EFA decade and to renew its commitment to Education for All by 2015. Strategies for meeting this goal are outlined in the Dakar Framework for Action, Education for All: Meeting our Collective Commitments, and include the creation of safe, healthy, inclusive and equitably resourced educational environments conducive to excellence in learning. Specifically, the Dakar Framework calls for policies and codes of conduct that enhance the physical, social and emotional health of teachers and learners.

WHO, UNICEF, UNESCO and the World Bank have agreed upon a core group of cost-effective components of a school health, hygiene and nutrition programme, which can form the basis for joint action. Working together to Focus Resources for Effective School Health (**FRESH**), the agencies call for the following four components to be made available in all schools:

- Health-related policies in schools that help to ensure a safe and secure physical environment and a positive psycho-social environment, and address all types of school violence, such as the abuse of students, sexual harassment and bullying.
- Safe water and sanitation facilities, as first steps in creating a healthy school environment.
- Skills-based health education that focuses on the development of knowledge, attitudes, values and life skills needed to make, and act on, the most appropriate and positive decisions concerning health.
- School-based health and nutrition services which are simple, safe and familiar, and address problems that are prevalent and recognized as important in the community.

WHY IS THE PSYCHO-SOCIAL ENVIRONMENT OF YOUR SCHOOL IMPORTANT?

Research on work and home environments has shown that there can be a strong relationship between social settings and short and long-term emotional well-being. Considering how much time most children spend at school, psycho-social dimensions of schools have sparked the interest of a growing number of researchers concerned with school effectiveness and the emotional well-being of young people. Below are some conclusions from this research, with specific studies cited as supporting examples. Additional explanations about the importance of each quality area of the school's psycho-social environment are presented in Annex 3, "Worksheets for leading discussions of quality areas." These findings can help you convince others that using the PSE Profile as a way to assess and improve your school is a worthwhile effort.

- **A positive social environment at school can influence the behaviour of students.**

A study of health behaviour among school-age children coordinated by WHO showed a strong and progressive relationship between indicators of "alienation" from school and health compromising behaviours among students from Australia and Wales (Nutbeam, et al, 1993). The relationship was most clear with smoking and alcohol misuse. The authors recommend not only school health education, but also changes to the school environment and ethos.

Similarly, a study of 12-18 year old students in public schools in Ohio, USA, found that "school connectedness," or the feeling of closeness to school personnel and the school environment, decreased the likelihood of health risk behaviours during adolescence, including cigarette use (Bonny and colleagues, 2000).

- **A positive psycho-social environment at school can affect the mental health and well-being of young people.**

A sense of connectedness, good communication, and perceptions of adult caring have been shown to be related to a wide range of mental health outcomes (Patton, 2000). For example, a study of the impact of school "climate" on the well-being and mental health of children in the Czech Republic found that schools with a climate of confidence and respect among principals, staff, pupils and parents had the least number of negative characteristics, including general anxiety, school anxiety, emotional and psychosomatic balance, attitudes toward school, etc. (Havlinova and Schneidrova, 1995).

Gadin and Hammarstrom (2000) analysed the relationship between psycho-social factors in the school environment and pupils' health and sense of self-worth in a sample of Swedish pupils. They found that problems in relations with classmates were the most recurrent psycho-social factor associated with ill-health. Lack of self-control at school affected self-worth among girls, but not among boys.

- **A supportive school environment can improve student learning outcomes.**

In Australia and the United Kingdom, factors like relationships between teachers and students in classrooms, opportunities for student participation and responsibility, and support structures for teachers, have consistently shown to be associated with student progress (Patton et al, 2000). MacIntosh theorizes that "positive reactions to school may increase the likelihood that students will stay in school longer, develop a commitment to learning, and use the institution to their advantage". Thus, a positive, supportive climate at school can make a critical contribution to the academic achievement.

WHAT IS THE PSYCHO-SOCIAL ENVIRONMENT (PSE) PROFILE ?

The PSE Profile in Part 3 of this document is a series of questions related to the psycho-social environment of your school. The questions are intended to: (1) create awareness among teachers, managers and students about the importance of a healthy psycho-social environment at school; and (2) help you identify the positive characteristics of your school's environment, and which characteristics you can improve. The PSE Profile assesses conditions within the school, on the school grounds, at after-school activities and during travel between school and home. You are encouraged to use what you learn from the PSE Profile to engage the school and community (education and health officials, teachers, students, parents and community members) in determining priorities, developing strategies and taking action.

The ultimate aim of using the PSE Profile is to identify and change conditions that can increase the school's capacity to be supportive and caring toward all those who work there, and to promote learning and development. Pupils and teachers are likely to be the principle beneficiaries. The advantages of a positive school environment can be greater

well-being and happiness, an improved sense of belonging and better quality of life for those engaged with the organization. Indirectly, it may result in better levels of academic achievement. It can also alter some of the more negative aspects of school life by reducing bullying and harassment, injury, truancy and absenteeism. It has the potential to diminish stereotyping and prejudice, fear, anxiety, depression and loss of motivation. Furthermore, feelings of well-being during childhood provide sound foundations for positive health in later adolescence and adulthood; and students working in a supportive school environment where they feel a sense of attachment are more likely to respect their surroundings.

WHO WILL FILL OUT THE THE PSE PROFILE?

The psycho-social environment of a school depends to a large extent on the policies and attitudes of the school staff and the way schools are organized. The PSE Profile is a good opportunity to raise the awareness of teachers and staff about how they do or do not contribute to a positive school environment.

At a minimum, the PSE Profile is designed to help teachers assess the situation in their own school and to make any organizational changes that would assist in promoting an atmosphere friendly to girls and boys. However, the PSE Profile can have broad and long-term benefits if it is completed by a diverse range of school personnel, including administrators, cleaners, secretarial staff, volunteers, and, where applicable: playground monitors, cafeteria staff, the school nurse, and traffic safety patrol. The selection of relevant participants will differ from school to school. Involving students can yield valuable insight about the school's psycho-social environment, since the perceptions of adults and young people are likely to differ. Although the PSE Profile is not designed for use by schoolchildren, it is important for teachers and others to include students (girls and boys) in discussions of items in the Profile that relate to the experience and perceptions of students. It is also important to involve students in discussions about the findings, and to include students in the implementation of any changes that may arise from the result (a complementary PSE Profile for students will be developed in the next phase of this project).

The number of persons who are asked to fill out the PSE Profile will vary from school to school. Small schools can invite all school personnel to respond. Large schools may wish to select a sample of school personnel, at random, to save time and effort. The table below shows the number of school personnel to include in a sample that would allow you to be 95 per cent sure that the responses are representative of all school personnel.¹

Number of employees in your school	Suggested sample size
50	38
100	73
150	96
200	115
250	129
300	141

WHO WILL ADMINISTER THE PSE PROFILE?

The PSE Profile can be administered by any group that has been formed at the school to improve the quality of the school. For example, the administration of the PSE Profile could be coordinated by the School Health Team. If you do not already have such a team or similar group, Tool 1.1 in *Local Action: Creating Health-Promoting Schools* can help you form a diverse team of staff, students and community members with expertise and interest to work on issues relating to the health of the school community. The School

¹Based on a confidence level of 95% and a confidence interval (margin of error) of +/- 6 per cent.

Health Team can ensure that the PSE Profile and resulting actions are integrated with other health-related initiatives.

Involving a wide range of stakeholders, particularly teachers, school personnel and school and community leaders, in the administration of the PSE Profile can help you garner support when you are ready to implement the changes that the school agrees are necessary. For example, school and community leaders could be responsible for drafting new school policies through discussions with teachers, students and parents. At a later stage, all the people who were involved in developing new school policies are likely to play a key role in supporting and promoting approved policies. They may wish to share the outcomes of any successful policy changes and actions so that other schools in the area can share and possibly benefit from their experience.

Those responsible for administering the PSE Profile may wish to follow these suggested steps:

- Review the PSE Profile to become acquainted with the questions and determine if it needs to be adapted.
- Decide who should fill out the PSE Profile.
- Hold a meeting with all PSE Profile users to discuss the purpose of using it, clarify how the results will be used, and give the instructions for completing it.
- Tabulate the score of each completed PSE Profile and summarize the scores for all the PSE Profiles completed in your school.
- Circulate the results to all members of the school.
- Hold an open school conference (or series of meetings with different groups in the school) to review the findings and plan actions for change.

A schedule for implementing these steps should be developed with consideration of other important efforts going on at the school, and within a time period short enough to maintain coherence, continuity and interest throughout the effort.

WHAT WILL IT COST TO CREATE A HEALTHY PSYCHO-SOCIAL ENVIRONMENT IN YOUR SCHOOL?

The PSE Profile requires the time of administrators, teachers and other school personnel. It also draws on other resources that are already available in the school. For this reason, this project is unlikely to require significant additional costs. It relies on the goodwill of the staff in the school — and others who assist them — to use the PSE Profile, discuss the results and plan and carry out changes that can be made at little or modest cost. This assessment is likely to highlight the need to reorganize or modify some practices or activities, rather than create new ones.

HOW CAN YOU ADAPT THE PSE PROFILE TO YOUR OWN NEEDS?

The issues addressed in the PSE Profile are common to many schools worldwide. Users are advised not to change or delete any parts of the Profile. However, there may be important factors or problems that are particularly relevant to schools in your system that

are not necessarily shared by all education systems. We therefore invite users to consider whether they need to add questions to the PSE Profile before distributing it within their school. Those who decide to add new items should take care to justify their importance and relevance to the assessment. Extra items should be phrased in the same style as the original PSE Profile items, using short unambiguous questions. All questions should be phrased so that they can be answered on the 4-point response scale used in the PSE Profile. Adopting this procedure will enable additional items to be scored in exactly the same way as the attached PSE Profile. We recommend that you minimize additional items so that the task does not become too burdensome and complex for users and administrators. Furthermore, it is important that the order of the questions in the PSE Profile itself is not changed in any way. Extra items should be added only at the end of the Profile.

Administrators of the PSE Profile are encouraged to write a brief introductory letter to accompany the Profile, explaining how the information that will be collected will be used. Administrators should also consider whether the Profile should be administered in a way that allows the respondent to remain anonymous, such as having completed Profiles returned to the administrator without names or other information that would identify the respondent; to do this may improve the accuracy of the results.

HOW DO YOU SCORE YOUR SCHOOL?

The questions in the PSE Profile are easy to score and the scoring procedure does not require the use of complicated statistics. Each question is scored on a scale from 1 to 4, with 1 representing the lowest and 4 the highest rating of social and emotional support. The total number of questions you answer will depend on whether your school is single sex or mixed boys and girls. Scores for single-sex schools can range from a minimum of 98 to a maximum of 392. Scores for mixed-sex schools can range from a minimum of 114 to a maximum of 456. To obtain an overall mean or average, simply add together all the scores for all the questions you answered and divide by the total number of questions answered.

The questions are grouped into seven “quality areas”. In addition to calculating an overall score, it is recommended that you tabulate the average score for each of the seven areas so that each area can be examined separately. Such information will assist in focusing subsequent discussions and in planning actions resulting from it. The number of questions in each area is listed in Table 1 .

Table 1. The number of questions in each area of the PSE Profile

Quality Areas	<i>Single sex school</i> Number of Items	<i>Mixed sex schools</i> Number of Items
Providing a friendly, rewarding and supportive atmosphere	18	24
Supporting cooperation and active learning	8	10
Forbidding physical punishment and violence	20	21

Table 1. The number of questions in each area of the PSE Profile (continued)

Quality Areas	<i>Single sex school</i> Number of Items	<i>Mixed sex schools</i> Number of Items
Not tolerating bullying and harassment	18	18
Valuing the development of creative activities	10	12
Connecting school and home life	13	13
Promoting equal opportunities and participation	11	16
TOTAL	98	114

You can use Table 2 below to tabulate each respondent's overall score and their average for each of the seven quality areas.

Table 2. Scoring the Profile (A full size replication of this table is in Annex 2)

Quality Areas	Single sex schools			Mixed sex schools		
	Respondent's score (A)	Total# items (B)	Respondent's average (A/B)	Respondent's score (A)	Total# items (B)	Respondent's average (A/B)
Providing a friendly, rewarding and supportive atmosphere		18			24	
Supporting cooperation and active learning		8			10	
Forbidding physical punishment and violence		20			21	
Not tolerating bullying and harassment		18			18	
Valuing the development of creative activities		10			12	
Connecting school and home life		13			13	
Promoting equal opportunities and participation		10 or 11			15 or 16	
TOTAL						

When you have calculated the overall and quality area scores for all respondents, you may wish to go further in your analysis by calculating how responses vary among different types of school personnel, and by the sex of the staff members. For example, if your school is mixed sex, you can use Table 3 to disaggregate the responses. This will help you identify if there are issues affecting some groups, but not others. The information you obtain is there to help you make decisions about your school; there is no information about how the 'average' school scores on this Profile. Note: If you added any extra questions, you will need to make some adjustments to Tables 1 and 2. You should consider whether these new questions can be scored as one of the seven 'quality areas' mentioned below (if so, adjust the numbers for Total Items in column B). If they constitute a new category, then add an extra line at the bottom of Table 2 for scoring 'Other'.

Table 3. Looking at the results for different groups (A full size replication of this table is in Annex 2)

Quality Area	Average scores by sex of respondents		Average score by role			
	Male	Female	Managers	Teachers	Support Staff	Parents
Providing a friendly, rewarding and supportive atmosphere						
Supporting cooperation and active learning						
Forbidding physical punishment and violence						
Not tolerating bullying and harassment						
Valuing the development of creative activities						
Connecting school and home life						
Promoting equal opportunities and participation						
TOTAL						

HOW IS THE PSE PROFILE MEANT TO BE USED?

After the completed PSE Profiles have been collected and scored, the results should be summarized and circulated to all members of the school, governors/community leaders and parents. The next step can be to hold an open school conference (or series of meetings with different groups in the school) to review and discuss the findings. This discussion phase should include students, all types of staff and parents.

The results of the PSE Profile should reveal which characteristics of a positive psycho-social environment your school has in place and which characteristics need strengthening. The discussions then should go further to examine the reasons for any shortcomings identified

by the PSE Profile and to propose suitable solutions that are appropriate to the school and its community. Thus, the results will form the basis for policy-making and other actions. For example, you may discover that your school could benefit by creating more opportunities for student participation and responsibility; or that student achievements can be recognized publicly more often; or that the school needs a policy to address harassment. The involvement of parents and students as partners is of great importance in finding the best solutions to problems that impede improvements to the environment of a school.

When piloted in Finland, one participant noted:

"We had a long discussion (with the parents) about teaching methods, about the school environment, about the values in the school and so on...the document is a good tool for discussion."

Another educator in South Africa remarked:

"It has opened my eyes. We are now drafting a policy on dealing with sexual harassment and abuse"

This document does not recommend specific changes to correct the deficiencies identified. Changes that are both culturally appropriate and locally feasible are best proposed by the community itself, in the light of its particular situation. The types of action that are undertaken will vary considerably, depending on a multiplicity of factors that affect the delivery of education in that culture. However, once you have agreed on priorities for change in each area, it is also important to consider when the best time for implementation would be and to plan for these changes by setting a timetable. Part of the action plan might also involve deciding to repeat the Profile exercise at a future date. The tools in ***Local Action: Creating Health-Promoting Schools*** can guide you through the process of finding opportunities for action, setting goals, defining objectives and developing a plan and timetable for action (See Box).

Actions to improve the school environment may be one part of a broader action plan that aims to improve health and learning through various components of the school, e.g., through the four components of FRESH. In creating an action plan, you can gauge the commitment and engage the energy of all stakeholders in the project: staff, pupils, parents and management. As part of the timetable, your plans could include a suitable future date for repeating the PSE Profile.

Although the primary purpose of the PSE Profile is to raise awareness among people connected with the school about the environment in the school, and to prompt them to make appropriate changes, the Profile can also be used for other purposes. It could be used to periodically look at the social and emotional support perceived among particular groups or in different types of schools. It can be used to periodically monitor characteristics of the psycho-social environment in multiple schools and to make structural changes to the way education is organized or practiced at district or even national levels. It can be used to provide feedback to those concerned about schools and involved in their activities such as officials, leaders, parents, etc. It might be used to monitor the effects of changes made in a school, or group of schools, by giving it to staff before and after the changes to practice are implemented.

Local Action: Creating Health-Promoting Schools was produced by WHO, UNESCO and Education Development Center, Inc., as a "how-to" guide for local level efforts to create schools that are health promoting. It offers school leaders the organizing ideas and activities to identify health issues in their school and community and take steps, through the school, to improve health and learning. Local Action also provides guidance and tools for generating ideas and developing action plans.

School teams can use the tools to:

- Assess their resources, local health problems and opportunities
- Involve members of the school - students and staff - and community in generating ideas and developing a vision for action
- Define goals and objectives and develop action plans to carry them out
- Document progress and plan for the future.

Local Action is available from the WHO Department of Health Promotion, Geneva, and on the web at <http://www.who.int/school-youth-health>

PSE PROFILE QUESTIONNAIRE

A positive school environment can promote healthy social and emotional development during the early years of life. The items and information contained in this PSE Profile Questionnaire were derived in large part from a systematic review of evidence from more than 650 research articles in the international literature (Skevington & Puitandy, 2000) and the original Profile that was reviewed by schools in 20 countries worldwide (Skevington and Puitandy, 2002). The PSE Profile questionnaire is used to assess the following seven "quality areas," each representing an important element of a healthy psycho-social environment at school:

1. Providing a friendly, rewarding and supportive atmosphere
2. Supporting cooperation and active learning
3. Forbidding physical punishment and violence
4. Not tolerating bullying, harassment and discrimination
5. Valuing the development of creative activities
6. Connecting school and home life through involving parents
7. Promoting equal opportunities and participation in decision-making.

Annex1. The PSE profile questionnaire can be copied from Annex 1

Annex2. PSE profile scoring sheets. The pse profile scoring sheets can be copied from Annex2.

WORKSHEETS FOR LEADING DISCUSSIONS OF QUALITY AREAS

The PSE Profile Worksheets for Leading Discussions of Quality Areas are used after collecting and tabulating the results from the PSE Profile Questionnaire. The PSE Profile Worksheets can be copied from Annex 3.

Annex 1

THE PSYCHO-SOCIAL ENVIRONMENT (PSE) PROFILE QUESTIONNAIRE

Creating an environment for emotional and social well-being: An important responsibility of a Health-Promoting and Child Friendly School

**THE PSYCHO-SOCIAL (PSE) PROFILE
QUESTIONNAIRE**

A positive school environment can promote healthy social and emotional development during the early years of life. The items and information contained in this PSE Profile were derived in large part from a systematic review of evidence from more than 650 research articles in the international literature and the original Profile that was reviewed by schools in 20 countries worldwide. You are invited to fill out the PSE Profile by answering questions about this school that are grouped into seven “quality areas”:

1. Providing a friendly, rewarding and supportive atmosphere
2. Supporting cooperation and active learning
3. Forbidding physical punishment and violence
4. Not tolerating bullying, harassment and discrimination
5. Valuing the development of creative activities
6. Connecting school and home life through involving parents
7. Promoting equal opportunities and participation in decision-making.

Please begin by providing the following information about yourself:

What is your role at the school?

- manager teacher support staff parent other

What is your sex?

- male female

Instructions

Please answer each question by circling ONE of the four possible answers. Choose the answer that you feel best describes your school.

Do not spend a lot of time thinking about the answer — usually your first reaction is the best. There are no right or wrong answers; we just want to know what you think about your school.

Please make sure that you have answered all the questions.

Quality Area 1. Providing a friendly, rewarding and supportive atmosphere.

- 1.1 The school is friendly and welcoming to visitors.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 1.2 Students are encouraged to welcome and assist newcomers to the school.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 1.3 The school has a policy on how to integrate new students into the school.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 1.4 The school is seen as an appealing place to work by those who work there.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 1.5 Staff encourage the students to care for each other.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 1.6 Teachers support students who are in distress.
How much is this like your school?
For male students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
For female students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 1.7 There is a trusted person who the students know they can approach if they have a problem or need confidential advice.
How much is this like your school?
For male students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
For female students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 1.8 The school holds regular events where the achievements of students are publicly recognized and applauded.
How much is this like your school?
For male students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
For female students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 1.9 Feedback about a student's work is accompanied by positive comments about achievements and suggestions for improvement.
How much is this like your school?
For male students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
For female students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)

Quality Area 1. Providing a friendly, rewarding and supportive atmosphere (continued).

1.10	Teachers are confident that they will receive help and support from other staff when they need it. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
1.11	Teachers are treated in ways that help them to develop and maintain their self-confidence as educators. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
1.12	Staff behave in a purposeful and orderly manner. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
1.13	Students are confident that they will get help and support when they need it. How much is this like your school? <i>For male students:</i>	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
	<i>For female students:</i>	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
1.14	Staff have a strong sense of belonging to the school. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
1.15	Students have a strong sense of belonging to the school. How much is this like your school? <i>For male students:</i>	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
	<i>For female students:</i>	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
1.16	Parents are interested in and supportive of the school and its governance. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
1.17	Staff are concerned about what happens to each other. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
1.18	Students are concerned about what happens to each other. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)

Total score for Quality Area 1:

Number of items answered: 18 if single sex school; 24 if mixed school

Average score for Quality Area 1:

Quality Area 2. Supporting cooperation and active learning.

- 2.1 There is a school policy (or documentation) on how to promote co-operative learning (e.g., using teaching methods that encourage the students to participate in class).
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 2.2 Students spend time working together to solve problems.
How much is this like your school?
For male students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
For female students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 2.3 Students are encouraged to ask questions in the classroom.
How much is this like your school?
For male students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
For female students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 2.4 Teachers organize students for group activities so that they can work together.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 2.5 Teachers are seen to be co-operating with each other.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 2.6 The school discourages announcing the order of students in each class, based on their academic performance.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 2.7 Students work on projects for and with their local community.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 2.8 The students' work is regularly put on display.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)

Total score for Quality Area 2:

Number of items answered: 8 if single sex school; 10 if mixed school

Average score for Quality Area 2:

Quality Area 3. Forbidding physical punishment and violence.

- 3.1 The school has a policy prohibiting physical punishment as an acceptable disciplinary procedure.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 3.2 The school has a policy promoting non-physical punishment as an acceptable disciplinary procedure.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 3.3 Teachers avoid using physical punishment to discipline children.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 3.4 Teachers are supported in the use of non-aggressive styles of discipline.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 3.5 The school keeps records of disruptive incidents that occur during the day.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 3.6 The school has policies and procedures to help teachers deal fairly and consistently with aggression and violence.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 3.7 The school has policies (short and long term) about how to deal with the consequences of violent incidents.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 3.8 School policies for dealing with violence and aggression are enforced.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 3.9 Teachers have the opportunity to gain new knowledge and skills that help them to maintain a safe and secure school.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 3.10 Support is available for teachers who have been involved in violent or stressful incidents.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 3.11 The school has procedures to deal with students/school staff who have witnessed violence.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)

Quality Area 3. Forbidding physical punishment and violence (continued).

3.12	The school discipline rules are clear to everyone. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
3.13	The school discipline rules are practical. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
3.14	Discipline is maintained well at the school. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
3.15	Students feel safe in school. How much is this like your school?				
	<i>For male students:</i>	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
	<i>For female students:</i>	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
3.16	Teachers feel safe in school. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
3.17	Those in charge are seen as firm, fair and consistent. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
3.18	There is a procedure that allows all students to voice concerns about inappropriate or abusive behaviour. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
3.19	There is a procedure that allows parents to voice concerns about inappropriate or abusive behaviour. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)
3.20	The school recognizes good behaviour practiced by students. How much is this like your school?	Not at all (1)	A little (2)	Quite a lot (3)	Very much (4)

Total score for Quality Area 3:

Number of questions answered: 20 if single sex school; 21 if mixed school

Average score for Quality Area 3:

Quality Area 4. Not tolerating bullying, harassment and discrimination.

- 4.1 The school has a publicised policy that bullying will not be tolerated.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.2 The school has a publicised policy that harassment will not be tolerated.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.3 The school has publicised procedures on how staff should intervene if bullying arises.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.4 The school policies for dealing with bullying are enforced.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.5 The students know that the school disapproves of bullying in school, outside school and while travelling to and from school.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.6 The students know that they can seek help from named staff members if they are bullied.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.7 The school has a policy on how to deal with the victims of bullying.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.8 Female students are not subjected to sexual harassment at school.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.9 Female teachers are not subjected to sexual harassment at school.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.10 The school has a policy on how to deal with the victims of sexual harassment.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.11 There is a code of conduct about how the school expects students to behave.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.12 There a code of conduct about acceptable behaviour between staff and students.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)

Quality Area 4. Not tolerating bullying, harassment and discrimination (continued).

- 4.13 The code of conduct is regularly updated.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.14 All policies and codes of conduct are displayed in the school for everyone to read.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.15 Staff have a policy about the best way to include 'loners' and those who are recognized as 'different' in school activities.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.16 The staff take active steps to prevent the exclusion of students by their peers.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.17 The school records and monitors injuries reported by students and staff.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 4.18 The school has student advisors who have been trained to mediate when conflict occurs.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)

Total score for Quality Area 4:

Number of questions answered: 18

Average score for Quality Area 4:

Quality Area 5. Valuing the development of creative activities.

- 5.1 There are regular times available for recreation and play throughout the school day.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 5.2 The play and recreation periods are supervised by responsible adults.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 5.3 There are sufficient supervisors to monitor activities in every part of the play area.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 5.4 There is a quiet place available during recreation times that can be used by students who do not wish to join in communal play.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 5.5 There is the opportunity for students to create their own imaginative games without involving adults.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 5.6 All students have opportunities to experience creative learning experiences that are free from the stress of competition and examinations, e.g. music, art, drama
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 5.7 All students have opportunities to experience creative learning experiences that involve reasonable, constructive competition.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 5.8 All students have opportunities to experience creative learning experiences that provide rewards for effort as well as achievement.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 5.9 All students are provided with opportunities to engage in physical activity as a recreational choice.
How much is this like your school?
For male students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
For female students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)

Quality Area 5. Valuing the development of creative activities (continued).

5.10 There is a programme of activities outside the school hours that students can join.

How much is this like your school?

For male students:

Not at all (1) A little (2) Quite a lot (3) Very much (4)

For female students:

Not at all (1) A little (2) Quite a lot (3) Very much (4)

Total score for Quality Area 5:

Number of questions answered: 10 if single sex school; 12 if mixed school

Average score for Quality Area 5:

Quality Area 6. Connecting school and home life through involving parents

6.1	Parents are informed about policies and codes of conduct in the school. How much is this like your school? Not at all (1) A little (2) Quite a lot (3) Very much (4)
6.2	Parents are encouraged and assisted by the school to help their children consolidate their learning at home. How much is this like your school? Not at all (1) A little (2) Quite a lot (3) Very much (4)
6.3	Parents know that the school should be told about any major changes in the child's home life so that help can be provided, if needed. How much is this like your school? Not at all (1) A little (2) Quite a lot (3) Very much (4)
6.4	The school invites parents to discuss the child's work with the teachers. How much is this like your school? Not at all (1) A little (2) Quite a lot (3) Very much (4)
6.5	Parents feel welcome at the school. How much is this like your school? Not at all (1) A little (2) Quite a lot (3) Very much (4)
6.6	Parents have the opportunity to discuss the school's policies and codes of conduct and to contribute to decision-making by the school. How much is this like your school? Not at all (1) A little (2) Quite a lot (3) Very much (4)
6.7	Parents know that the school actively promotes cooperation, inside and outside the classroom. How much is this like your school? Not at all (1) A little (2) Quite a lot (3) Very much (4)
6.8	Parents feel able to go to the school to ask questions or discuss worries they have about their child. How much is this like your school? Not at all (1) A little (2) Quite a lot (3) Very much (4)
6.9	There are regular opportunities for parents to inform the teacher and other appropriate authorities about what is happening at home and in the community. How much is this like your school? Not at all (1) A little (2) Quite a lot (3) Very much (4)
6.10	There are opportunities for parents to be involved in activities linked to the school life and work, e.g., outings, fund-raising. How much is this like your school? Not at all (1) A little (2) Quite a lot (3) Very much (4)
6.11	Parents are involved in discussion and decisions about what students are taught at school. How much is this like your school? Not at all (1) A little (2) Quite a lot (3) Very much (4)

Quality Area 6. Connecting school and home life through involving parents (continued).

- | | | | | | |
|------|--|----------------|--------------|-----------------|---------------|
| 6.12 | Parents are involved in discussion and decisions about how students are taught (i.e., teaching methods).
How much is this like your school? | Not at all (1) | A little (2) | Quite a lot (3) | Very much (4) |
| 6.13 | The school regularly communicates news to parents about the school and its activities.
How much is this like your school? | Not at all (1) | A little (2) | Quite a lot (3) | Very much (4) |

Total score for Quality Area 6:

Number of questions answered: 13

Average score for Quality Area 6:

Quality Area 7. Promoting equal opportunities and participation in decision-making.

- 7.1 Students have the opportunity to speak, and be listened to, in class.
How much is this like your school?
For male students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
For female students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 7.2 There is a procedure that enables all students to openly express their feelings and thoughts about school work and school life.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 7.3 The school actively involves students in decisions about how the school is organized.
How much is this like your school?
For male students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
For female students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 7.4 The materials and resources used by students are free from pejorative ethnic stereotypes.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 7.5 The materials and resources used by students are free from religious stereotypes.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 7.6 The materials and resources used by students are free from gender stereotypes.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 7.7 Students take part in activities that help them to recognize, understand and value differences between them (e.g., cultural, religious and social).
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 7.8 Students who are 'different' in any way are treated with respect and equality.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 7.9 No students in the school are excluded from the possibility of being successful.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)

Quality Area 7. Promoting equal opportunities and participation in decision-making (continued).

- 7.10 Students take part in deciding the rules of the school.
How much is this like your school?
For male students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)
For female students:
Not at all (1) A little (2) Quite a lot (3) Very much (4)

WHERE APPROPRIATE:

- 7.11 Students who are working in their second language have opportunities during the school day to speak in their first language.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 7.12 Girls and boys are treated as equals.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)
- 7.13 Girls and boys have the same opportunities to reach their potential.
How much is this like your school?
Not at all (1) A little (2) Quite a lot (3) Very much (4)

Total score for Quality Area 7:

Number of questions answered: 10 or 11 if single sex school; 15 or 16 if mixed school

Average score for Quality Area 7:

Annex 2

THE PSYCHO-SOCIAL ENVIRONMENT (PSE) PROFILE SCORING SHEETS

Table 2. Scoring the Profile

For each quality area, calculate the sum of all respondent's scores and place the sum in the Respondants' score column. Divide the Respondents' score by the Total # items to determine the Respondents' average score.

Quality Areas	Single sex schools			Mixed sex schools		
	Respondent's score (A)	Total# items (B)	Respondent's average (A/B)	Respondent's score (A)	Total# items (B)	Respondent's average (A/B)
Providing a friendly, rewarding and supportive atmosphere		18			24	
Supporting cooperation and active learning		8			10	
Forbidding physical punishment and violence		20			21	
Not tolerating bullying and harassment		18			18	
Valuing the development of creative activities		10			12	
Connecting school and home life		13			13	
Promoting equal opportunities and participation		10 or 11			15 or 16	
TOTAL						

Table 3. Looking at the results for different groups

To calculate the average scores for a specific group, such as persons serving in certain roles, assemble the responses of all persons in the groups and calculate an average score for that group using the same procedure used to calculate an average score for all respondents.

Quality Area	Average scores by sex of respondents		Average score by role			
	Male	Female	Managers	Teachers	Support Staff	Parents
Providing a friendly, rewarding and supportive atmosphere						
Supporting cooperation and active learning						
Forbidding physical punishment and violence						
Not tolerating bullying and harassment						
Valuing the development of creative activities						
Connecting school and home life						
Promoting equal opportunities and participation						
TOTAL						

Annex 3

THE PSYCHO-SOCIAL ENVIRONMENT (PSE) PROFILE

WORKSHEETS FOR LEADING DISCUSSIONS OF QUALITY AREAS

Creating an environment for emotional and social well-being: An important responsibility of a Health-Promoting and Child Friendly School

THE PSYCHO-SOCIAL (PSE) PROFILE WORKSHEETS

These worksheets are designed to help you lead a discussion with students, parents, staff, etc. about each Quality Area after you have collected and tabulated all the results from the completed PSE Profiles.

Quality Area 1. Providing a friendly, rewarding and supportive atmosphere

• **Description:**

The 'climate' of a school has been identified as one of the most important features of a good school. At its best, the school should be a caring, happy and safe environment in which to work and play. Where the atmosphere in a school is uncaring, unsupportive and unrewarding, the mental health, as well as the work of pupils and teachers, can be adversely affected. The impact of this unfriendly atmosphere is particularly damaging if it persists for many years. The role of the teacher includes taking care of his/her students' psychological welfare. In a school that scores high in this quality area, teachers and pupils feel valued. Both feel confident that they are doing a good job. Parents are interested and supportive. They believe they have a role in the school and see reasons to give their support. "Friendliness" covers a very wide range of activities. At one level, it is about welcoming new people to the school, especially at the start of the new school year so that they feel confident and safe from the beginning. At another level, it is about effective and sensitive communication: not only teachers providing appropriate, constructive feedback about the child's work and giving encouragement but also pupils giving positive feedback to other pupils and to the teachers themselves. Through a greater attachment and sense of belonging, the school becomes a place where boys and girls want to be.

<ul style="list-style-type: none">• Summary of your school's results in this area: • Strengths and weaknesses of your school in this area: • Main points discussed by group: • Priorities for action to improve this quality area and timing of action <table><thead><tr><th>Priority</th><th>Timing</th></tr></thead><tbody><tr><td>1.</td><td></td></tr><tr><td>2.</td><td></td></tr><tr><td>3.</td><td></td></tr><tr><td>4.</td><td></td></tr><tr><td>5.</td><td></td></tr></tbody></table>	Priority	Timing	1.		2.		3.		4.		5.	
Priority	Timing											
1.												
2.												
3.												
4.												
5.												

Quality Area 2. Supporting cooperation and active learning

- Description:

Cooperation is an important characteristic of schools that are health-promoting and child-friendly. Promoting small group work in class and ongoing co-operative contact between pupils is central to creating a more child-friendly atmosphere. It can reduce stereotyping and improve relations between children from different social and ethnic groups. When students co-operate, the winners and losers are less obvious and subsequent humiliation for the losers is avoided. Boys and girls with learning difficulties and those who are from disadvantaged communities can also benefit greatly from this method. When students constantly receive the message that they are failures, their desire to succeed erodes.

When students co-operate in learning, there is greater task involvement, fewer diversions from the task and a greater proportion of time spent on instruction rather than procedure. Children are able to help each other much more; those with low levels of attainment benefit and those with high attainment do better still. The students' work can be more thoughtful, thorough and well presented.

When students can participate in projects and solve problems together, they enjoy co-operative learning and find it stimulating and fun. The material is more interesting and the children are more involved. It is also an important way of empowering boys and girls and enabling them to take more responsibility for their own learning. A cooperative learning experience enables students to articulate their thoughts as part of the dialogue between thinking and learning. It is important that students feel able to seek clarification and further information from their teachers about the topics that engage them.

Students who participate in class are less likely to feel alienated from school. Alienation brings increased risks to mental and physical health. Active learning can help students to develop problem solving skills. In research where children have been left alone to play their own games, it has been found that children naturally develop agreements about egalitarian rules. They themselves see the intrinsic importance of sharing and co-operation, so it is possible to harness some of this potential. Showing boys and girls the value of cooperation encourages co-operative behaviour in situations and places outside the school setting, so that the family and community also benefit. Active learning techniques, such as role playing, school/community projects, team-based research projects, etc., may be new to some teachers, and training may be necessary to help them acquire skills and confidence to use such techniques. There are some pitfalls; children assembled together in small groups may not necessarily work co-operatively. Without careful implementation of cooperative learning procedures, they may continue to work individually.

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- Strengths and weaknesses of your school in this area:

- Main points discussed by group:

- Priorities for action to improve this quality area and timing of action

Priority

- 1.
- 2.
- 3.
- 4.
- 5.

Timing

Quality Area 3. Forbidding physical punishment and violence

- Description:

Physical punishment of children in schools is unnecessary and unacceptable for good mental health and sound education. This is a contentious issue because in some cultures violence against students, in the form of corporal punishment, may be legally sanctioned, while in other cultures it may be viewed as a form of child abuse. Corporal punishment is unnecessary because it does not work; it suppresses undesirable behaviour for only a short period of time, and creates an atmosphere of fear that is counterproductive to learning. Aggression and deviant behaviour among children in school can, in turn, lead teachers to be fearful about their own personal safety. Harsh treatment of students is associated with high rates of mental health problems including substance abuse later in adulthood. There is growing evidence that discipline is not only derived from rules, punishment and external control, it is also learned from rewards and encouragement, and from consequences that are fair, firm and clearly communicated. Ideas and examples of supportive policies and practices are available in the WHO School Health Information Series document titled "Violence Prevention: An Important Element of a Health-Promoting School/WHO/SCHOOL/98.3"

Schools should strive for a school environment with a balance of warmth, positive interest and involvement from adults on the one hand, and the enforcement of firm limits to unacceptable behaviour, on the other. Where limitations and rules are violated, non-hostile, non-physical sanctions should be consistently applied. Implicit to the success of this strategy is the monitoring of student activities in and out of school. Adults at school and home must also act as authority figures in some respects. Having clear, fair rules and applying them consistently, is vital to good order. Students themselves often agree that a good reason for having discipline is to make the school a safe place and can be encouraged to share the responsibility of preventing violence from occurring. Staff need the opportunity to acquire knowledge and skills so that they know how to deal with loss of discipline and violence at all levels, ranging from verbal aggression like name-calling and rumours, to intervening in fights.

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Quality Area 4. Not tolerating bullying, harassment and discrimination

- Description:

Bullying and harassment are important reasons why girls and boys do not want to attend school. Those who are not accepted by the group may be victimized; they may be seen as weak, having low self-esteem, depression or a handicap. Stereotyping, prejudice and discrimination occur not only in relation to gender, ethnic group and disability but also for many other reasons related to appearance, which may often seem trivial to adults. Bullying and harassment can make going to school an intensely unpleasant experience, and, if persistent, can have a pernicious influence on mental health, especially in relation to depression and suicide.

If unchecked, harassment can escalate to abuse and violence. Girls are sexually harassed, sometimes raped, by their fellow students, their teachers or strangers as they go to school. Surveys of young adults also reveal an increasing number of boys who have been sexually harassed or abused by other boys.

Children have a fundamental right to feel safe in school and to be spared the oppression and repeated, intentional humiliation and potential danger caused by bullying and harassment. Although much of the research in this area has tended to focus on the personalities of bullies, victims and mobs, much can be done to change the way the school is organized and to adjust its collective attitude and atmosphere with the aim of preventing bullying. For instance, teachers' attitudes have an important effect on whether bullying is identified and discouraged, rather than tolerated or ignored. By not treating the complaint seriously, teachers can contribute to, and even increase, the distress of those who are targets.

Bullying is an issue that involves the whole community, as it occurs not only in toilets and quiet parts of the playground during school hours but also before and after school and while girls and boys are travelling to and from school. Schools need to discuss the problem openly and produce a clear plan of action for dealing with bullying and harassment and its humiliating consequences. Vigilant parents should be encouraged to work in partnership with teachers; together they can prevent the escalation of a range of undesirable behaviours. They may notice a change from playful teasing to ritual insults, be able to report theft and counteract physical aggression as soon as it becomes evident. Student mentors can take responsibility for integrating newcomers and loners. Increasing the capacity and motivation of children to co-operate can lead to a reduction in school bullying. Successful interventions claim a marked improvement in school climate especially in terms of order and discipline, more positive attitudes towards school and its work, fewer victims and fewer new victims, as well as reductions in injuries, emotional trauma, and anti-social behaviours, like vandalism.

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Quality Area 5. Valuing the development of creative activity

- Description:

One of the key features of a health-promoting and child-friendly school is the availability of places and opportunities for pupils to play, socialize and participate in creative and recreational activities. Rest and relaxation are important if learning is to be consolidated. The recess provides opportunities for students to practice the skills learned in the classroom and to exchange new skills with peers. Facilities and equipment, e.g. for climbing and swinging, provide opportunities for physical activity. Time free from the curriculum is vital to the development of a child's imagination. Thus, the school should give careful consideration to ensuring that students have opportunities, facilities, and time to learn crafts, play in drama, music, and so on. It is also important that they are able to do this in situations where they are relatively free from undue pressures to perform under assessed conditions.

Imagination and creativity are often expressed on the playground. When children play with their peers, play is less concerned with the ends and more with the means. It can be intrinsically interesting in its ritual and variety. It is also connected with a higher level of creativity than more structured situations because thinking about ways of doing things leads children to attempt more novel solutions to their problems. By playing games, children discover more about who they are and how they behave in different situations. Some games promote traditional sex roles but where games are free from adult supervision, they are found to bring the benefits of flexible behaviour and the use of few rules and specialized roles. Through play, children can learn life skills necessary for independence, organization, negotiation and arbitration. Activities outside school time have the additional benefit of enabling staff and students to get to know each other better. High cost equipment is not essential for stimulating play. Simple, low-cost and easy ideas, such as using stones, logs, or paint to mark out popular games on the playground, can be just as much fun and equally effective for this purpose

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Quality Area 6. Connecting school and home life through involving parents

- Description:

The engagement of parents in school activities and decisions is an essential element of a health-promoting and a child-friendly school. The family and school are two of the most important institutions that influence children. However, in many cases, they take little notice of each other and pay limited attention to how much they depend on each other to secure children's well-being and provide opportunities for learning and development. Most families cannot educate their children without the assistance of schools, while education in schools requires the collaboration of families. An important function of schooling is to assist families to help their young become emotionally and socially secure and productive members of the community. Although teaching is primarily about helping children to learn, it is not possible to ignore the family from which the child comes without risks to effective learning.

Contact between home and school promotes good teaching. Teachers are better able to understand the child and tailor their teaching to the child's needs if they are aware of their background. With this knowledge they are less likely to undermine traditions and values that the child learns at home, in a way that might unwittingly lead to contradictions, conflict and unhappiness. But teachers must be approachable if parents are to feel welcome at school. Parents, who feel positive about school and involved in its life, are likely to be the best advocates for the school's values, policies and practices at home, whether encouraging homework, promoting anti-harassment policy or supporting co-operation with others. Where there is no contact between home and school, problems and major changes in the child's life may go unrecognized and unaddressed by the school. In less privileged families, strong parental support and a positive school climate can foster the development of high levels of self-confidence and self-esteem.

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Quality Area 7. Promoting equal opportunities and participation in decision-making

- Description:

A health-promoting and child-friendly school gives children emotional and social support and helps them acquire the confidence they need to speak freely about the school and their life within it. Like adults, children seek self-determination. Children need the opportunity to be informed about the issues that affect them and to actively participate in the decision-making process together with staff and parents. The school also needs to provide the opportunity for students to say if they believe that something is wrong or unfair and to influence the timing where change is necessary, without fear of reprisals. They should be provided with the opportunity and facilities to choose their leaders. Helping students to believe in themselves is empowering and encourages them to stand up for their rights. While children need to be encouraged to take responsibility for themselves and their community, at the same time they have a right to a period of their lives when they can be physically and environmentally dependent on others and protected from physical, social and emotional harm. Developing an awareness of justice and rights can be taught through pointing out injustice and then encouraging children to use reasoning and decision-making to make sense of it. As they mature, it is possible to give children an increasing voice in the decision-making about rules, rights and discipline in the school. By making a valued contribution to organising the way the school works, children find their school more supportive, attractive and friendly. Participation fosters physical, mental and social well-being within the learning environment.

An important component of emotional and social well-being is feeling accepted for who you are. Feeling excluded or less than equal (especially for reasons that are beyond your control) is damaging to self-esteem and dignity. Students who are treated as equals and believe that the chance of success is as accessible to them as to the next person, are not only more likely to reach their intellectual potential but will value their school for its friendly and supportive environment and be more tolerant of others who are 'different'. Schools need to find their own ways to acknowledge and welcome ethnic, religious and cultural diversity, as well as those who have special needs due to disability, poverty or being orphaned.

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More information about this project is available from:

- Mr Charles Gollmar, School Health/Youth Health Promotion Group Leader, Department of Noncommunicable Disease Prevention and Health Promotion, World Health Organization, Geneva.
- Dr Shekhar Saxena, Coordinator, Mental Health and Substance Dependence, Evidence and Research, World Health Organization, CH-1211, Geneva, Switzerland
- A more detailed literature review and inquiries about the construction of the original Checklist can be addressed to Professor Suzanne Skevington, WHO Centre for the Study of Quality of Life, University of Bath, Bath, BA2 9AY, UK (s.m.skevington@bath.ac.uk).

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