
Matters identified in decisions taken by the Conference of the Parties that call for action in the period between its first and second sessions

Reporting and exchange of information¹

1. The Conference of the Parties, at its first session in February 2006, decided to adopt provisionally the format for the submission of national reports as set out in the reporting instrument of the Annex to decision FCTC/COP1(14), pending further consideration at its next session.
2. This Annex outlines a proposed approach to reporting by the Parties and gives details of the three groups of questions in the reporting instrument. Group 1 covers core areas including legislation, taxation and funding for implementation activities; Groups 2 and 3 contain more complex or detailed questions. Each Party is required to report on all core Group 1 questions within two years of entry into force of the Convention for that Party; on all Group 2 questions within five years of entry into force; and on Group 3 questions within eight years of entry into force of the Convention for that Party.
3. In order to facilitate the reporting process, the first session of the Conference of the Parties nominated two Parties, Australia and Canada, to facilitate completion of the reporting instrument. To that end they have prepared, in consultation with the Convention Secretariat, a set of instructions which are included as annotations in a column on the right-hand side of the pages of the reporting instrument. The tables and questions in the reporting instrument remain exactly as adopted in decision FCTC/COP1(14). The reporting instrument, its objectives, and instructions in its use are set out in Annex I of this document.
4. As indicated in the Annex to decision FCTC/COP1(14), it is expected that the Convention Secretariat will provide an analysis of the progress being made internationally in implementation of the Convention. This summary should be provided annually as from 2007 and should seek to reflect international and regional progress; highlight significant achievements; and reflect the spirit of shared learning. Progress will be assessed by the Conference of the Parties regionally and globally on the basis of reports received.
5. In accordance with Rule 8 of the Rules of Procedure of the Conference of the Parties, the provisional agenda for each regular session, together with other conference documents, must be distributed in the official languages, by the Convention Secretariat to the Parties and to observers

¹ Decision FCTC/COP1(14).

invited to attend the session in accordance with Rules 29, 30 and 31, at least 60 days before the opening day of the session. The second session of the Conference of the Parties will open on 30 June 2007; all conference documents must therefore be distributed by 1 May 2007. In view of the time needed to prepare documentation, the deadline for submission of reports by parties is 27 February 2007. Reports received by the Convention Secretariat after that date will not be included in its report to the second session. They will, however, be available for review at the second session in English, if possible, otherwise in the language in which they are submitted. Annex 2 to this document lists the 40 Parties required to report by 27 February 2007. Annex 3 lists the 21 Parties required to report after that deadline but before the opening of the second session.

6. In preparing their reports, Parties should be mindful of the opportunities for shared learning and, where appropriate, should include examples of best practice or e-mail contact details of someone who would be able to provide further information on particular aspects of implementation.

7. In filling out the reporting instrument, Parties should provide information in the requisite format for the sake of consistency and ease of data processing and analysis. Electronic versions of the instrument and instructions¹ will be available online as a web-based interface, and in a downloadable format, in advance of the 27 February 2007 deadline. In keeping with the decision of the Conference of the Parties, the Convention Secretariat encourages all Parties to use the electronic format rather than completing the form by hand.

¹ http://www.who.int/tobacco/framework/cop/decision_14/en/index.html.

ANNEX 1

PROGRESS REPORT FOR THE CONFERENCE OF THE PARTIES TO THE WHO FRAMEWORK CONVENTION ON TOBACCO CONTROL

Reporting Instrument as adopted by decision FCTC/COP1(14) and instructions

The Progress Report for the Conference of the Parties provides information on how Parties to the WHO Framework Convention on Tobacco Control are progressing in implementing the Convention.

Completion of the progress reports is required under *Article 21 – Reporting and Exchange of Information*.

OBJECTIVE OF THE PROGRESS REPORT FOR THE CONFERENCE OF THE PARTIES

To understand and learn from each other's experiences in implementing the WHO Framework Convention on Tobacco Control through the submission of national reports from each member country.

FREQUENCY AND TIMING OF THE REPORTS

As agreed at the first session of the Conference of the Parties (6 – 17 February 2006) a graduated reporting system will be implemented. As such, reports will be separated into three (3) Groups.

Group 1 (for which questions have been developed) – relates to core data items including legislation, taxation and funding for implementation activities.

Group 1 Parties are to report against all Group 1 **core** questions within **two years** of entry into force of the Convention for that Party. This is the minimum reporting requirement. Parties may also choose to report against selected optional questions, as indicated.

Group 2 and Group 3 (questions will be developed at the second session of the Conference of the Parties) – and will expand on Group 1 questions.

Group 2 Parties are to report against all Group 2 core questions within five years of entry into force of the Convention for that Party. This is the minimum reporting requirement at that time. Parties may also choose to report against selected optional questions as will be indicated when the Group 2 reporting questions are provided.

Group 3 Parties are to report against Group 3 core questions within eight years of entry into force of the Convention for that Party.

All reports are to be submitted to the Convention Secretariat.

This process allows reports to be staggered in their submission to the Convention Secretariat, rather than all Parties' reports being due at the same time.

When preparing reports, Parties should be mindful of the opportunities for shared learning and are required to submit examples of best practice and/or contact details for further information.

SUBMISSION OF THE REPORT

Parties will provide reports in one of the **six official languages of the Conference of the Parties**,¹ and shall not expect the Convention Secretariat to provide translations. However, it is expected that the Convention Secretariat, in considering the reports, will make available summaries or analyses of reports which focus on significant achievements or areas where information sharing could be valuable. These summaries will be provided in the six official languages.

ASSISTANCE TO DEVELOPING ECONOMY COUNTRIES AND COUNTRIES WITH ECONOMIES IN TRANSITION (SECTION 7: TECHNICAL AND FINANCIAL ASSISTANCE)

In this section of the report, Parties should report on:

- their ability to provide assistance, both financial and technical, in the case of development partners, as well as assistance already provided; or
- their assessed areas of need and the extent to which these are being met (or otherwise), and estimates of assistance, both technical and financial required to enable them to move to the next reporting group, in the case of developing economies and economies in transition. This could also include relevant information about any constraints or barriers to implementation as well as the assistance already received/provided.

In addition, the Convention Secretariat will operate as a clearinghouse seeking to facilitate coordination of available skills and resources with identified needs. In the first instance, the Convention Secretariat will manage this process within regions, to allow more efficient operation and greater understanding within regions.

FEEDBACK ARRANGEMENTS

To facilitate access to examples of best practice in specific areas of tobacco control, all Parties' reports are to be available on a web site and arranged under the headings – Two Year Reports, Five Year Reports and Eight Year Reports to enable Parties to understand the progress being made internationally in implementing the WHO Framework Convention on Tobacco Control. This web site will allow access by

¹ Arabic, Chinese, English, French, Russian or Spanish.

non-ratifying countries which may be seeking information to allow them to take effective steps in tobacco control. This is consistent with the objective to learn from each other's experience.

The Convention Secretariat will provide feedback to each reporting Party. The Secretariat will also provide an analysis of the progress being made internationally in implementation of the Convention. Such a summary should be provided annually commencing in 2007 and should seek to:

- reflect international and regional progress;
- highlight significant achievements; and
- reflect the spirit of shared learning.

It is further suggested that existing WHO regional group meetings provide an opportunity to share learning and obtain feedback from other Parties on their progress in implementing the WHO Framework Convention on Tobacco Control.

Progress will be assessed by the Conference of the Parties regionally and globally based on reports received and analysed by the Secretariat.

It should be noted that the items identified in the Annex are not exhaustive, but reflect the spirit and intent of the Convention.

Any questions regarding the reporting instrument and/or its electronic versions, should be referred to Dr Bettcher, Coordinator, WHO Framework Convention on Tobacco Control Office, World Health Organization, CH-1211 Geneva 27, Switzerland

Tel: (+41) 22 791 4253
Fax: (+41) 22 791 4832
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Step By Step Instructions for the Reporting Instrument

REPORTING INSTRUMENT	
1. Origin of the report	
(a) Name of Contracting Party	
(b) Information on National Contact/Focal Point	
Name and title of contact officer	
Mailing address	
Telephone number	
Fax number	
E-mail	
(c) Information on contact officer submitting the national report if different from the above	
Name and title of contact officer	
Mailing address	
Telephone number	
Fax number	
E-mail	
(d) Signature of the officer responsible for submitting report	
Name and title of officer	
Full name of the institution	
Mailing address	
Telephone number	
Fax number	
E-mail	
Web page	
(e) Period reported	
(f) Date the report was submitted	

Question 1 is mandatory

- a) The Contracting Party is the name of your Country.
- b) The National Contact/Focal Point is the Country Representative.

- c) Please insert the name and details of the contact officer if different from the National Contact.

- d) Please insert the name and details of the officer responsible for submitting this report (if different from the above).

- e) Please insert the start – end date for the reporting period. (Day/Month/Year) to (Day/Month/Year)
- f) Please insert the date that the report was submitted. (Day/Month/Year)

2. Demographics					
(a) Age and sex:					
Year (latest available)					
Age groups					
Percentage of male population					
Percentage of female population					
Percentage of total population					
(b) Ethnicity (optional):					
Name of ethnic group					
Percentage of total population					
3. Tobacco use					
i. Prevalence (ref. Article 19.2(a), Article 20.2 and Article 20.3(a))					
(a) Smoking tobacco:					
	Age groups ¹ (adults)	Tobacco products included	Year of data (latest available)	Prevalence (%)	
Males					
Daily smokers ²					
Occasional smokers ²					
Females					
Daily smokers ²					
Occasional smokers ²					
Total (males and females)					
Daily smokers ²					
Occasional smokers ²					
¹ Preferably by 10-year categories; e.g. 25-34, 35-44, etc. ² Definitions to be provided by the Parties.					

Demographics & Tobacco Use Sections:

These sections of the progress report comprise questions regarding obligations under the WHO Framework Convention requiring that Contracting Parties monitor and/or collect data regarding the magnitude, patterns, determinants and consequences of tobacco consumption and exposure to tobacco smoke, including data sensitive to gender, youth and indigenous populations.

Question 2(a) is mandatory

Please complete all sections of the table, including the year in which the data were collected. Use more than one table if necessary (e.g., if there are more than five age groups). Please provide the relevant documentation and sources used.

Question 2(b) is optional

If appropriate, please include ethnicity. Use more than one table if necessary (e.g., if there are more than five ethnic groups). Please report all ethnic groups reported in official statistics.

The Prevalence section refers to:

Article 19.2: Parties shall cooperate with each other in exchanging information through the Conference of the Parties in accordance with Article 21 including:

(a) information on the health effects of the consumption of tobacco products and exposure to tobacco smoke in accordance with Article 20.3(a)

Article 20.3: Parties recognize the importance of financial and technical assistance from international and regional intergovernmental organizations and other bodies. Each Party shall endeavour to:

(a) establish progressively a national system for the epidemiological surveillance of tobacco consumption and related social, economic and health indicators;

Article 20.2: The Parties shall establish, as appropriate, programmes for national, regional and global surveillance of the magnitude, patterns, determinants and consequences of tobacco consumption and exposure to tobacco smoke. Towards this end, the Parties should integrate tobacco surveillance programmes into national, regional and global health surveillance programmes so that data are comparable and can be analysed at the regional and international levels, as appropriate.

If available, please provide the average number of cigarettes smoked per day by the smoking population:

	Age groups ¹ (adults)	Tobacco products included	Year of data (latest available)	Average number of cigarettes smoked per day
Male smokers²				
Female smokers²				
Total smokers²				

(b) Smokeless tobacco, including snuff and chewing tobacco (optional):

	Age groups ¹ (adults)	Tobacco products included	Year of data (latest available)	Prevalence (%)
Male				
Daily users				
Occasional users ²				
Female				
Daily users				
Occasional users ²				
Total				
Daily users				
Occasional users ²				

(c) If prevalence data is appropriate and available for ethnic groups, please provide:

	Ethnic group	Tobacco products included	Year of data (latest available)	Prevalence (%)
Daily users				
Occasional users ²				

¹ Preferably by 10-year categories; e.g. 25-34, 35-44, etc.

² Definitions to be provided by the Parties.

Question 3i(a) is mandatory

Use more than one table per section if necessary (e.g., you can use one table per age group). Please be sure to report the prevalence of smoking, not the number of smokers. Please provide the relevant documentation and sources used.

The definitions for daily smokers and occasional smokers are to be provided by the Parties. As the definition of daily and occasional smokers varies internationally, please ensure that you provide a definition relevant to your country.

Where possible, break this data into Male and Female.

Question 3i(b) is optional

Use more than one table if necessary (e.g., you can use one table per age group). Please be sure to report the prevalence of smoking, not the number of smokers. Please provide the relevant documentation and sources used.

The definitions for daily smokers and occasional smokers are to be provided by the Parties. As the definition of daily and occasional smokers varies internationally, please ensure that you provide a definition relevant to your country.

Where possible, break this data into Male and Female.

Question 3i(c) is mandatory if data is available

Use more than one table if necessary (e.g., you can use one table per ethnic group). Please be sure to report the prevalence of smoking, not the number of smokers.

The definitions for daily smokers and occasional smokers are to be provided by the Parties. As the definition of daily and occasional smokers varies internationally, please ensure that you provide a definition relevant to your country.

Please note: in cases where data has been collected, either by a country or as part of an existing surveillance programme, copies of the data should be attached to the completed questionnaire.

(d) If prevalence data is appropriate and available for youth groups, please provide.

	Youth groups ¹	Tobacco products included	Year of data (latest available)	Prevalence ² (%)
Males				
Females				

ii. Supply

(a) Licit supply of tobacco (ref. **Article 20.4(c)** and **Article 15.4(a)** in accordance with **Article 15.5**)

	Domestic production	Exports	Imports
Year (latest available)			
Quantity (specify product and unit; e.g. millions of cigarettes)			

Note: licit supply = domestic production + (imports - exports)

(b) Please provide information regarding duty-free sales volumes, if available.

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(c) Seizures of illicit tobacco (ref. **Article 15.4(a)** in accordance with **Article 15.5**)

	Cigarettes	Other tobacco products (optional; please specify product)
Year (latest available)		
Quantity seized (specify unit; e.g. millions of pieces)		

(d) Please provide information regarding illicit or smuggled tobacco (optional) (ref. **Article 15.4(a)** in accordance with **Article 15.5**).

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¹ Definitions to be provided by the Parties.

² Parties should provide definition for youth smoking; e.g. at least one cigarette in the past 30 days.

Question 3i(d) is mandatory if data is available

Please be sure to report the prevalence of smoking, not the number of smokers.

The definition of Youth Groups is to be provided by the Parties.

Prevalence definitions to be supplied by the Parties – e.g., at least one cigarette in the past 30 days.

The Supply section refers to:

Article 20.4: The Parties shall, subject to national law, promote and facilitate the exchange of publicly available scientific, technical, socioeconomic, commercial and legal information, as well as information regarding practices of the tobacco industry and the cultivation of tobacco, which is relevant to this Convention, and in so doing shall take into account and address the special needs of developing country Parties and Parties with economies in transition. Each Party shall endeavour to:

(c) cooperate with competent international organizations to progressively establish and maintain a global system to regularly collect and disseminate information on tobacco production, manufacture and the activities of the tobacco industry which have an impact on the Convention or national tobacco control activities.

Article 15.4: With a view to eliminating illicit trade in tobacco products, each Party shall: (a) monitor and collect data on cross-border trade in tobacco products, including illicit trade, and exchange information among customs, tax and other authorities, as appropriate, and in accordance with national law and relevant applicable bilateral or multilateral agreements.

Article 15.5: Information collected pursuant to subparagraphs 4(a) and 4(d) of this Article shall, as appropriate, be provided in aggregate form by the Parties in their periodic reports to the Conference of the Parties, in accordance with Article 21.

Question 3ii(a) is mandatory

Please use as many categories of tobacco products as necessary. Use more than one table if necessary (e.g., you can use one table per product). Please provide the relevant documentation and sources used.

Question 3ii(b) is mandatory if data is available

If available, please provide data on duty-free sales volumes in your country by type of product. Please provide the relevant documentation and sources used.

Question 3ii(c) is mandatory

Please use as many categories of tobacco products as necessary. Use more than one table if necessary (e.g., you can use one table per product). Please provide the relevant documentation and sources used.

Question 3ii(d) is optional

Please provide information on illicit or smuggled tobacco.

4. Taxation

- (a) Please provide your rates of taxation for tobacco products for all levels of government, and be as specific as possible (specify the type of tax: excise, VAT or sales, import duties) (ref. **Article 6.3**).

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- (b) Please attach the relevant documentation (ref. **Article 6.3**). (Please provide documentation in one of the six official languages, if available.)
- (c) Please provide retail prices for the three most popular brands of domestic and imported tobacco products in your jurisdiction, and the relevant year (ref. **Article 6.2(a)**).

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5. Legislative, executive, administrative and other measures**i. Core questions**

It should be noted that the measures identified below are not exhaustive, but reflect the spirit and intent of the Convention.

Please check yes or no. For affirmative answers, you are asked to attach a brief summary and the relevant documentation. (Please provide documentation in one of the six official languages, if available.)

Article	Pursuant to Article 21.1(a) , have you adopted and implemented legislative, executive, administrative and/or other measures on:	Yes (please attach a brief summary and relevant documentation)	No
Price and tax measures to reduce the demand for tobacco			
6.2(b)	Prohibiting or restricting sales to and/or importations by international travellers of tax- and duty-free tobacco products?		
Protection from exposure to tobacco smoke		Full/Partial/None	
8.2	in indoor workplaces?		
	- government buildings		
	- health care facilities		
	- educational facilities		
	- private workplaces		
	- other		

The Taxation section refers to:

Article 6.3: The Parties shall provide rates of taxation for tobacco products and trends in tobacco consumption in their periodic reports to the Conference of the Parties, in accordance with Article 21.

Article 6.2: Without prejudice to the sovereign right of the Parties to determine and establish their taxation policies, each Party should take account of its national health objectives concerning tobacco control and adopt or maintain, as appropriate, measures which may include:

- (a) implementing tax policies and, where appropriate, price policies, on tobacco products so as to contribute to the health objectives aimed at reducing tobacco consumption.

Question 4 is mandatory

(a) It is important that you are as specific as possible. Please list each tax separately and for each tax, specify the rate (or amount in the case of certain taxes - e.g., excise tax) and the base (i.e., what is the rate or amount applied to). If the tax is a percentage, please carefully detail to what amount this percentage applies. Nominal values should be given in local currency.

(b) Please provide all relevant documentation for the rates of taxation in one of the official conference of the parties languages – Arabic, Chinese, English, French, Russian or Spanish, if possible.

(c) Please provide all retail prices for the three (3) most popular brands of domestic and imported tobacco products in your jurisdiction. Please supply these prices for the latest available year in the capital city, from a retail outlet widely used by the local population. Nominal values should be given in local currency. Please specify the kind of tobacco (e.g., cigarette, bidi, chewing tobacco etc.) you are referring to as well as the brand name.

Legislative, executive, administrative and other measures.

This section of the questionnaire comprises questions regarding obligations under the WHO Framework Convention requiring that Contracting Parties adopt and implement legislation, regulation, executive or administrative or other measures or provisions.

In cases where legal measures have been taken to satisfy the terms of the Convention, copies of the legislation, regulation, executive, administrative or other measures should be attached to the completed questionnaire. In cases where legal measures have been taken in a form not amenable to reproduction and inclusion in your answers to this questionnaire, please provide as much detail about the measure (s) taken in the space provided.

	in public transport?			
	in indoor public places?			
	- cultural facilities			
	- bars and night clubs			
	- restaurants			
	- other			
If you responded "Partial" to the measures outlined in Article 8.2, please provide specific details of the partial ban here:				
Regulation of tobacco product disclosures				
10	Requiring manufacturers and/or importers of tobacco products to disclose to governmental authorities information about contents?			
Illicit trade in tobacco products				
15.2(a)	Requiring marking of packaging to assist in determining the origin of the product?			
	and to assist in determining whether the product is legally for sale on the domestic market?			
15.3	Requiring that marking is in legible form and/or appear in its principal language or languages?			
15.4(b)	Enacting or strengthening legislation against illicit trade in tobacco products?			
15.4(e)	Enabling the confiscation of proceeds derived from the illicit trade?			
15.7	Licensing or other actions to control or regulate production and distribution?			
Sales to and by minors				
16.1	Prohibiting the sales of tobacco products to minors?	Specify legal age:		
16.2	Prohibiting or promoting the prohibition of the distribution of free tobacco products to the public and especially minors?			
16.3	Prohibiting the sale of cigarettes individually or in small packets?			
16.6	Providing for penalties against sellers and distributors?			
16.7	Prohibiting the sales of tobacco products by minors?			
Liability				
19.1	Dealing with criminal and civil liability, including compensation where appropriate?			

All sections in 'Question 5i. Core Questions' are mandatory

In this question you are to check the Yes or No boxes for each section. If you check the 'Yes' box you are required to submit a brief summary and relevant documents.

In section 8.2 – *Protection from exposure to tobacco smoke* – please check either Full, Partial or None in environments indicated i.e. workplace, transport, public place. If 'other' is checked please indicate environment.

Please provide specific details of any partial bans in this section.

This section refers to Article 10 – Regulation of tobacco product disclosures. (<http://www.who.int/tobacco/framework/download/en/index.html>)

In this section you need to advise if your country requires manufacturers and/or importers of tobacco to disclose information about contents. Yes/No. If Yes a brief description is required.

This section refers to Article 15 – Illicit trade in tobacco products (<http://www.who.int/tobacco/framework/download/en/index.html>)

This section requires a Yes/No answer on illicit trade in tobacco products. If a 'Yes' answer has been provided a brief description is required.

This section refers to Article 16 – Sales to and by minors

(<http://www.who.int/tobacco/framework/download/en/index.html>)

This section requires a Yes/No answer on sales to and by minors. If a 'Yes' answer has been provided a brief description is required. Please also specify legal age for sales of cigarettes.

This section refers to Article 19 – Liability

(<http://www.who.int/tobacco/framework/download/en/index.html>)

This section requires a Yes/No answer on liability. If a 'Yes' answer has been provided a brief description is required.

ii. Optional questions

It should be noted that responses to these questions are not required at the time of Group 1 reports, but may be answered at this time if applicable.

Article	Have you adopted and implemented legislative, executive, administrative and/or other measures on:	Yes (please attach a brief summary and relevant documentation) ¹	No
Regulation of the contents of tobacco products			
9	Testing and measuring the contents of tobacco products?		
	Testing and measuring the emissions of tobacco products?		
	Regulating the contents of tobacco products?		
	Regulating the emissions of tobacco products?		
Packaging and labelling of tobacco products			
11.1(a)	Requiring that packaging and labelling do not promote a product by any means that are false, misleading, deceptive or likely to create an erroneous impression?		
11.1(b)	Requiring that packaging and labelling also carry health warnings describing the harmful effects of tobacco use?		
11.1(b)(i)	Ensuring that the health warnings are approved by the competent national authority?		
11.1(b)(ii)	Ensuring that the health warnings are rotating?		
11.1(b)(iii)	Ensuring that the health warnings are large, clear, visible and legible?		
	Ensuring that the health warnings occupy no less than 30% of the principal display areas?		
11.1(b)(iv)	Ensuring that the health warnings occupy 50% or more of the principal display areas?		
	Ensuring that the health warnings are in the form of, or include, pictures or pictograms?		

¹ Please provide these documents in one of the six official languages, if available, and please specify sections of your legislation related to each "yes" response.

All sections in 'Question 5ii. Optional questions' are optional unless specified otherwise

This section refers to Article 9 – Regulation of the contents of tobacco products (<http://www.who.int/tobacco/framework/download/en/index.html>) This section requires a Yes/No answer on regulation of the contents of tobacco products. If a 'Yes' answer has been provided a brief description is required.

This section refers to Article 11 – Packaging and labelling of tobacco products (<http://www.who.int/tobacco/framework/download/en/index.html>) This section requires a Yes/No answer on packaging and labelling of tobacco products. If a 'Yes' answer has been provided a brief description is required.

11.2	Requiring that packaging and labelling contains information on relevant constituents and emissions of tobacco products?		
11.3	Requiring that the warnings and other textual information appear on each unit package, and on any outside packaging and labelling in your principal language or languages?		
Tobacco advertising, promotion and sponsorship			
13.2	Instituting a comprehensive ban of all tobacco advertising, promotion and sponsorship?		
	Including on cross-border advertising, promotion and sponsorship originating from its territory?		
13.3	Applying restrictions, in the absence of a comprehensive ban, on all tobacco advertising, promotion and sponsorship?		
	Restricting or instituting a comprehensive ban on cross-border advertising, promotion and sponsorship originating from its territory?		
13.4(a)	Prohibiting all forms of tobacco advertising, promotion and sponsorship that promote a tobacco product by any means that are false, misleading, deceptive or likely to create an erroneous impression?		
13.4(b)	Requiring that health or other appropriate warnings or messages accompany all tobacco advertising and promotion and sponsorship?		
13.4(c)	Restricting the use of direct or indirect incentives that encourage the purchase of tobacco products by the public?		
13.4(d)	Requiring the disclosure to relevant governmental authorities of expenditures by the tobacco industry on advertising, promotion and sponsorship not yet prohibited?		
13.4(e)	Restricting tobacco advertising, promotion and sponsorship on radio, television, print media and other media, such as the Internet?		
13.4(f)	Prohibiting or restricting tobacco sponsorship of international events, activities and/or participants therein?		
If you have any additional legislation or other measures not covered in Question 5, you may provide additional details here:			

← **This section refers to Article 13 – Tobacco advertising and sponsorship** (<http://www.who.int/tobacco/framework/download/en/index.html>)
This section requires a Yes/No answer on tobacco advertising and sponsorship. If a ‘Yes’ answer has been provided a brief description is required.

Questions on Articles 13.2, 13.3 and 13.4(d) are mandatory

Please provide any additional legislation or other measures not covered in Question 5 in this section.

6. Programmes and plans		
i. Core questions		
It should be noted that the measures identified below are not exhaustive, but reflect the spirit and intent of the Convention.		
	Yes (please attach the relevant documentation) ¹	No
Have you developed and implemented comprehensive multisectoral national tobacco control strategies, plans and programmes? (Article 5.1)		
If no, have some partial strategies, plans and programmes been developed and implemented? (Article 5.1)		
If you responded yes to either of the first two questions, which of the following do these strategies, plans and programmes cover? Please check, and provide a brief summary. (Please provide the summary in one of the six official languages.)		
General obligations		
5.2(a)	A national coordinating mechanism or focal point(s) for tobacco control?	
5.3	Protection of policies from the commercial and other vested interests of the tobacco industry?	
Education, communication, training and public awareness		
12(a)	Broad access to effective and comprehensive educational and public awareness programmes on the health risks?	
	... targeted at adults and/or the general public?	
	... targeted at children and youth?	
12(b)	Public awareness about the health risks of tobacco consumption and exposure to tobacco smoke, and about the benefits of the cessation of tobacco use and tobacco-free lifestyles?	
12(c)	Public access to a wide range of information on the tobacco industry?	
12(e)	Awareness and participation of public and private agencies and nongovernmental organizations not affiliated with the tobacco industry in developing and implementing intersectoral programmes and strategies for tobacco control?	
¹ Please provide these documents in one of the six official languages, if possible.		

Programmes and plans

This section of the questionnaire comprises questions regarding obligations under the WHO Framework Convention requiring that Contracting Parties establish plans, policies or research initiatives or otherwise engage in tobacco control-related programmes and projects. This section also addresses obligations requiring dissemination of information to the public and/or to other Contracting Parties or the COP.

All sections in 'Question 6i. Core Questions' are mandatory.

This section refers to Article 5 –

General Obligations

(<http://www.who.int/tobacco/framework/download/en/index.html>)

This section requires a Yes/No answer on general obligations. If a 'Yes' answer has been provided a brief description is required.

This section refers to Article 5 –

General Obligations

(<http://www.who.int/tobacco/framework/download/en/index.html>)

This section requires a Yes/No answer on general obligations. If a 'Yes' answer has been provided a brief description is required.

This section refers to Article 12 –

Education, communication, training and public awareness

(<http://www.who.int/tobacco/framework/download/en/index.html>)

This section requires a Yes/No answer on education, communication, training and public awareness. If a 'Yes' answer has been provided a brief description is required including level of expenditure if possible.

Demand reduction measures concerning tobacco dependence and cessation		
14.1	Comprehensive and integrated guidelines based on scientific evidence and best practices to promote cessation of tobacco use and adequate treatment for tobacco dependence?	
14.2(d)	Facilitation of accessibility and affordability for treatment of tobacco dependence including pharmaceutical products?	
Provision of support for economically viable alternative activities		
17	Promotion of economically viable alternatives for tobacco workers, growers and, as the case may be, individual sellers?	
Research, surveillance and exchange of information		
20.1(a)	Research that addresses the determinants and consequences of tobacco consumption and exposure to tobacco smoke as well as research for identification of alternative crops?	
20.4(b)	Updated data from national surveillance programmes?	
ii. Optional questions		
Education, communication, training and public awareness		
12(d)	Appropriate training or awareness programmes on tobacco control addressed to persons such as health, community and social workers, media professionals, educators, decision-makers, administrators and other concerned persons?	
12(f)	Public awareness of and access to information regarding the adverse health, economic, and environmental consequences of tobacco production and consumption?	
Demand reduction measures concerning tobacco dependence and cessation		
14.2(a)	Design and implementation of programmes aimed at promoting the cessation of tobacco use, in such locations as educational institutions, health care facilities, workplaces and sporting environments?	
14.2(b)	Diagnosis and treatment of tobacco dependence and counselling services on cessation of tobacco use in national health and education programmes, plans and strategies, with the participation of health workers, community workers and social workers?	
14.2(c)	Establishment in health care facilities and rehabilitation centres of programmes for diagnosing, counselling, preventing and treating tobacco dependence?	
Protection of the environment and the health of persons		
18	Due regard to the protection of the environment and the health of persons in relation to the environment in respect of tobacco cultivation and manufacture within its territory?	

This section refers to Article 14 – Demand reduction measures concerning tobacco dependence and cessation
(<http://www.who.int/tobacco/framework/download/en/index.html>)
This section requires a Yes/No answer on demand reduction measures concerning tobacco dependence and cessation. If a ‘Yes’ answer has been provided a brief description is required.

This section refers to Article 17 – Provision of support for economically viable alternative activities
(<http://www.who.int/tobacco/framework/download/en/index.html>)
This section requires a Yes/No answer on provision of support for economically viable alternative activities. If a ‘Yes’ answer has been provided a brief description is required.

This section refers to Article 20 – Research, surveillance and exchange of information
(<http://www.who.int/tobacco/framework/download/en/index.html>)
This section requires a Yes/No answer on research, surveillance and exchange of information activities. If a ‘Yes’ answer has been provided a brief description is required.

All sections in ‘Question 6ii. Optional questions’ are optional

This section refers to Article 12 – Education, communication, training and public awareness
(<http://www.who.int/tobacco/framework/download/en/index.html>)
This section requires a Yes/No answer on education, communication, training and public awareness. If a ‘Yes’ answer has been provided a brief description is required.

This section refers to Article 14 – Demand reduction measures concerning tobacco dependence and cessation
(<http://www.who.int/tobacco/framework/download/en/index.html>)
This section requires a Yes/No answer on demand reduction measures concerning tobacco dependence and cessation. If a ‘Yes’ answer has been provided a brief description is required.

This section refers to Article 18 – Protection of the environment and the health of persons
(<http://www.who.int/tobacco/framework/download/en/index.html>)
This section requires a Yes/No answer on protection of the environment and the health of persons. If a ‘Yes’ answer has been provided a brief description is required.

Research, surveillance and exchange of information		
20.1(b)	Training and support for all those engaged in tobacco control activities, including research, implementation and evaluation?	
20.2	Programmes for national, regional and global surveillance of the magnitude, patterns, determinants and consequences of tobacco consumption and exposure to tobacco smoke?	
20.3(a)	A national system for epidemiological surveillance of tobacco consumption and related social, economic and health indicators?	
20.4	The exchange of publicly available scientific, technical, socioeconomic, commercial and legal information, as well as information regarding practices of the tobacco industry and the cultivation of tobacco?	
20.4(a)	An updated database of laws and regulations on tobacco control, and information about their enforcement, as well as pertinent jurisprudence?	

This section refers to Article 20 – Research, surveillance and exchange of information
(<http://www.who.int/tobacco/framework/download/en/index.html>)
This section requires a Yes/No answer on research, surveillance and exchange of information activities. If a 'Yes' answer has been provided a brief description is required.

7. Technical and financial assistance

The goal of this section is to assist the Secretariat in facilitating the coordination of available skills and resources with identified needs.

Pursuant to **Article 21.1(c)**, have you either provided or received financial or technical assistance (be it through unilateral, bilateral, regional, subregional or other multilateral channels, including relevant regional and international intergovernmental organizations and financial and development institutions) for the development and strengthening of multisectoral comprehensive tobacco control programmes of developing country Parties and Parties with economies in transition in any of the following areas:

	Assistance provided (please give details below)	Assistance received (please give details below)
Development, transfer and acquisition of technology, knowledge, skills, capacity and expertise related to tobacco control? (Article 22.1(a))	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Provision of technical, scientific, legal and other expertise to establish and strengthen national tobacco control strategies, plans and programmes? (Article 22.1(b))	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Appropriate training or sensitization programmes for appropriate personnel in accordance with Article 12? (Article 22.1(c))	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Provision of the necessary material, equipment and supplies, as well as logistical support, for tobacco control strategies, plans and programmes? (Article 22.1(d))	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Identification of methods for tobacco control, including comprehensive treatment of nicotine addiction? (Article 22.1(e))	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No

Technical and financial assistance
This section of the questionnaire comprises questions regarding technical and financial assistance provided or received for tobacco control activities under the WHO Framework Convention. Questions regarding collaboration and/or cooperation are included in this section in those cases in which the collaboration and/or cooperation in question involves or could involve the receipt of assistance.

Question 7 is mandatory

Please advise if you have either provided or received assistance.

If you answered 'Yes' to either provision or receipt of assistance in relation to Articles 21.1(c), 22.1 and 21.3 you will need to provide the following:
For low- and middle-income countries requesting or receiving assistance: the reporting state party; period covered in the report; country or countries from which assistance was received; time request was made; and status of request (i.e., assistance provided (please provide full details of the nature and level of assistance); not provided; or under consideration).
For donor countries providing assistance: the country/organization; period of reporting; country from which request received; nature of assistance requested; and decision on request (i.e., accepted (please provide full details of the nature and level of assistance); not accepted; or under consideration).

If you answered 'No' to either the provision or receipt of assistance you will need to identify any financial or technical assistance that may be under consideration.

Promotion of research to increase the affordability of comprehensive treatment of nicotine addiction? (Article 22.1(f))	<input type="checkbox"/> Yes / <input type="checkbox"/> No	<input type="checkbox"/> Yes / <input type="checkbox"/> No	
Other. Specify:			
<p>Additional details:</p> <p>If you answered no to any of the above, please identify any financial or technical assistance that may be under consideration.</p> <p>If you answered yes to any of the above, please identify the country or countries from/to which assistance was received/provided.</p>			
<p>Pursuant to Article 21.3, have you either provided or received financial or technical assistance to support developing country Parties and Parties with economies in transition in meeting reporting obligations?</p>			
Assistance provided	<input type="checkbox"/> Yes / <input type="checkbox"/> No (please give details below)	Assistance received	<input type="checkbox"/> Yes / <input type="checkbox"/> No (please give details below)
<p>Additional details:</p> <p>If you answered no to any of the above, please identify any financial or technical assistance that may be under consideration.</p> <p>If you answered yes to any of the above, please identify the country or countries from/to which assistance was received/provided.</p>			
<p>Have you identified any specific gaps between the resources available and the needs assessed, for the financial and technical assistance provided or received?</p>			
<input type="checkbox"/> Yes (please give details below)		<input type="checkbox"/> No	
<p>Additional details:</p>			
<p>8. Priorities for implementation of the WHO Framework Convention on Tobacco Control</p>			
<p>What are the priority areas for implementation of the WHO Framework Convention on Tobacco Control in your jurisdiction? (Ref. Article 21.1(b))</p>			
<p>What, if any, are the constraints or barriers you have encountered in implementing the Convention? (Ref. Article 21.1(b))</p>			

This section also refers to Articles 22 and 26
 Article 22 – *Cooperation in the scientific, technical, and legal fields and provision of related expertise*
 Article 26 – *Financial resources*

Please advise if you identified any specific gaps between resources available and the needs assessed.

If you answered ‘Yes’ to the identification of specific gaps you will need to provide details.

If you answered ‘No’ to the identification of specific gaps you will need to check the ‘No’ box.

Question 8 is mandatory

Please advise what the priority areas are for implementation of the FCTC in your country.

You will also need to identify the constraints or barriers you have encountered in implementing the Convention.

9. Additional comments

Please provide any relevant information not covered elsewhere that you feel is important.

10. Questionnaire feedback

(a) Please provide feedback for improvement of the Group 1 questionnaire.

(b) Please provide input for the future development of the Group 2 questionnaire.

Please provide any additional comments on relevant information not covered elsewhere within the questionnaire.

(a) Please provide any suggestions as to how the Group 1 questionnaire may be improved.

(b) Please provide any suggestions for the future development of the Group 2 Questionnaire.

ANNEX 2

PARTIES REQUIRED TO REPORT BY 27 FEBRUARY 2007

Party	Date of ratification	Date of entry into force	Date first report due
1. Norway	16 June 2003 (14 September 2003) ¹	27 February 2005	27 February 2007
2. Malta	24 September 2003 (23 December 2003)	27 February 2005	27 February 2007
3. Fiji	3 October 2003 (1 January 2004)	27 February 2005	27 February 2007
4. Sri Lanka	11 November 2003 (9 February 2004)	27 February 2005	27 February 2007
5. Seychelles	12 November 2003 (10 February 2004)	27 February 2005	27 February 2007
6. Mongolia	27 January 2004 (26 April 2004)	27 February 2005	27 February 2007
7. New Zealand	27 January 2004 (26 April 2004)	27 February 2005	27 February 2007
8. India	5 February 2004 (5 May 2004)	27 February 2005	27 February 2007
9. Palau	12 February 2004 (12 May 2004)	27 February 2005	27 February 2007
10. Hungary	7 April 2004 (6 July 2004)	27 February 2005	27 February 2007
11. Myanmar	21 April 2004 (20 July 2004)	27 February 2005	27 February 2007
12. Slovakia	4 May 2004 (2 August 2004)	27 February 2005	27 February 2007
13. Cook Islands	14 May 2004 (12 August 2004)	27 February 2005	27 February 2007
14. Singapore	14 May 2004 (12 August 2004)	27 February 2005	27 February 2007
15. Mauritius	17 May 2004 (15 August 2004)	27 February 2005	27 February 2007
16. Maldives	20 May 2004 (18 August 2004)	27 February 2005	27 February 2007
17. Mexico	28 May 2004 (26 August 2004)	27 February 2005	27 February 2007
18. Brunei Darussalam	3 June 2004 (1 September 2004)	27 February 2005	27 February 2007
19. Japan	8 June 2004 (6 September 2004)	27 February 2005	27 February 2007
20. Bangladesh	14 June 2004 (12 September 2004)	27 February 2005	27 February 2007
21. Iceland	14 June 2004 (12 September 2004)	27 February 2005	27 February 2007
22. Kenya	25 June 2004 (23 September 2004)	27 February 2005	27 February 2007
23. Nauru	29 June 2004 (27 September 2004)	27 February 2005	27 February 2007
24. San Marino	7 July 2004 (5 October 2004)	27 February 2005	27 February 2007
25. Qatar	23 July 2004 (21 October 2004)	27 February 2005	27 February 2007
26. Solomon Islands	10 August 2004 (08 November 2004)	27 February 2005	27 February 2007
27. Panama	16 August 2004 (14 November 2004)	27 February 2005	27 February 2007

Party	Date of ratification	Date of entry into force	Date first report due
28. Jordan	19 August 2004 (17 November 2004)	27 February 2005	27 February 2007
29. Trinidad and Tobago	19 August 2004 (17 November 2004)	27 February 2005	27 February 2007
30. Bhutan	23 August 2004 (21 November 2004)	27 February 2005	27 February 2007
31. Uruguay	9 September 2004 (8 December 2004)	27 February 2005	27 February 2007
32. Madagascar	22 September 2004 (21 December 2004)	27 February 2005	27 February 2007
33. France	19 October 2004 (17 January 2005)	27 February 2005	27 February 2007
34. Australia	27 October 2004 (25 January 2005)	27 February 2005	27 February 2007
35. Pakistan	3 November 2004 (1 February 2005)	27 February 2005	27 February 2007
36. Thailand	8 November 2004 (6 February 2005)	27 February 2005	27 February 2007
37. Syrian Arab Republic	22 November 2004 (20 February 2005)	27 February 2005	27 February 2007
38. Canada	26 November 2004 (24 February 2005)	27 February 2005	27 February 2007
39. Ghana	29 November 2004 (27 February 2005)	27 February 2005	27 February 2007
40. Armenia	29 November 2004 (27 February 2005)	27 February 2005	27 February 2007

¹ The dates in parentheses indicate 90 days after the deposit of the instrument of ratification or equivalent, and are included, because they occurred before the entry into force of the WHO Framework Convention.

ANNEX 3

PARTIES REQUIRED TO REPORT BETWEEN 27 FEBRUARY AND 30 JUNE 2007

Party	Date of ratification	Date of entry into force	Date first report due
1. Peru	30 November 2004	28 February 2005	28 February 2007
2. Marshall Islands	8 December 2004	8 March 2005	8 March 2007
3. Denmark	16 December 2004	16 March 2005	16 March 2007
4. Germany	16 December 2004	16 March 2005	16 March 2007
5. Lithuania	16 December 2004	16 March 2005	16 March 2007
6. United Kingdom of Great Britain and Northern Ireland	16 December 2004	16 March 2005	16 March 2007
7. Viet Nam	17 December 2004	17 March 2005	17 March 2007
8. Timor-Leste	22 December 2004	22 March 2005	22 March 2007
9. Turkey	31 December 2004	31 March 2005	31 March 2007
10. Spain	11 January 2005	11 April 2005	11 April 2007
11. Lesotho	14 January 2005	14 April 2005	14 April 2007
12. Finland	24 January 2005	24 April 2005	24 April 2007
13. Netherlands	27 January 2005	27 April 2005	27 April 2007
14. Senegal	27 January 2005	27 April 2005	27 April 2007
15. Botswana	31 January 2005	1 May 2005	1 May 2007
16. Latvia	10 February 2005	11 May 2005	11 May 2007
17. Honduras	16 February 2005	17 May 2005	17 May 2007
18. Egypt	25 February 2005	26 May 2005	26 May 2007
19. Oman	9 March 2005	7 June 2005	7 June 2007
20. Slovenia	15 March 2005	13 June 2005	13 June 2007
21. Micronesia (Federated States of)	18 March 2005	16 June 2005	16 June 2007

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